



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

NAIC Group Code 4926 (Current) 4926 (Prior) NAIC Company Code 71153 Employer's ID Number 39-1052598

Organized under the Laws of Connecticut, State of Domicile or Port of Entry CT

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized 01/09/1956 Commenced Business 07/01/1965

Statutory Home Office 1 Griffin Road N Windsor, CT, US 06095-1512 (Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1 Griffin Road N Windsor, CT, US 06095-1512 (Street and Number) (City or Town, State, Country and Zip Code) 800-862-6668 (Area Code) (Telephone Number)

Mail Address 1 Griffin Road N Windsor, CT, US 06095-1512 (Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1 Griffin Road N Windsor, CT, US 06095-1512 (Street and Number) (City or Town, State, Country and Zip Code) 800-862-6668 (Area Code) (Telephone Number)

Internet Website Address www.talcottresolution.com

Statutory Statement Contact Andrew G. Helming (Name) 860-791-0166 (Area Code) (Telephone Number) Statement.questions@talcottresolution.com (E-mail Address) 860-624-0444 (FAX Number)

OFFICERS

President and Chief Executive Officer Peter Francis Sannizzaro VP and Controller Michael Robert Hazel VP and Chief Financial Officer Robert Raymond Siracusa AVP and Treasurer Jeremy Matthew Billiel

OTHER

John Buck Brady, VP and Appointed Actuary Christopher Benedict Cramer, SVP and Corporate Secretary

DIRECTORS OR TRUSTEES

Peter Francis Sannizzaro Matthew James Poznar Robert Raymond Siracusa

State of Connecticut County of Hartford SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Peter F. Sannizzaro President and Chief Executive Officer

Michael R. Hazel Vice President and Controller

Christopher B. Cramer Senior Vice President and Corporate Secretary

Subscribed and sworn to before me this 2 day of November 2021

Sandra D. Mangeri

August 31, 2023

SANDRA D. MANGERI NOTARY PUBLIC MY COMMISSION EXPIRES AUG. 31, 2023

- a. Is this an original filing? Yes [X] No [] b. If no, 1. State the amendment number..... 2. Date filed 3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE
COMPANY

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	4,591,265,565		4,591,265,565	4,571,087,432
2. Stocks:				
2.1 Preferred stocks	29,906,805		29,906,805	6,639,285
2.2 Common stocks	18,814,061	2,650,452	16,163,609	15,802,546
3. Mortgage loans on real estate:				
3.1 First liens	787,460,288		787,460,288	766,622,883
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$12,337,421), cash equivalents (\$158,848,094) and short-term investments (\$29,507,810)	200,693,325		200,693,325	289,850,828
6. Contract loans (including \$ premium notes)	92,186,142		92,186,142	95,042,308
7. Derivatives	273,314,590		273,314,590	196,265,361
8. Other invested assets	587,949,718		587,949,718	515,641,476
9. Receivables for securities	90,335,435		90,335,435	4,957,507
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				39,666,467
12. Subtotals, cash and invested assets (Lines 1 to 11)	6,671,925,929	2,650,452	6,669,275,477	6,501,576,093
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	55,589,295		55,589,295	56,966,047
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	57,311		57,311	70,127
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	(21,043)		(21,043)	
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	26,462,550		26,462,550	30,932,369
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	20,981,499		20,981,499	31,808,813
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				74,413,249
18.2 Net deferred tax asset	73,692,703	4,526,757	69,165,946	79,536,000
19. Guaranty funds receivable or on deposit	576,592		576,592	779,378
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$) and other amounts receivable	15,773		15,773	12,829
25. Aggregate write-ins for other than invested assets	53,249,693	15,930,702	37,318,991	31,029,367
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	6,902,530,302	23,107,911	6,879,422,391	6,807,124,272
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	28,691,042,085		28,691,042,085	28,430,266,880
28. Total (Lines 26 and 27)	35,593,572,387	23,107,911	35,570,464,476	35,237,391,152
DETAILS OF WRITE-INS				
1101. Collateral on derivatives				39,666,467
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				39,666,467
2501. Disbursements and items not allocated	53,249,693	15,930,702	37,318,991	31,029,367
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	53,249,693	15,930,702	37,318,991	31,029,367

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ 4,807,569,972 less \$ included in Line 6.3 (including \$ 790,744,077 Modco Reserve)	4,807,569,972	4,849,163,626
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)	15,666,661	15,773,266
3. Liability for deposit-type contracts (including \$ 1,191,788 Modco Reserve)	188,746,130	199,264,917
4. Contract claims:		
4.1 Life	19,493,276	27,836,011
4.2 Accident and health	232,473	283,621
5. Policyholders' dividends/refunds to members \$ and coupons \$ 4,925 due and unpaid	4,925	3,252
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco)	499,214	586,882
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums	151,378	121,738
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act	28,093	239,296
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ 22,735,680 ceded	22,735,680	18,544,854
9.4 Interest Maintenance Reserve	112,802,021	101,434,239
10. Commissions to agents due or accrued-life and annuity contracts \$ 21,722,397 , accident and health \$ and deposit-type contract funds \$	21,722,397	20,900,994
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued	11,972,923	14,871,207
13. Transfers to Separate Accounts due or accrued (net) (including \$ (30,695,894) accrued for expense allowances recognized in reserves, net of reinsured allowances)	(36,187,097)	(40,378,588)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	(533,552)	1,965,617
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)	990,067	
15.2 Net deferred tax liability		
16. Unearned investment income	3,311,072	3,408,681
17. Amounts withheld or retained by reporting entity as agent or trustee	11,374,758	10,703,029
18. Amounts held for agents' account, including \$ 32,027 agents' credit balances	47,800	26,089
19. Remittances and items not allocated	6,584,657	12,084,734
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	150,727,938	134,693,701
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	8,894,683	10,779,853
24.05 Drafts outstanding	46,997,887	57,603,850
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives	31,126,742	437,969,150
24.09 Payable for securities	426,463,982	5,401,451
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	246,542,841	302,152,962
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	6,097,966,921	6,185,434,432
27. From Separate Accounts Statement	28,691,042,085	28,430,266,880
28. Total liabilities (Lines 26 and 27)	34,789,009,006	34,615,701,312
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds	181,882,386	199,649,232
32. Surplus notes		
33. Gross paid in and contributed surplus	85,431,561	85,431,561
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	511,641,523	334,109,047
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	778,955,470	619,189,840
38. Totals of Lines 29, 30 and 37	781,455,470	621,689,840
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	35,570,464,476	35,237,391,152
DETAILS OF WRITE-INS		
2501. Payable for repurchase agreements	182,132,960	158,756,325
2502. Other liabilities - abandoned property unpaid funds	34,800,900	28,474,712
2503. Collateral on derivatives	28,584,723	93,719,979
2598. Summary of remaining write-ins for Line 25 from overflow page	1,024,258	21,201,946
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	246,542,841	302,152,962
3101. Gain on inforce reinsurance	181,882,386	199,649,232
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	181,882,386	199,649,232
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	129,625,307	100,947,505	135,503,495
2. Considerations for supplementary contracts with life contingencies	138,708	342,162	401,140
3. Net investment income	277,310,650	195,652,874	284,999,031
4. Amortization of Interest Maintenance Reserve (IMR)	6,765,178	6,350,790	8,839,112
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	29,924,266	29,939,160	40,176,892
7. Reserve adjustments on reinsurance ceded	(356,801,120)	(339,969,996)	(455,831,436)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	407,002,029	375,133,799	515,178,848
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	50,610,254	46,364,362	51,438,498
9. Totals (Lines 1 to 8.3)	544,575,272	414,760,656	580,705,580
10. Death benefits	868,103	(4,880,417)	4,828,321
11. Matured endowments (excluding guaranteed annual pure endowments)		8,377	8,197
12. Annuity benefits	246,193,886	224,220,496	305,164,991
13. Disability benefits and benefits under accident and health contracts	210,892	309,036	410,916
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	2,047,829,418	1,843,052,295	2,554,992,951
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	7,725,497	6,543,748	8,742,675
18. Payments on supplementary contracts with life contingencies	988,411	1,048,954	1,402,950
19. Increase in aggregate reserves for life and accident and health contracts	(41,700,259)	(35,096,713)	(107,901,611)
20. Totals (Lines 10 to 19)	2,262,115,948	2,035,205,776	2,767,649,390
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	105,638,589	94,415,648	128,604,462
22. Commissions and expense allowances on reinsurance assumed	5,485,599	4,379,234	5,423,126
23. General insurance expenses and fraternal expenses	74,524,290	53,367,050	72,340,299
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,003,213	3,668,143	3,856,003
25. Increase in loading on deferred and uncollected premiums	(1,318)	50,256	45,273
26. Net transfers to or (from) Separate Accounts net of reinsurance	(2,090,434,816)	(1,882,757,123)	(2,605,128,491)
27. Aggregate write-ins for deductions	(105,994,943)	(85,078,642)	(129,532,033)
28. Totals (Lines 20 to 27)	252,336,562	223,250,342	243,258,029
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	292,238,710	191,510,314	337,447,551
30. Dividends to policyholders and refunds to members	(85,995)	19,631	19,152
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	292,324,705	191,490,683	337,428,399
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	(4,174,835)	(45,162,205)	(65,215,649)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	296,499,540	236,652,888	402,644,048
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 450,098 (excluding taxes of \$ 4,820,154 transferred to the IMR)	(256,103,534)	(55,785,321)	(355,549,269)
35. Net income (Line 33 plus Line 34)	40,396,006	180,867,567	47,094,779
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	621,689,840	979,284,334	979,284,334
37. Net income (Line 35)	40,396,006	180,867,567	47,094,779
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 17,271,604	147,670,132	8,355,315	63,801,748
39. Change in net unrealized foreign exchange capital gain (loss)	92,378	746,772	943,174
40. Change in net deferred income tax	(11,938,651)	(20,888,075)	(69,213,609)
41. Change in nonadmitted assets	17,346,848	(3,080,674)	29,654,393
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	(16,034,237)	(1,773,908)	(6,185,849)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders		(400,000,000)	(400,000,000)
53. Aggregate write-ins for gains and losses in surplus	(17,766,846)	(17,766,846)	(23,689,130)
54. Net change in capital and surplus for the year (Lines 37 through 53)	159,765,630	(253,539,849)	(357,594,494)
55. Capital and surplus, as of statement date (Lines 36 + 54)	781,455,470	725,744,485	621,689,840
DETAILS OF WRITE-INS			
08.301. Other investment management fees	49,916,380	45,652,528	50,542,735
08.302. Miscellaneous income	373,888	273,375	333,918
08.303. Separate Account loads	319,986	438,459	561,845
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	50,610,254	46,364,362	51,438,498
2701. Miscellaneous deductions	(49,475)	(362,334)	(469,018)
2702. MODCO adjustment	(105,945,468)	(84,716,308)	(129,063,015)
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	(105,994,943)	(85,078,642)	(129,532,033)
5301. Gain on inforce reinsurance	(17,766,846)	(17,766,846)	(23,689,130)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	(17,766,846)	(17,766,846)	(23,689,130)

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE
COMPANY

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	129,617,629	101,206,986	136,031,492
2. Net investment income	291,045,385	278,162,760	372,021,855
3. Miscellaneous income	469,769,703	93,700,479	127,273,674
4. Total (Lines 1 to 3)	890,432,717	473,070,225	635,327,021
5. Benefit and loss related payments	2,660,350,565	2,062,783,438	2,867,926,352
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(2,094,626,307)	(1,886,797,519)	(2,614,652,271)
7. Commissions, expenses paid and aggregate write-ins for deductions	74,202,698	77,929,495	151,798,964
8. Dividends paid to policyholders		30,882	39,407
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	(74,307,899)		(15,155,445)
10. Total (Lines 5 through 9)	565,619,057	253,946,296	389,957,007
11. Net cash from operations (Line 4 minus Line 10)	324,813,660	219,123,929	245,370,014
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	807,073,994	806,612,106	976,426,133
12.2 Stocks	3,989,771	10,134,545	10,133,339
12.3 Mortgage loans	115,479,979	88,653,218	131,129,986
12.4 Real estate			
12.5 Other invested assets	60,756,951	54,148,042	64,491,881
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(147,983)	1,973,907	2,185,032
12.7 Miscellaneous proceeds	460,557,209	95,355,073	3,340,666
12.8 Total investment proceeds (Lines 12.1 to 12.7)	1,447,709,920	1,056,876,891	1,187,707,037
13. Cost of investments acquired (long-term only):			
13.1 Bonds	830,579,883	813,726,971	984,005,477
13.2 Stocks	25,638,337	11,971,641	11,980,227
13.3 Mortgage loans	136,204,150	38,294,085	54,734,810
13.4 Real estate			
13.5 Other invested assets	45,632,384	21,569,660	42,847,540
13.6 Miscellaneous applications	735,262,368	42,162,469	80,565,140
13.7 Total investments acquired (Lines 13.1 to 13.6)	1,773,317,122	927,724,826	1,174,133,194
14. Net increase (or decrease) in contract loans and premium notes	(2,856,166)	(3,986,513)	(4,883,195)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(322,751,036)	133,138,578	18,457,038
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(10,518,787)	(13,585,010)	(16,877,321)
16.5 Dividends to stockholders		400,000,000	400,000,000
16.6 Other cash provided (applied)	(80,701,340)	(30,567,859)	33,280,701
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(91,220,127)	(444,152,869)	(383,596,620)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(89,157,503)	(91,890,362)	(119,769,568)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	289,850,828	409,620,396	409,620,396
19.2 End of period (Line 18 plus Line 19.1)	200,693,325	317,730,034	289,850,828

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Non-cash proceeds from invested asset exchanges – bonds, mortgage loans, and other invested assets	(41,473,783)	(37,895,139)	(8,481,263)
20.0002. Non-cash acquisitions from invested asset exchanges – bonds, mortgage loans, and other invested assets	(41,473,783)	(37,895,139)	(8,481,263)

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life			
2. Ordinary life insurance	527,067,065	546,229,205	722,553,506
3. Ordinary individual annuities	142,929,664	116,974,644	156,013,841
4. Credit life (group and individual)			
5. Group life insurance	1,805,920	1,504,350	1,289,831
6. Group annuities			
7. A & H - group			
8. A & H - credit (group and individual)			
9. A & H - other	156,391	188,274	243,098
10. Aggregate of all other lines of business			
11. Subtotal (Lines 1 through 10)	671,959,040	664,896,473	880,100,276
12. Fraternal (Fraternal Benefit Societies Only)			
13. Subtotal (Lines 11 through 12)	671,959,040	664,896,473	880,100,276
14. Deposit-type contracts		753,412	846,296
15. Total (Lines 13 and 14)	671,959,040	665,649,885	880,946,572
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying statutory-basis financial statements of Talcott Resolution Life and Annuity Insurance Company (the "Company" or "TLA") have been prepared in conformity with statutory accounting practices prescribed or permitted by the State of Connecticut Insurance Department ("the Department"). The Department recognizes only statutory accounting practices prescribed or permitted by the State of Connecticut for determining and reporting the financial condition and results of operations of an insurance company and for determining solvency under the State of Connecticut Insurance Law. The National Association of Insurance Commissioners' Accounting Practices and Procedures Manual ("NAIC SAP") has been adopted as a component of prescribed practices by the State of Connecticut.

A difference prescribed by Connecticut state law allows the Company to receive a reinsurance reserve credit for reinsurance treaties that provide for a limited right of unilateral cancellation by the reinsurer. Even if the Company did not obtain reinsurance reserve credit for this reinsurance treaty, the Company's risk-based capital would not have triggered a regulatory event.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed by the Department is shown below:

	SSAP #	F/S Page	F/S Line #	2021	2020
Net Income					
1. TLA state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 40,396,006	\$ 47,094,779
2. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (as described above)	61	4	19	(20,147,412)	12,370,401
				(20,147,412)	12,370,401
3. State permitted practices that are an (increase)/decrease from NAIC SAP				—	—
4. NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 60,543,418	\$ 34,724,378
Surplus					
5. TLA state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 781,455,470	\$ 621,689,840
6. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (as described above)	61	3	1	23,525,736	43,673,148
				23,525,736	43,673,148
7. State permitted practices that are an (increase)/decrease NAIC SAP				—	—
8. NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 757,929,734	\$ 578,016,692

The Company does not follow any other prescribed or permitted statutory accounting practices that have a material effect on statutory surplus, statutory net income or risk-based capital of the Company.

C. Accounting Policy

- The Company had no SVO - identified investments in exchange traded funds or bond mutual funds that qualifies for bond accounting treatment.
- Loan-backed bonds and structured securities are carried at either amortized cost or the lower of amortized cost or fair value in accordance with the provisions of SSAP No. 43-Revised, Loan-backed and Structured Securities. Significant changes in estimated cash flows from the original purchase assumptions are accounted for using the prospective method, except for highly rated fixed rate securities, which use the retrospective method.

D. Going Concern

The Company is not aware of any conditions or events which raise substantial doubts concerning the Company's ability to continue as a going concern.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

D. Loan-Backed Securities

- Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates.
- The Company had no other-than-temporary impairments ("OTTI") for loan-backed securities recorded during the year where the Company had either the intent to sell the securities or the inability or lack of intent to retain.
- The Company did not recognize any OTTI for loan-backed securities held as of September 30, 2021.

NOTES TO FINANCIAL STATEMENTS

4. Security Unrealized Loss Aging

All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	
1. Less than 12 Months	\$ 1,515,285
2. 12 Months or Longer	\$ 1,442,041
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	\$ 235,069,966
2. 12 Months or Longer	\$ 67,719,462

5. As of September 30, 2021 loan-backed securities in an unrealized loss position comprised 82 securities, primarily related to commercial mortgage-backed securities ("CMBS"), U.S. government agency securities and residential mortgage-backed securities ("RMBS"), which were primarily depressed due to higher interest rates and/or widening of spreads since the securities were purchased. The Company does not intend to sell the securities outlined above. Furthermore, based upon the Company's cash flow model and the expected continuation of contractually required principal and interest payments, the Company has deemed these securities to be temporarily impaired as of September 30, 2021.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

3. Collateral Received

b. The Company did not accept collateral that is permitted by contract or custom to sell or repledge as of September 30, 2021.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

1. Company Policies or Strategies for Repo Programs

From time to time, the Company enters into repurchase agreements to manage liquidity or to earn incremental income. A repurchase agreement is a transaction in which one party (transferor) agrees to sell securities to another party (transferee) in return for cash (or securities), with a simultaneous agreement to repurchase the same securities at a specified price at a later date. These transactions generally have a contractual maturity of ninety days or less. Repurchase agreements include master netting provisions that provide both counterparties the right to offset claims and apply securities held by them with respect to their obligations in the event of a default. Although the Company has the contractual right to offset claims, the Company's current positions do not meet the specific conditions for net presentation.

Under repurchase agreements, the Company transfers collateral of U.S. government and government agency securities and receives cash. For repurchase agreements, the Company obtains cash in an amount equal to at least 95% of the fair value of the securities transferred. The agreements require additional collateral to be transferred when necessary and provide the counterparty the right to sell or re-pledge the securities transferred. The cash received from the repurchase program is typically invested in short-term investments or fixed maturities and is reported as an asset on the Company's balance sheets. The Company accounts for the repurchase agreements as collateralized borrowings. The securities transferred under repurchase agreements are included in bonds, with the obligation to repurchase those securities recorded in Aggregate write-ins for liabilities on the Company's balance sheets.

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or repledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing.

2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES	YES	YES	
b. Tri-Party (YES/NO)	NO	NO	NO	

3. Original (Flow) & Residual Maturity

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	22,623,300	22,623,300	—
6. >3 months to 1 year	158,756,325	180,588,235	182,132,960	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	22,623,300	—	—
6. >3 months to 1 year	69,486,900	180,588,235	182,132,960	—
7. > 1 year	—	—	—	—

NOTES TO FINANCIAL STATEMENTS

4. Counterparty, Jurisdiction and Fair Value (FV)

The Company has no investments in defaulted repurchase agreements.

5. Securities "Sold" Under Repo - Secured Borrowing

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

6. Securities Sold Under Repo - Secured Borrowing by NAIC Designation

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

7. Collateral Received - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 158,756,325	\$ 203,211,535	\$ 203,211,535	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 69,486,900	\$ 203,211,535	\$ 182,132,960	\$ —
2. Securities (FV)	—	—	—	—

8. Cash & Non-Cash Collateral Received - Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Cash	\$ —	\$182,132,960	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. Bonds - FV	—	—	—	—	—	—	—	—
c. LB & SS - FV	—	—	—	—	—	—	—	—
d. Preferred stock - FV	—	—	—	—	—	—	—	—
e. Common stock	—	—	—	—	—	—	—	—
f. Mortgage loans - FV	—	—	—	—	—	—	—	—
g. Real estate - FV	—	—	—	—	—	—	—	—
h. Derivatives - FV	—	—	—	—	—	—	—	—
i. Other invested assets - FV	—	—	—	—	—	—	—	—
j. Total collateral assets - FV	\$ —	\$182,132,960	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

9. Allocation of Aggregate Collateral by Remaining Contractual Maturity

	Fair Value
a. Overnight and continuous	\$ —
b. 30 days or less	40,180,000
c. 31 to 90 days	—
d. >90 days	141,952,960

10. Allocation of Aggregate Collateral Reinvested by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. 30 days or less	\$ 84,799,959	\$ 86,963,365
b. 31 to 60 days	—	—
c. 61 to 90 days	17,669,328	17,668,128
d. 91 to 120 days	7,755,259	7,754,193
e. 121 to 180 days	3,876,127	3,875,444
f. 181 to 365 days	—	—
g. 1 to 2 years	—	—
h. 2 to 3 years	—	—
i. >3 years	68,032,287	68,032,287

11. Liability to Return Collateral - Secured Borrowing (Total)

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 158,756,325	\$ 203,211,535	\$ 203,211,535	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance				
1. Cash	\$ 69,486,900	\$ 203,211,535	\$ 182,132,960	\$ —
2. Securities (FV)	—	—	—	—

NOTES TO FINANCIAL STATEMENTS

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

1. Company Policy or Strategies for Engaging in Repo Programs

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing. The receivable for reverse repurchase agreements is included within short term investments.

2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES	YES	YES	
b. Tri-Party (YES/NO)	NO	NO	NO	

3. Original (Flow) and Residual Maturity

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	3,032,712	—
6. >3 months to 1 year	8,529,737	5,296,990	5,288,057	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	3,032,712	—
6. >3 months to 1 year	4,928,177	5,288,057	4,506,620	—
7. > 1 year	—	—	—	—

4. Counterparty, Jurisdiction and Fair Value (FV)

None

5. Fair Value of Securities Acquired Under Repo - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount	\$ 11,688,768	\$ 7,881,796	\$ 11,056,889	\$ —
b. Ending Balance	6,740,137	7,688,009	10,702,899	—

6. Securities Acquired Under Repo - Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Bonds - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. LB & SS - FV	—	—	—	—	—	10,497,805	—	—
c. Preferred stock - FV	—	—	—	—	—	—	—	—
d. Common stock	—	—	—	—	—	—	—	—
e. Mortgage loans - FV	—	—	—	—	—	—	—	—
f. Real estate - FV	—	—	—	—	—	—	—	—
g. Derivatives - FV	—	—	—	—	—	—	—	—
h. Other invested assets - FV	—	—	—	—	—	—	—	205,094
i. Total assets - FV	\$ —	\$ —	\$ —	\$ —	\$ —	\$10,497,805	\$ —	\$ 205,094

NOTES TO FINANCIAL STATEMENTS

7. Collateral Pledged - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 8,529,737	\$ 5,296,990	\$ 7,539,332	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 4,928,177	\$ 5,288,057	\$ 7,539,332	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX

8. Allocation of Aggregate Collateral Pledged by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. Overnight and Continuous	\$ —	\$ —
b. 30 days or less	—	—
c. 31 to 90 days	7,539,332	7,539,332
d. >90 days	—	—

9. Recognized Receivable for Return of Collateral - Secured Borrowing

None

10. Recognized Liability to Return Collateral - Secured Borrowing (Total)

None

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as secured borrowing transactions.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company had no reverse repurchase agreements transactions accounted for as a sale transaction.

M. Working Capital Finance Investments

The Company had no working capital finance investments.

N. Retained Assets

The Company had no offsetting and netting of assets and liabilities.

R. Share of Cash Pool by Asset type

The Company did not participate in a short term investment pool as of September 30, 2021.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

Other Investment and/or Risk Management Activities

The premium payments for derivatives with financing premiums due within the next four years are listed in the table below, as well as the undiscounted premium commitments, the fair value of these contracts and the aggregate fair value excluding the impact of these premiums as of September 30, 2021 and Dec. 31, 2020, respectively.

(amount in thousands)	
Fiscal Year	Derivative Premium Payments Due
2021	\$ —
2022	6,187
2023	28,243
2024	21,539
Thereafter	264,915
Total Future Settled Premiums	\$ 320,884

NOTES TO FINANCIAL STATEMENTS

(amount in thousands)			
Date	Undiscounted Future Premium Commitments	Derivative Fair Value with Premium Commitments	Derivative Fair Value Excluding Impact of Future Settled Premiums
September 30, 2021	\$ 320,884	\$ 202,744	\$ 202,744
December 31, 2020	\$ 40,551	\$ (151,245)	\$ (191,796)

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

Effective July 1, 2021, TL and certain of its affiliates, including but not limited to insurance companies (the "Talcott Companies"), entered into a new Amended and Restated Services and Cost Allocation Agreement, which superseded the previous Cost Allocation Agreements and authorizes the affiliates and TL to obtain a variety of operating services from each other to conduct their day to day businesses and to provide fair and equitable compensation for their services. Expenses covered under the Agreement are allocated based on cost plus basis.

Note 11 - Debt

B. FHLB (Federal Home Loan Bank) Agreements

- The Company is a member of the Federal Home Loan Bank of Boston ("FHLB"). Membership allows the Company access to collateralized advances, which may be used to support various spread-based businesses or to enhance liquidity management. FHLB membership requires the Company to own member stock and borrowings require the purchase of activity-based stock in an amount (generally between 3% and 4% of the principal balance) based upon the term of the outstanding advances. FHLB stock held by the Company is classified within Page 2, Line 2.2 (Common stocks) in the General Account. As of September 30, 2021 there were no advances outstanding.

State law limits the Company's ability to pledge, hypothecate or otherwise encumber its assets. The amount of advances that can be taken by the Company are dependent on the assets pledged by the Company to secure the advances, and are therefore subject to this legal limit. The pledge limit is recalculated annually based on statutory admitted assets and capital and surplus. For 2021, the Company's pledge limit is \$155 million. The Company would need to seek prior written approval from the Department in order to exceed this limit. If the Company were to pursue borrowing additional amounts under its estimated capacity it may have to purchase additional shares of activity stock.

- a. FHLB Capital Stock - Aggregate Totals

1. September 30, 2021

	Total 2+3	General Account	Separate Accounts
a. Membership Stock - Class A	\$ —	\$ —	\$ —
b. Membership Stock - Class B	1,680,700	1,680,700	—
c. Activity Stock	—	—	—
d. Excess Stock	—	—	—
e. Aggregate Total (a+b+c+d)	\$ 1,680,700	\$ 1,680,700	\$ —
f. Actual or estimated borrowing capacity as determined by the insurer	\$ 155,000,000	155,000,000	\$ —

2. December 31, 2020

	Total 2+3	General Account	Separate Accounts
a. Membership Stock - Class A	\$ —	\$ —	\$ —
b. Membership Stock - Class B	1,560,700	1,560,700	—
c. Activity Stock	—	—	—
d. Excess Stock	—	—	—
e. Aggregate Total (a+b+c+d)	\$ 1,560,700	\$ 1,560,700	\$ —
f. Actual or estimated borrowing capacity as determined by the insurer	\$ 155,000,000	155,000,000	\$ —

- b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	Current Period Total (2+3+4+5+6)	Not Eligible for Redemption	Eligible for Redemption			
			Less Than 6 Months	6 Months to Less than 1 Year	1 to Less than 3 Years	3 to 5 Years
1 Class A	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2 Class B	1,680,700	1,680,700	—	—	—	—

NOTES TO FINANCIAL STATEMENTS

3 Collateral Pledged to FHLB

a. Amount Pledged as of September 30, 2021

	1 Fair Value	2 Carrying Value	Aggregate Total Borrowing
1 Current Year Total General and Separate Accounts (Total Collateral Pledged (Lines 2 + 3))	\$ 26,796,191	\$ 27,451,962	\$ —
2 Current Year General Account: Total Collateral Pledged	26,796,191	27,451,962	—
3 Current Year Separate Account: Total Collateral Pledged	—	—	—
4 Prior Year-end Total General and Separate Accounts: Total Collateral Pledged	—	—	—

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount Borrowed at Time of Maximum Collateral
1 Current Year Total General and Separate Accounts (Maximum Collateral Pledged (Lines 2 + 3))	\$ 26,796,191	\$ 27,451,962	\$ —
2 Current Year General Account Maximum Collateral Pledged	26,796,191	27,451,962	—
3 Current Year Separate Account Maximum Collateral Pledged	—	—	—
4 Prior Year-end Total General and Separate Accounts Maximum Collateral Pledged	—	—	—

4. a. & b. Borrowing from FHLB - Amount as of the Reporting Date

The Company had no borrowings from the FHLB as of September 30, 2021.

c. FHLB - Prepayment Obligations

The Company does not have any prepayment obligations as of September 30, 2021.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**A Defined Benefit Plans**

The Company has no direct plans.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 14 - Liabilities, Contingencies, and Assessments

A. Contingent Commitments

2. Detail of Other Contingent Commitments

1	2	3	4	5
Nature and Circumstances of Guarantee and Key Attributes, Including Date and Duration of Agreement	Liability Recognition of Guarantee	Ultimate Financial Statement Impact if Action Under the Guarantee is Required	Maximum Potential Amount of Future Payments the Guarantor Could be Required to Make	Current Status of Payment or Performance Risk of Guarantee
Effective February 1, 2018, TLA guaranteed the obligations of Talcott Resolution Comprehensive Employee Benefit Service Company ("TCB"), a wholly-owned subsidiary, with respect to certain structured settlement liability obligations to provide an increased level of security to claimants under such structured settlements; these obligations were assumed from Talcott Resolution Life Insurance Company ("TL") on February 1, 2018. As of September 30, 2021 and December 31, 2020, no liability was recorded for this guarantee, as TCB was able to meet these policyholder obligations.	\$ —	Increase in Investments in SCA, Dividends to stockholders (capital contribution), Expense, or Other	Unlimited (1)	The guaranteed affiliate maintains surplus in addition to policyholder reserves. The payment or performance risk of this guarantee is low as it is unlikely that this guarantee will be triggered.
Total	\$ —		Unlimited	

(1) There is no limit on the Company's guarantee to pay policyholder obligations on behalf of the affiliate for the contracts covered in the guarantee agreement.

B. Assessments

No significant change.

C. Gain Contingencies

No significant change.

D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits

No significant change.

E. Joint and Several Liabilities

No significant change.

F. All Other Contingencies

The Company is or may become involved in various legal actions, some of which assert claims for substantial amounts. Management expects that the ultimate liability, if any, with respect to such lawsuits, after consideration of provisions made for estimated losses and costs of defense, will not be material to the financial condition of the Company.

For additional information, please refer to the current and periodic reports filed by TL with the United States Securities and Exchange Commission.

Note 15 - Leases

No significant change.

Note 16- Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

Note 17- Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. The Company had no transfer or servicing of financial assets.

C. The Company had no wash sales.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - Fair Value Measurements

A. Fair Value Measurements

Fair value is determined based on the "exit price" notion which is defined as the price that would be received to sell an asset or paid to transfer a liability in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants. Financial instruments carried at fair value in the Company's financial statements include certain bonds, stocks, derivatives, and Separate Account assets.

The Company's investment manager for the Company's general account (a registered investment adviser under the Investment Advisers Act of 1940), with oversight by the Company's Investment Management Department and its Finance and Investment Committee ("FIC"), a committee co-chaired by the Chief Investment Officer and the Chief Risk Officer of the Company, estimates the fair value for financial assets held in the Company's general account and guaranteed separate accounts based on the framework established in the fair value accounting guidance. The Company reviews its investment manager's pricing policy on a periodic basis, with any changes to be approved by the FIC. The Company reserves the right to take exception to its investment manager's pricing of a particular asset and, with FIC's approval, to adjust

NOTES TO FINANCIAL STATEMENTS

the price received from its investment manager for that particular asset. The Company estimates the fair value for financial liabilities based on the framework established in the fair value accounting guidance. The framework is based on the inputs used in valuation, gives the highest priority to quoted prices in active markets and requires that observable inputs be used in the valuations when available. The Company categorizes its assets and liabilities measured at estimated fair value based on whether the significant inputs into the valuation are observable. The fair value hierarchy categorizes the inputs in the valuation techniques used to measure fair value into three broad Levels (Level 1, 2, or 3)

- Level 1 Unadjusted quoted prices for identical assets or liabilities in active markets that the Company has the ability to access at the measurement date.
- Level 2 Observable inputs, other than quoted prices included in Level 1, for the asset or liability, or prices for similar assets and liabilities.
- Level 3 Valuations that are derived from techniques in which one or more of the significant inputs are unobservable (including assumptions about risk). Because Level 3 fair values, by their nature, contain one or more significant unobservable inputs as there is little or no observable market for these assets and liabilities, considerable judgment is used to determine the Level 3 fair values. Level 3 fair values represent the Company's best estimate of amounts that could be realized in a current market exchange absent actual market exchanges.

In many situations, inputs used to measure the fair value of an asset or liability position may fall into different levels of the fair value hierarchy. In these situations, the Company's investment manager will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value. In most cases, both observable (e.g., changes in interest rates) and unobservable (e.g., changes in risk assumptions) inputs are used in the determination of fair values that the Company has classified within Level 3. Consequently, these values and the related gains and losses are based upon both observable and unobservable inputs. The Company's bonds included in Level 3 are classified as such because these securities are primarily within illiquid markets and/or priced by independent brokers.

The following table presents assets and (liabilities) carried at fair value by hierarchy level:

September 30, 2021					
(Amounts in thousands)	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Net Asset Value (NAV)	Total
a. Assets accounted for at fair value					
All other corporate bonds – asset-backed	\$ —	\$ —	\$ 1	\$ —	\$ 1
Common stocks - unaffiliated	6,905	—	1,681	—	8,586
Preferred stocks - unaffiliated	—	23,268	—	—	23,268
Cash equivalents	101,819	—	—	—	101,819
Total bonds and stocks	108,724	23,268	1,682	—	133,674
Derivative assets					
Interest rate derivatives	—	3,447	—	—	3,447
Macro hedge program	—	—	269,865	—	269,865
Total derivative assets	—	3,447	269,865	—	273,312
Separate Account assets [1]	28,682,987	—	—	—	28,682,987
Total assets accounted for at fair value	\$ 28,791,711	\$ 26,715	\$ 271,547	\$ —	\$ 29,089,973
b. Liabilities accounted for at fair value					
Derivative liabilities					
Interest rate derivatives	\$ —	\$ (3,741)	\$ —	\$ —	\$ (3,741)
Macro hedge program	—	(2,981)	(23,342)	—	(26,323)
Total liabilities accounted for at fair value	\$ —	\$ (6,722)	\$ (23,342)	\$ —	\$ (30,064)

- a. Excludes approximately \$8 million of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100 (Fair Value Measurements).

Valuation Techniques, Procedures and Controls

The Company determines the fair values of certain financial assets and liabilities based on quoted market prices where available and where prices represent reasonable estimates of fair value. The Company also determines fair values based on future cash flows discounted at the appropriate current market rate. Fair values reflect adjustments for counterparty credit quality, the Company's default spreads, liquidity and, where appropriate, risk margins on unobservable parameters. The following is a discussion of the methodologies used to determine fair values for the financial instruments listed in the preceding tables.

The fair value process is monitored by the Valuation Committee of the Company's investment manager, which is a cross-functional group of senior management that meets at least quarterly. The purpose of the committee is to oversee the pricing policy and procedures by ensuring objective and reliable valuation practices and pricing of financial instruments as well as addressing valuation issues and approving changes to valuation methodologies and pricing sources. There are also two working groups under the Valuation Committee of the Company's investment manager, a Securities Valuation Group and a Derivatives Valuation Group, which include various investment, operations, accounting, compliance and risk management professionals that meet on a regular basis, to review market data trends, pricing and trading statistics and results, and any proposed pricing methodology changes.

In addition, the Finance and Investment Committee of the Company, co-chaired by its Chief Investment Officer and Chief Financial Officer, is responsible for the approval and monitoring of the Valuation Policy of the Company as well as the adjudication of any valuation disputes thereunder. The Valuation Policy addresses valuation of all financial instruments held in the general account and guaranteed separate accounts of the Company, including all derivative positions. The Finance and Investment Committee meets regularly, and its members include a cross-functional group of senior management as well as various investment, accounting, finance, and risk management professionals.

The Company also has an enterprise-wide Operational Risk Management function which is responsible for establishing, maintaining and communicating the framework, principles and guidelines of the Company's operational risk management program. This includes model risk management which provides an independent review of the suitability, characteristics and reliability of model inputs as well as an analysis of significant changes to current models.

NOTES TO FINANCIAL STATEMENTS

Bonds and Stocks

The fair value of bonds and stocks in an active and orderly market (e.g., not distressed or forced liquidation) are determined by the Company's investment manager using a "waterfall" approach after considering the following pricing sources: quoted prices for identical assets or liabilities, prices from third-party pricing services, independent broker quotations, or internal matrix pricing processes. Typical inputs used by these pricing sources include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates. Most bonds do not trade daily. Based on the typical trading volumes and the lack of quoted market prices for bonds, third-party pricing services utilize matrix pricing to derive security prices. Matrix pricing relies on securities' relationships to other benchmark quoted securities, which trade more frequently. Pricing services utilize recently reported trades of identical or similar securities making adjustments through the reporting date based on the preceding outlined available market observable information. If there are no recently reported trades, the third-party pricing services may develop a security price using expected future cash flows based upon collateral performance and discounted at an estimated market rate. Both matrix pricing and discounted cash flow techniques develop prices by factoring in the time value for cash flows and risk, including liquidity and credit.

Prices from third-party pricing services may be unavailable for securities that are rarely traded or are traded only in privately negotiated transactions. As a result, certain securities are priced via independent broker quotations which utilize inputs that may be difficult to corroborate with observable market based data. Additionally, the majority of these independent broker quotations are non-binding.

The Company's investment manager utilizes an internally developed matrix pricing process for private placement securities for which the Company is unable to obtain a price from a third-party pricing service. The process is similar to the third-party pricing services. The Company's investment manager develops credit spreads each month using market based data for public securities adjusted for credit spread differentials between public and private securities which are obtained from a survey of multiple private placement brokers. The credit spreads determined through this survey approach are based upon the issuer's financial strength and term to maturity, utilizing independent public security index and trade information and adjusting for the non-public nature of the securities. Credit spreads combined with risk-free rates are applied to contractual cash flows to develop a price.

The Company's investment manager performs ongoing analyses of the prices and credit spreads received from third parties to ensure that the prices represent a reasonable estimate of the fair value. In addition, the Company's investment manager ensures that prices received from independent brokers represent a reasonable estimate of fair value through the use of internal and external cash flow models utilizing spreads, and when available, market indices. As a result of these analyses, if the Company's investment manager determines that there is a more appropriate fair value based upon the available market data, the price received from the third party is adjusted accordingly and approved by the Valuation Committee of the Company's investment manager.

The Company's investment manager conducts other specific monitoring controls around pricing. Daily, weekly and monthly analyses identify price changes over pre-determined thresholds for bonds and equity securities. Monthly analyses identify prices that have not changed, and missing prices. Also, on a monthly basis, a second source validation is performed on most sectors. Analyses are conducted by a dedicated pricing unit that follows up with trading and investment sector professionals and challenges prices with vendors when the estimated assumptions used differs from what the Company's investment manager feels a market participant would use. Examples of other procedures performed include, but are not limited to, initial and ongoing review of third-party pricing services' methodologies, review of pricing statistics and trends and back testing recent trades.

The Company's investment manager has analyzed the third-party pricing services' valuation methodologies and related inputs, and has also evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Most prices provided by third-party pricing services are classified into Level 2 because the inputs used in pricing the securities are observable. Due to the lack of transparency in the process that brokers use to develop prices, most valuations that are based on brokers' prices are classified as Level 3. Some valuations may be classified as Level 2 if the price can be corroborated with observable market data.

Derivative Instruments

Derivative instruments are fair valued using pricing valuation models for OTC derivatives that utilize independent market data inputs, quoted market prices for exchange-traded derivatives and OTC-cleared derivatives, or independent broker quotations.

The Company performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. The Company performs various controls on derivative valuations which include both quantitative and qualitative analyses. Analyses are conducted by a cross-functional group of investment, actuarial, risk and information technology professionals that analyze impacts of changes in the market environment and investigate variances. There is a monthly analysis to identify market value changes greater than pre-defined thresholds, stale prices, missing prices and zero prices. Also on a monthly basis, a second source validation, typically to broker quotations, is performed for certain of the more complex derivatives and all new deals during the month. A model validation review is performed on any new models, which typically includes detailed documentation and validation to a second source. As to certain derivatives that are held by the Company as well as its investment manager's other clients, the Company's investment manager performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. On a daily basis, the Company's investment manager compares market valuations to counterparty valuations for all OTC derivatives held by the Company for collateral purposes.

The Company utilizes derivative instruments to manage the risk associated with certain assets and liabilities. However, the derivative instrument may not be classified with the same fair value hierarchy level as the associated assets and liabilities. Therefore the realized and unrealized gains and losses on derivatives reported in Level 3 may not reflect the offsetting impact of the realized and unrealized gains and losses of the associated assets and liabilities.

Valuation Inputs for Investments

For Level 1 investments, which are comprised of exchange-traded securities and open-ended mutual funds, valuations are based on observable inputs that reflect quoted prices for identical assets in active markets that the Company has the ability to access at the measurement date.

For the Company's Level 2 and 3 bonds and stocks, typical inputs used by pricing techniques include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates.

A description of additional inputs used in the Company's Level 2 and Level 3 measurements is included in the following discussion:

Level 2 The fair values of most of the Company's Level 2 investments are determined by management after considering prices received from third-party pricing services. These investments include mostly bonds and preferred stocks.

Asset-backed securities, collateralized loan obligations, commercial and residential mortgage-backed securities - Primary inputs also include monthly payment information, collateral performance, which varies by vintage year and includes delinquency rates, collateral valuation loss severity rates, collateral refinancing assumptions, and credit default swap indices. Commercial and residential mortgage-backed securities prices also include estimates of the rate of future principal prepayments over the remaining life of the securities. Such estimates are derived based on the characteristics of the underlying structure and prepayment speeds previously experienced at the interest rate levels projected for the underlying collateral.

Foreign government/government agencies - Primary inputs also include observations of credit default swap curves related to the issuer and political events in emerging market economies.

Credit derivatives - Primary inputs include the swap yield curve and credit default swap curves.

Interest rate derivatives - Primary input is the swap yield curve.

NOTES TO FINANCIAL STATEMENTS

Level 3 Most of the Company's securities classified as Level 3 include less liquid securities such as lower quality asset-backed securities, commercial and residential mortgage-backed securities primarily backed by sub-prime loans. Also included in Level 3 are securities valued based on broker prices or broker spreads, without adjustments. Primary inputs for non-broker priced investments including structured securities, are consistent with the typical inputs used in Level 2 measurements noted above but are Level 3 due to their less liquid markets. Additionally, certain long-dated securities are priced based on third-party pricing services, including certain municipal securities, foreign government/government agency securities, and bank loans, which are included with corporate bonds. Primary inputs for these long-dated securities are consistent with the typical inputs used in the preceding described Level 1 and Level 2 measurements, but include benchmark interest rate or credit spread assumptions that are not observable in the marketplace. Primary inputs for privately traded equity securities are internal discounted cash flow models utilizing earnings multiples or other cash flow assumptions that are not observable. Significant inputs for Level 3 derivative contracts primarily include the typical inputs used in the preceding Level 1 and Level 2 measurements, but also may include equity and interest volatility, and swap yield curves beyond observable limits.

Separate Account assets

Non-guaranteed Separate Account assets are primarily invested in mutual funds and are valued by the underlying mutual funds in accordance to their valuation policies and procedures.

Assets and Liabilities Measured at Fair Value Using Significant Unobservable Inputs (Level 3)

b. The table below provides a roll-forward of financial instruments measured at fair value using significant unobservable inputs (Level 3) for the quarter ended September 30, 2021:

(Amounts in thousands)	Beginning Balance As of Prior Quarter End	Transfers into Level 3 [2]	Transfers out of Level 3 [2]	Total Gains and (Losses) Included in:		Purchases	Sales	Settlements	Ending Balance As of Current Quarter End
				Net Income [1]	Surplus				
Assets									
All other corporate bonds – asset-backed	\$ 1	\$ —	\$ —	\$ —	\$ 2	\$ —	\$ —	\$ (2)	\$ 1
Common stocks - unaffiliated	1,681	—	—	—	—	—	—	—	1,681
Total bonds and stocks	1,682	—	—	—	2	—	—	(2)	1,682
Derivatives									
Macro hedge program	\$ 59,283	\$ —	\$ —	\$ —	\$ (28,932)	\$ 81,151	\$ —	\$ 135,021	\$ 246,523
Total derivatives [3]	59,283	—	—	—	(28,932)	81,151	—	135,021	246,523
Total assets	\$ 60,965	\$ —	\$ —	\$ —	\$ (28,930)	\$ 81,151	\$ —	\$ 135,019	\$ 248,205

[1] All amounts in this column are reported in net realized capital gains (losses). All amounts are before income taxes.

[2] Transfers in and/or (out) of Level 3 are primarily attributable to changes in the availability of market observable information and changes to the bond and stock carrying value based on the lower of cost and market requirement.

[3] Derivative instruments are reported in this table on a net basis for asset/(liability) positions.

B. Other Fair Value Disclosures

Not applicable.

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

The tables below reflect the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and partnerships). The fair values are also categorized into the three-level fair value hierarchy as described in Note 20A above.

(Amounts in thousands)	September 30, 2021						
	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Assets							
Bonds - unaffiliated	\$ 5,273,469	\$ 4,591,266	\$ 64,835	\$ 4,881,824	\$ 326,810	\$ —	\$ —
Preferred stocks - unaffiliated	29,907	29,907	—	29,907	—	—	—
Common stocks - unaffiliated	8,586	8,586	6,905	—	1,681	—	—
Mortgage loans	825,881	787,460	—	—	825,881	—	—
Cash, cash equivalents and short-term investments - unaffiliated	200,684	200,693	114,165	78,999	7,520	—	—
Derivative related assets	280,968	273,315	—	11,103	269,865	—	—
Contract loans	95,939	95,939	—	—	95,939	—	—
Surplus debentures	64,992	49,683	—	41,398	23,594	—	—
Low-income housing tax credits	80	80	—	—	80	—	—
Separate Account assets [1]	28,682,987	28,682,987	28,682,987	—	—	—	—
Total assets	\$ 35,463,493	\$ 34,719,916	\$ 28,868,892	\$ 5,043,231	\$ 1,551,370	\$ —	\$ —
Liabilities							
Liability for deposit-type contracts	\$ (188,746)	\$ (188,746)	\$ —	\$ —	\$ (188,746)	\$ —	\$ —
Derivative related liabilities	(30,259)	(31,127)	—	(6,917)	(23,342)	—	—
Separate Account liabilities	(28,682,987)	(28,682,987)	(28,682,987)	—	—	—	—
Total liabilities	\$ (28,901,992)	\$ (28,902,860)	\$ (28,682,987)	\$ (6,917)	\$ (212,088)	\$ —	\$ —

[1] Excludes approximately \$8 million at September 30, 2021, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

NOTES TO FINANCIAL STATEMENTS

(Amounts in thousands)	December 31, 2020						
Type of Financial Instrument	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Assets							
Bonds - unaffiliated	\$ 5,442,164	\$ 4,571,087	\$ —	\$ 5,220,741	\$ 221,423	\$ —	\$ —
Preferred stocks - unaffiliated	6,798	6,639	—	6,798	—	—	—
Common stocks - unaffiliated	8,226	8,226	6,665	—	1,561	—	—
Mortgage loans	815,453	766,623	—	—	815,453	—	—
Cash, cash equivalents and short-term investments - unaffiliated	289,838	289,851	259,308	22,022	8,508	—	—
Derivative related assets	220,861	196,265	—	78,614	142,247	—	—
Contract loans	95,939	95,939	—	—	95,939	—	—
Surplus debentures	49,059	36,401	—	41,566	7,493	—	—
Low-income housing tax credits	150	150	—	—	150	—	—
Separate Account assets [1]	28,421,105	28,421,105	28,421,105	—	—	—	—
Total assets	\$ 35,349,593	\$ 34,392,286	\$ 28,687,078	\$ 5,369,741	\$ 1,292,774	\$ —	\$ —
Liabilities							
Liability for deposit-type contracts	\$ (199,265)	\$(199,264.917)	\$ —	\$ —	\$ (199,265)	\$ —	\$ —
Derivative related liabilities	(437,410)	(437,969)	—	(48,385)	(389,025)	—	—
Separate Account liabilities	(28,421,105)	(28,421,105)	(28,421,105)	—	—	—	—
Total liabilities	\$ (29,057,780)	\$(29,058,338.9)	\$ (28,421,105)	\$ (48,385)	\$ (588,290)	\$ —	\$ —

[1] Excludes approximately \$9.2 million, at December 31, 2020, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

The valuation methodologies used to determine the fair values of bonds, stocks and derivatives are described in the above Fair Value Measurements section of this note.

The amortized cost of cash, cash equivalents and short-term investments approximates fair value.

Fair values for mortgage loans on real estate were estimated using discounted cash flow calculations based on current lending rates for similar type loans. Current lending rates reflect changes in credit spreads and the remaining terms of the loans.

The fair value of contract loans was determined using current loan coupon rates which reflect the current rates available under the contracts. As a result, the fair value approximates the carrying value of the contract loans.

The carrying amounts of the liability for deposit-type contracts and Separate Account liabilities approximate their fair values.

D. At September 30, 2021, the Company had no investments where it was not practicable to estimate fair value.

Note 21 – Other Items

C. Other Disclosures

On June 30, 2021, the Company's indirect owners, Hopmeadow Holdings GP LLC, sold Hopmeadow Holdings LP to Sutton Holdings GP LLC, a subsidiary of Sixth Street, a leading global investment firm. As a result of this sale and merger, the Company has new indirect owners.

In October 2020, the Circuit Court of the State of Wisconsin approved the rehabilitation order filed by the Commissioner of Insurance of the State of Wisconsin to place Time Insurance Company (TIC) in rehabilitation. TLA assumes, through reinsurance, life and annuity reserves from TIC and also provides certain administrative services to TIC's policyholders. In turn, TLA retrocedes much of the reinsured reserves to nonaffiliated reinsurers which also provide certain administrative services to the covered policyholders. While the ultimate outcome of the rehabilitation is unknown at this time it is not expected to be material to TLA. TLA will continue to monitor the developments.

The continuing impact of the outbreak of the novel coronavirus ("COVID-19") and the related disruption to the worldwide economy are affecting companies across all industries. Worldwide health emergency measures to combat the spread of the virus have caused severe disruption resulting in an economic slowdown. The duration and impact of the ongoing COVID-19 public health crises on the financial markets, overall economy and our operations are still uncertain, as is the efficacy of government and central bank interventions. Additionally, further actions regulators may take in response to the COVID-19 public health crisis could impact financial markets and our operations. At this time, the Company is not able to reliably estimate the length and severity of the COVID-19 public health crises and, as such, cannot fully quantify its impact on the financial results, liquidity and capital resources of the Company and its operations in future periods. The Company has reviewed COVID-19 related Interpretations from the Statutory Accounting Principles Working Group of the NAIC. These interpretations as well as relief granted to customers to date have not had a material impact on the Company's financial condition or results of operations.

Note 22 - Events Subsequent

The Company had no material subsequent events through the filing date of November 9, 2021.

Note 23 - Reinsurance

A. Ceded Reinsurance Report

Section 2 - Ceded Reinsurance Report - Part A

1. The Company has one reinsurance agreement in effect under which the reinsurer has a limited right to unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits. See Note 1 for further discussion of prescribed practices.
 - a. For the periods ended September 30, 2021 and December 31, 2020, the estimated amount of the aggregate reduction in surplus of this limited right to unilaterally cancel this reinsurance agreement by the reinsurer for which cancellation results in a net obligation of the Company to the reinsurer, and for which such obligation is not presently accrued was \$23,525,736 and \$43,673,148, respectively.
 - b. For the periods ended September 30, 2021 and December 31, 2020, the total amount of reinsurance credit taken for this agreement was \$29,779,412 and \$55,282,466, respectively.

NOTES TO FINANCIAL STATEMENTS

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination**E. Risk-Sharing Provisions of the Affordable Care Act ("ACA")**

The Company had no accident and health insurance premiums that are subject to the Affordable Care Act risk-sharing provisions.

Note 25 - Changes in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2020 were \$4.5 million. As of September 30, 2021, \$0.2 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$4.3 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on Accident and Health lines of insurance. As a result, there has been a \$0.0 million prior-year development from December 31, 2020 to September 30, 2021. The change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 - Reserves for Life Contracts and Deposit-Type Contracts

No significant change.

Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

Note 33 - Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant change.

Note 34 - Premium and Annuity Considerations Deferred and Uncollected

No significant change.

Note 35 - Separate Accounts

No significant change.

Note 36 - Loss/Claim Adjustment Expenses

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/10/2019
- 6.4 By what department or departments?
State of Connecticut Insurance Department
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Talcott Resolution Distribution Company Inc.	Windsor CT	NO	NO	NO	YES

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [X] No []
- 11.2 If yes, give full and complete information relating thereto:
The Company has \$135,000,194 of bonds pledged as collateral for derivative activity; \$1,680,700 of FHLB capital stock; \$26,796,191 pledged as collateral for FHLB activity; \$7,539,332 of short term investments subject to reverse repurchase agreements, and \$147,322,024 of bonds subject to repurchase agreements.
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 23,959,919
13. Amount of real estate and mortgages held in short-term investments: \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No []
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$ | \$ |
| 14.22 Preferred Stock | \$ | \$ |
| 14.23 Common Stock | \$ 10,234,281 | \$ 10,228,251 |
| 14.24 Short-Term Investments | \$ | \$ |
| 14.25 Mortgage Loans on Real Estate | \$ | \$ |
| 14.26 All Other | \$ | \$ |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 10,234,281 | \$ 10,228,251 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [X] No []
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [X] No [] N/A []
If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
- 16.3 Total payable for securities lending reported on the liability page \$

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JPMorgan Chase Bank N.A.	4 Chase Metro Tech Center 16th Floor Brooklyn NY 11245
The Bank of New York Mellon	101 Barclay Street 8 West New York NY 10286
Federal Home Loan Bank of Boston	800 Boylston St. Boston MA 02199

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Hartford Investment Management Company	U.....
PGIM Inc.	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
106699	Hartford Investment Management Company	FE0BULMG7PY8G4MG7C65	SEC	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [X] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [X] No []

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:
- | | 1
Amount |
|---|----------------------|
| 1.1 Long-Term Mortgages In Good Standing | |
| 1.11 Farm Mortgages | \$ |
| 1.12 Residential Mortgages | \$ 1,250,505 |
| 1.13 Commercial Mortgages | \$ 786,209,783 |
| 1.14 Total Mortgages in Good Standing | \$ 787,460,288 |
| 1.2 Long-Term Mortgages In Good Standing with Restructured Terms | |
| 1.21 Total Mortgages in Good Standing with Restructured Terms | \$ |
| 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months | |
| 1.31 Farm Mortgages | \$ |
| 1.32 Residential Mortgages | \$ |
| 1.33 Commercial Mortgages | \$ |
| 1.34 Total Mortgages with Interest Overdue more than Three Months | \$ |
| 1.4 Long-Term Mortgage Loans in Process of Foreclosure | |
| 1.41 Farm Mortgages | \$ |
| 1.42 Residential Mortgages | \$ |
| 1.43 Commercial Mortgages | \$ |
| 1.44 Total Mortgages in Process of Foreclosure | \$ |
| 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) | \$ 787,460,288 |
| 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter | |
| 1.61 Farm Mortgages | \$ |
| 1.62 Residential Mortgages | \$ |
| 1.63 Commercial Mortgages | \$ |
| 1.64 Total Mortgages Foreclosed and Transferred to Real Estate | \$ |
| 2. Operating Percentages: | |
| 2.1 A&H loss percent | % |
| 2.2 A&H cost containment percent | % |
| 2.3 A&H expense percent excluding cost containment expenses | % |
| 3.1 Do you act as a custodian for health savings accounts? | Yes [] No [X] |
| 3.2 If yes, please provide the amount of custodial funds held as of the reporting date | \$ |
| 3.3 Do you act as an administrator for health savings accounts? | Yes [] No [X] |
| 3.4 If yes, please provide the balance of the funds administered as of the reporting date | \$ |
| 4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? | Yes [X] No [] |
| 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? | Yes [] No [] |

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [] No [] N/A []
- 5.2 If no, explain:
.....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No []
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.	1	Life Contracts		Direct Business Only			7	
		2	3	4	5	6		
	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts	
1. Alabama	AL	L	4,974,580	1,571,058	548	153,999	6,700,185	
2. Alaska	AK	L	643,322		73	43,310	686,705	
3. Arizona	AZ	L	10,987,989	2,478,108	4,432	1,296,366	14,766,895	
4. Arkansas	AR	L	4,436,594	1,590,513	708	26,150	6,053,965	
5. California	CA	L	60,869,938	13,778,322	8,357	3,375,962	78,032,579	
6. Colorado	CO	L	11,142,699	2,832,621	1,278	847,955	14,824,553	
7. Connecticut	CT	L	9,217,137	1,776,034	89	140,616	11,133,876	22,351
8. Delaware	DE	L	2,157,362	414,021		2,250	2,573,633	
9. District of Columbia	DC	L	1,227,274	162,303	33	15,600	1,405,210	
10. Florida	FL	L	44,546,627	9,319,456	8,052	2,230,398	56,104,533	
11. Georgia	GA	L	11,575,088	1,938,773	3,541	300,321	13,817,723	
12. Hawaii	HI	L	2,162,426	151,212	528	76,851	2,391,017	
13. Idaho	ID	L	1,892,813	351,697	842	26,731	2,272,083	
14. Illinois	IL	L	28,224,513	5,997,829	7,819	698,772	34,928,933	
15. Indiana	IN	L	7,436,746	1,720,410	3,870	504,020	9,665,046	(22,351)
16. Iowa	IA	L	6,302,913	464,741	11,234	468,908	7,247,796	
17. Kansas	KS	L	5,540,625	1,123,128	600	82,400	6,746,753	
18. Kentucky	KY	L	4,917,745	1,740,233	3,236	681,219	7,342,433	
19. Louisiana	LA	L	9,394,680	1,514,060	3,740	722,236	11,634,716	
20. Maine	ME	L	844,398	531,740		314,182	1,690,320	
21. Maryland	MD	L	18,189,045	2,757,005		558,838	21,504,888	
22. Massachusetts	MA	L	8,027,572	1,804,978		587,606	10,420,156	
23. Michigan	MI	L	13,898,999	3,050,159	7,777	378,549	17,335,484	
24. Minnesota	MN	L	14,401,944	2,790,435	11,795	971,555	18,175,729	
25. Mississippi	MS	L	2,935,186	62,958	510	48,202	3,046,856	
26. Missouri	MO	L	12,222,575	3,109,796	3,826	365,069	15,701,266	
27. Montana	MT	L	818,724	426,305	824	34,930	1,280,783	
28. Nebraska	NE	L	3,812,864	1,241,566	2,954	929,934	5,987,318	
29. Nevada	NV	L	3,998,362	523,245	1,030	29,970	4,552,607	
30. New Hampshire	NH	L	1,749,259	604,399		79,071	2,432,729	
31. New Jersey	NJ	L	12,649,232	3,219,664	211	804,661	16,673,768	
32. New Mexico	NM	L	2,538,530	213,083	233	20,923	2,772,769	
33. New York	NY	N	6,795,561	300,535	204	20,300	7,116,600	
34. North Carolina	NC	L	24,715,187	5,541,837	11,831	879,147	31,148,002	
35. North Dakota	ND	L	2,208,645	1,004,932	269	151,510	3,365,356	
36. Ohio	OH	L	13,522,103	2,820,939	4,613	631,984	16,979,639	
37. Oklahoma	OK	L	4,914,025	2,409,026	3,211	309,528	7,635,790	
38. Oregon	OR	L	3,367,229	1,709,564	980	100,915	5,178,688	
39. Pennsylvania	PA	L	26,035,865	3,840,575	366	987,840	30,864,646	
40. Rhode Island	RI	L	1,355,627	318,684	606	40,573	1,715,490	
41. South Carolina	SC	L	7,205,170	1,499,697	668	295,274	9,000,809	
42. South Dakota	SD	L	3,002,904	937,189	943	192,554	4,133,590	
43. Tennessee	TN	L	9,890,709	5,493,747	2,434	132,756	15,519,646	
44. Texas	TX	L	39,113,046	7,149,283	4,342	1,523,392	47,790,063	
45. Utah	UT	L	2,788,263	596,092	234	98,703	3,483,292	
46. Vermont	VT	L	1,017,841	136,182		19,188	1,173,211	
47. Virginia	VA	L	13,342,230	3,600,055	846	2,779,534	19,722,665	
48. Washington	WA	L	8,395,501	1,571,927	1,140	716,713	10,685,281	
49. West Virginia	WV	L	2,922,413	2,037,025	1,611	104,112	5,065,161	
50. Wisconsin	WI	L	10,491,570	5,918,000	32,831	538,697	16,981,098	
51. Wyoming	WY	L	679,855	211,435	(1,377)	196,040	1,085,953	
52. American Samoa	AS	N						
53. Guam	GU	N	8,830				8,830	
54. Puerto Rico	PR	L	27,232	34,511			61,743	
55. U.S. Virgin Islands	VI	L	77,142				77,142	
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N	523,810				523,810	
58. Aggregate Other Aliens	OT	XXX	1,242,568				1,242,568	
59. Subtotal	XXX		507,381,087	116,391,087	153,892	26,536,314	650,462,380	
90. Reporting entity contributions for employee benefits plans	XXX							
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX		1,233				1,233	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		1,125,120	2,263	2,499		1,129,882	
94. Aggregate or other amounts not allocable by State	XXX							
95. Totals (Direct Business)	XXX		508,507,440	116,393,350	156,391	26,536,314	651,593,495	
96. Plus Reinsurance Assumed	XXX		51,907,234	7,713,236		3,981,805	63,602,275	
97. Totals (All Business)	XXX		560,414,674	124,106,586	156,391	30,518,119	715,195,770	
98. Less Reinsurance Ceded	XXX		559,540,594	55,833		26,142,783	585,739,210	
99. Totals (All Business) less Reinsurance Ceded	XXX		874,080	124,050,753	156,391	4,375,336	129,456,560	
DETAILS OF WRITE-INS								
58001. ZZZ Other Alien	XXX		1,242,568				1,242,568	
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		1,242,568				1,242,568	
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX							

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....52
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....
 N - None of the above - Not allowed to write business in the state.....5

R - Registered - Non-domiciled RRGs.....
 Q - Qualified - Qualified or accredited reinsurer.....

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Alan Waxman (member of TAO Insurance Holdings, LLC)*					
Sixth Street Advisers, LLC	DE		45-2553330	Ultimate indirect control by Alan Waxman	
Sixth Street TAO Management, LLC	DE		90-1019036		
Sixth Street Insurance GP Holdco, LLC	DE				
Sixth Street Insurance Solutions, LP	DE		87-0910021		
Cadence ALM GP Holdco, LLC	DE		87-0910936	Ultimate indirect control by Alan Waxman	
Cadence ALM US, LP	DE		86-2807598		
Cadence Services US, LLC	DE		86-2807499		
Anthony Michael Muscolino (managing member of TAO Insurance Holdings, LLC)					
TAO Insurance Holdings, LLC**	DE		86-1594781		
TAO Sutton Parent, LLC	CYM				
Sixth Street TAO	DE				
Sixth Street TAO Partners (A), L.P.***	DE		46-5353884		
Sixth Street TAO Partners (B), L.P.***	DE		47-4568030		
Sixth Street TAO Partners (C), L.P.***	DE		47-4572811		
Sixth Street TAO Partners (D), L.P.	DE		82-2903961		
Sixth Street TAO Partners (E), L.P.	DE		82-2904161		
Sixth Street TAO Partners (F), L.P.	DE		83-1650890		
Sixth Street TAO Partners, L.P.***	DE		46-3722549		
PSERS TAO Partners Parallel Fund, L.P.***	DE		46-5536435		
Knight TAO, L.P.***	DE		47-1159382		
Super TAO Contingent MA, L.P.	DE		82-4028968		
Super TAO MA, L.P.***	DE		46-4328935		
TAO Sutton Holdings, LLC	CYM			TAO Insurance Holdings, LLC	100%
Sutton Investments, LLC	BMU			TAO Sutton Holdings, LLC	100%
Sutton Holdings Investments, Ltd.	BMU			Sutton Investments, LLC.	100%
TR Re Ltd.	BMU			Sutton Holdings Investments, Ltd.	100%
Sutton Re Holdings, Ltd.	BMU			Sutton Holdings Investments, Ltd.	100%
Sutton Life Re, Ltd.	BMU			Sutton Re Holdings, Ltd.	100%
Sutton Holdings GP, LLC	DE		86-1856539	Sutton Holdings Investments, Ltd.	100%
Hopmeadow Holdings, LP	DE		82-3930622	Sutton Holdings GP, LLC	100%
Hopmeadow Acquisition, Inc.	DE		82-3950446	Hopmeadow Holdings, LP	100%
Talcott Resolution Life, Inc.	DE		06-1470915	Hopmeadow Acquisition, Inc.	100%
Talcott Resolution Life Insurance Company	CT	88072	06-0974148	Talcott Resolution Life, Inc.	100%
Talcott Resolution Life and Annuity Insurance Company	CT	71153	39-1052598	Talcott Resolution Life Insurance Company	100%
Talcott Resolution Distribution Company, Inc.	CT		06-1408044	Talcott Resolution Life and Annuity Insurance Company	100%
Talcott Resolution Comprehensive Employee Benefit Service Company	CT		06-1120503	Talcott Resolution Life and Annuity Insurance Company	100%
American Maturity Life Insurance Company	CT	81213	06-1422508	Talcott Resolution Life Insurance Company	100%
Talcott Resolution International Life Reassurance Corporation	CT	93505	06-1207332	Talcott Resolution Life Insurance Company	100%
21 Church Street R, LLC	DE		83-2918805	Talcott Resolution Life Insurance Company	100%

*Pursuant to the operating agreement of TAO Insurance Holdings, LLC, Alan Waxman, as a member of TAO Insurance Holdings, LLC, has the authority to appoint the managing member of TAO Insurance Holdings, LLC and has appointed A. Michael Muscolino.

**TAO Insurance Holdings, LLC is the managing member of TAO Sutton Holdings, LLC and TAO Sutton Parent, LLC.

***Indirect owners of Klaverblad Levensverzekering N.V., Lifetri Uitvaartverzekeringen N.V., and Lifetri Verzekeringen N.V.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4926	Hopmeadow Holding Grp	00000	86-1856539				Sutton Holdings GP, LLC	DE	UIP	Sutton Holdings Investments, Ltd.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	00000	82-3930622				Hopmeadow Holdings, LP	DE	UIP	Sutton Holdings GP, LLC	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	00000	82-3950446				Hopmeadow Acquisition, Inc.	DE	UIP	Hopmeadow Holdings, LP	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	00000	06-1470915		0001032204		Talcott Resolution Life, Inc.	DE	UIP	Hopmeadow Acquisition, Inc.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	88072	06-0974148		0000045947		Talcott Resolution Life Insurance Company	CT	UDP	Talcott Resolution Life, Inc.	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	81213	06-1422508				American Maturity Life Insurance Company	CT	IA	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
							Talcott Resolution International Life Reassurance Corporation	CT	IA	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	93505	06-1207332				21 Church Street R, LLC	DE	NIA	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	00000	83-2918805				Talcott Resolution Life and Annuity Insurance Company	CT	RE	Talcott Resolution Life Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	N	
4926	Hopmeadow Holdings Grp	71153	39-1052598				Talcott Resolution Comprehensive Employee Benefit Service Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	Y	
4926	Hopmeadow Holdings Grp	00000	06-1120503				Talcott Resolution Life and Annuity Insurance Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	Y	
4926	Hopmeadow Holdings Grp	00000	06-1408044		0000940622		Talcott Resolution Distribution Company	CT	DS	Talcott Resolution Life and Annuity Insurance Company	Ownership	100.000	A. Michael Muscolino/Alan Waxman	Y	

Asterisk	Explanation
----------	-------------

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

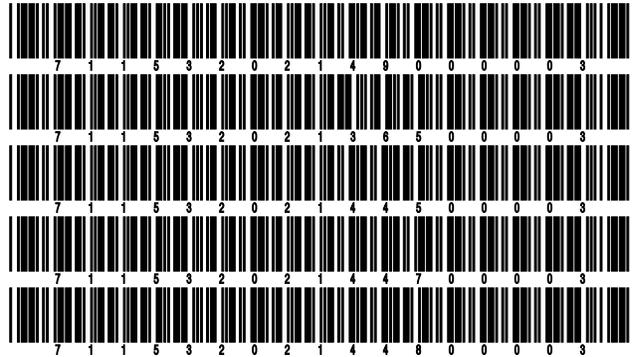
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.
- The data for this supplement is not required to be filed.

Bar Code:

- Trusteed Surplus Statement [Document Identifier 490]
- Medicare Part D Coverage Supplement [Document Identifier 365]
- Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
- Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
- Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 25

	1 Current Statement Date	2 December 31 Prior Year
2504. Provision for future dividends	1,170,636	1,222,551
2505. Accrued interest on derivatives in a liability position	826,185	5,375,823
2506. Interest on policy or contract funds due or accrued	17,708	17,684
2507. Miscellaneous liabilities	(990,271)	14,585,888
2597. Summary of remaining write-ins for Line 25 from overflow page	1,024,258	21,201,946

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	766,622,882	843,320,642
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	120,485,097	45,802,179
2.2 Additional investment made after acquisition	15,719,053	16,103,887
3. Capitalized deferred interest and other		
4. Accrual of discount	40,679	25,529
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals	72,556	(267,727)
7. Deduct amounts received on disposals	115,479,979	138,301,243
8. Deduct amortization of premium and mortgage interest points and commitment fees		60,385
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	787,460,288	766,622,882
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	787,460,288	766,622,882
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	787,460,288	766,622,882

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	515,641,472	523,096,361
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	35,794,353	16,528,080
2.2 Additional investment made after acquisition	23,238,031	26,319,460
3. Capitalized deferred interest and other		
4. Accrual of discount		26,674
5. Unrealized valuation increase (decrease)	77,623,165	29,425,759
6. Total gain (loss) on disposals		185
7. Deduct amounts received on disposals	60,756,951	64,491,881
8. Deduct amortization of premium and depreciation	136,816	244,986
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized	3,453,536	15,018,180
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	587,949,718	515,641,472
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	587,949,718	515,641,472

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	4,596,187,201	4,572,088,267
2. Cost of bonds and stocks acquired	884,292,003	1,059,824,188
3. Accrual of discount	3,150,068	5,821,031
4. Unrealized valuation increase (decrease)	1,621,459	(31,111)
5. Total gain (loss) on disposals	23,723,658	30,173,750
6. Deduct consideration for bonds and stocks disposed of	858,046,964	1,051,707,962
7. Deduct amortization of premium	15,509,523	18,152,309
8. Total foreign exchange change in book/adjusted carrying value	(840,100)	1,614,450
9. Deduct current year's other than temporary impairment recognized	100,788	4,753,109
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	5,509,417	1,310,006
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	4,639,986,430	4,596,187,201
12. Deduct total nonadmitted amounts	2,650,452	2,657,959
13. Statement value at end of current period (Line 11 minus Line 12)	4,637,335,978	4,593,529,242

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	2,853,126,093	333,841,906	290,850,174	(40,677,420)	2,852,929,518	2,853,126,093	2,855,440,406	2,940,354,424
2. NAIC 2 (a)	1,586,510,575	83,507,094	107,930,398	36,089,367	1,634,694,311	1,586,510,575	1,598,176,639	1,565,227,642
3. NAIC 3 (a)	172,491,535	28,055,665	10,159,373	(603,858)	149,710,431	172,491,535	189,783,969	152,644,705
4. NAIC 4 (a)	28,899,787	5,748,425	1,029,123	536,107	28,768,308	28,899,787	34,155,196	18,384,867
5. NAIC 5 (a)	246,476			(1,229)	247,680	246,476	245,247	
6. NAIC 6 (a)	662			384	12,119	662	1,045	16,917
7. Total Bonds	4,641,275,128	451,153,091	409,969,068	(4,656,649)	4,666,362,368	4,641,275,128	4,677,802,501	4,676,628,554
PREFERRED STOCK								
8. NAIC 1	8,481,997			(17,978)		8,481,997	8,464,020	
9. NAIC 2	21,442,785				21,070,160	21,442,785	21,442,785	6,639,285
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock	29,924,782			(17,978)	21,070,160	29,924,782	29,906,805	6,639,285
15. Total Bonds and Preferred Stock	4,671,199,910	451,153,091	409,969,068	(4,674,627)	4,687,432,527	4,671,199,910	4,707,709,306	4,683,267,839

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 59,539,365 ; NAIC 2 \$ 26,997,572 ; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	29,507,810	xxx	29,505,289	54,575	3,310

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	52,551,246	45,151,353
2. Cost of short-term investments acquired	58,702,809	118,579,224
3. Accrual of discount	18,424	276,361
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	(7,498)	
6. Deduct consideration received on disposals	81,726,975	111,367,924
7. Deduct amortization of premium	30,196	87,768
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	29,507,810	52,551,246
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	29,507,810	52,551,246

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	(241,703,789)
2. Cost Paid/(Consideration Received) on additions	152,960,640
3. Unrealized Valuation increase/(decrease)	84,756,208
4. SSAP No. 108 adjustments	
5. Total gain (loss) on termination recognized	(246,881,193)
6. Considerations received/(paid) on terminations	(492,215,882)
7. Amortization	
8. Adjustment to the Book/Adjusted Carrying Value of hedged item	
9. Total foreign exchange change in Book/Adjusted Carrying Value	840,100
10. Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	242,187,848
11. Deduct nonadmitted assets	
12. Statement value at end of current period (Line 10 minus Line 11)	242,187,848

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	6,330,465
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	(6,330,465)
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges	
3.11 Section 1, Column 15, current year to date minus	
3.12 Section 1, Column 15, prior year	
Change in variation margin on open contracts - All Other	
3.13 Section 1, Column 18, current year to date minus	
3.14 Section 1, Column 18, prior year	(940,858) 940,858 940,858
3.2 Add:	
Change in adjustment to basis of hedged item	
3.21 Section 1, Column 17, current year to date minus	
3.22 Section 1, Column 17, prior year	
Change in amount recognized	
3.23 Section 1, Column 19, current year to date minus	
3.24 Section 1, Column 19, prior year plus	(940,858)
3.25 SSAP No. 108 adjustments	940,858 940,858
3.3 Subtotal (Line 3.1 minus Line 3.2)	
4.1 Cumulative variation margin on terminated contracts during the year	(5,930,394)
4.2 Less:	
4.21 Amount used to adjust basis of hedged item	
4.22 Amount recognized	(4,989,536)
4.23 SSAP No. 108 adjustments	(4,989,536)
4.3 Subtotal (Line 4.1 minus Line 4.2)	(940,858)
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year	940,858
5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7. Deduct total nonadmitted amounts	
8. Statement value at end of current period (Line 6 minus Line 7)	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART C - SECTION 1

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic Asset) Transactions								Components of the Replication (Synthetic Asset) Transactions							
1 Number	2 Description	3 NAIC Designation or Other Description	4 Notional Amount	5 Book/Adjusted Carrying Value	6 Fair Value	7 Effective Date	8 Maturity Date	Derivative Instrument(s) Open			Cash Instrument(s) Held				
								9 Description	10 Book/Adjusted Carrying Value	11 Fair Value	12 CUSIP	13 Description	14 NAIC Designation or Other Description	15 Book/Adjusted Carrying Value	16 Fair Value
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	2,455,137	2,454,882	2,583,735	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		131,973	22535G-AC-6	CAALT_21-3A	1.F FE	2,454,882	2,451,761
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	2,450,227	2,450,227	2,808,648	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		131,709	190760-HT-8	COBB-MARIETTA GA COLISEUM & EX	1.A FE	2,450,227	2,676,939
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	5,936,522	6,002,431	6,652,869	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		319,111	12629N-AH-8	COMM_15-DC1	1.A FM	6,002,431	6,333,758
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	11,416,388	11,399,280	17,605,268	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		613,676	44841S-AC-3	HUTCHISON WHAMPOA INTERNATIONAL (O	1.F FE	11,399,280	16,991,592
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	6,186,946	6,212,714	6,957,251	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		332,573	61765T-AE-3	MSBAM_15-C25	1.A FM	6,212,714	6,624,678
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	3,928,219	4,981,974	6,199,912	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		211,157	64972F-L2-0	NEW YORK N Y CITY MUN WTR FIN	1.B FE	4,981,974	5,988,755
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	3,746,539	4,568,121	6,171,745	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		201,391	702274-AW-1	PASADENA CALIF PUB FING AUTH L	1.C FE	4,568,121	5,970,354
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	36,719,031	35,935,238	50,012,602	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		1,973,792	912810-RD-2	TREASURY BOND	1.A	35,935,238	48,038,810
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	1,350,325	1,286,585	1,636,694	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		72,585	912810-RU-4	TREASURY BOND	1.A	1,286,585	1,564,109
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	1,566,377	1,600,866	1,755,817	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		84,199	912810-SX-7	TREASURY BOND	1.A	1,600,866	1,671,618
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	6,088,740	7,724,709	9,649,033	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		327,294	91324P-BK-7	UNITEDHEALTH GROUP INC	1.G FE	7,724,709	9,321,739
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	10,696,843	10,696,843	12,265,256	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		574,997	92783F-AB-2	VIRGINIA INTERNATIONAL GATEWAY INC	1.G PL	10,696,843	11,690,259
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	3,653,244	3,693,471	4,049,847	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		196,376	94989C-AZ-4	WFCM_15-C26	1.A FM	3,693,471	3,853,471
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	1,964,110	1,957,263	2,183,560	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		105,579	95000H-BL-5	WFCM_16-LC24	3.B FM	1,957,263	2,077,981
91283#DP5	BOND WITH INTEREST RATE SWAP	1.B	1,841,353	1,841,353	2,041,366	06/06/2019	06/10/2029	SWP: 2.14%(3ML) 06/10/29		98,980	984851-AG-0	YARA INTERNATIONAL ASA	2.B FE	1,841,353	1,942,386
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	3,594,565	3,660,315	3,976,825	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		74,982	06541W-BB-9	BANK 17-BNK5	1.A FM	3,660,315	3,901,843
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	14,777,656	11,884,280	17,551,628	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		308,261	07274N-BH-5	BAYER US FINANCE I LLC	2.B FE	11,884,280	17,243,367
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	29,954,708	29,954,708	33,460,306	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		624,854	09056F-AA-8	BIO MED GROUND LEASE TRUST 2016 UN	1.B PL	29,954,708	32,835,452
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	4,437,691	4,437,218	4,718,512	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		92,570	12510H-AB-6	CAUTO_20-1A	1.A FE	4,437,218	4,625,942
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	3,993,961	4,062,543	4,390,138	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		83,314	12515G-AF-4	CD_17-CD3	1.A FM	4,062,543	4,306,824
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	20,479,035	24,158,405	35,159,717	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		427,192	313309-AP-1	FEDERAL EXPRESS CORP	2.B FE	24,158,405	34,732,526
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	4,390,743	4,390,494	4,427,300	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		91,591	34964M-AA-2	FORTI_21-1A - ABS	1.A FE	4,390,494	4,335,709
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	4,854,080	4,852,652	5,221,776	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		101,256	38218D-AA-7	GOODG_19-1A - ABS	1.C FE	4,852,652	5,120,520
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	6,028,884	6,028,884	7,931,817	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		125,762	882484-AA-6	TEXAS HEALTH RESOURCES	1.C FE	6,028,884	7,806,055
91278*BB9	BOND WITH INTEREST RATE SWAP	1.B	7,488,677	7,529,078	8,187,872	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49		156,213	95000J-AU-2	WFCM_16-LC25	1.A FM	7,529,078	8,031,658
999999999 - Totals				203,764,535	257,599,494	XXX	XXX	XXX		7,461,388	XXX	XXX	XXX	203,764,535	250,138,106

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART C - SECTION 2

Replication (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year To Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory	2	203,874,937	2	203,659,272	2	203,628,354			2	203,874,937
2. Add: Opened or Acquired Transactions.....										
3. Add: Increases in Replication (Synthetic Asset) Transactions Statement Value.....	XXX		XXX	65	XXX	136,181	XXX		XXX	136,246
4. Less: Closed or Disposed of Transactions.....										
5. Less: Positions Disposed of for Failing Effectiveness Criteria.....										
6. Less: Decreases in Replication (Synthetic Asset) Transactions Statement Value.....	XXX	215,665	XXX	30,983	XXX		XXX		XXX	246,648
7. Ending Inventory	2	203,659,272	2	203,628,354	2	203,764,535			2	203,764,535

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

	Book/Adjusted Carrying Value Check
1. Part A, Section 1, Column 14.....	242,187,848
2. Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....
3. Total (Line 1 plus Line 2).....	242,187,848
4. Part D, Section 1, Column 6.....	273,314,590
5. Part D, Section 1, Column 7.....	(31,126,742)
6. Total (Line 3 minus Line 4 minus Line 5).....
	Fair Value Check
7. Part A, Section 1, Column 16.....	250,708,615
8. Part B, Section 1, Column 13.....
9. Total (Line 7 plus Line 8).....	250,708,615
10. Part D, Section 1, Column 9.....	280,967,768
11. Part D, Section 1, Column 10.....	(30,259,153)
12. Total (Line 9 minus Line 10 minus Line 11).....
	Potential Exposure Check
13. Part A, Section 1, Column 21.....	6,438,986
14. Part B, Section 1, Column 20.....
15. Part D, Section 1, Column 12.....	6,438,986
16. Total (Line 13 plus Line 14 minus Line 15).....

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	213,502,545	249,810,754
2. Cost of cash equivalents acquired	1,691,449,773	5,758,046,367
3. Accrual of discount	54,288	1,345,315
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	648	(9,655)
6. Deduct consideration received on disposals	1,746,159,161	5,795,675,511
7. Deduct amortization of premium		14,725
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	158,848,093	213,502,545
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	158,848,093	213,502,545

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		3 State	4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	City								
BHM2B4N40	MULTI-CITY		US		08/26/2021	2.750	692,082	558,423	1,764,008
0399999. Mortgages in good standing - Residential mortgages-all other									
							692,082	558,423	1,764,008
BHM1T2K0	MIAMI		FL		09/27/2021	4.220		330,384	800,804
BHM26M447	NOBLESVILLE		IN		07/28/2021	2.690		453,846	667,928
BHM27EGM1	NEWPORT BEACH		CA		07/01/2021	2.840	7,200,000		14,089,854
BHM27EGN9	IRVINE		CA		07/01/2021	2.840	6,700,000		12,474,492
BHM28RWD3	DENVER		CO		09/01/2021	2.870		10,797,740	41,426,680
BHM29OAL7	APOPKA		FL		09/20/2021	2.420		5,144,926	9,214,267
BHM29PSUB	MULTI-CITY		FL		08/05/2021	3.090		14,600,000	24,422,925
BHM2AYYC5	ENFLEWOOD		CO		09/10/2021	2.950		6,232,179	14,165,395
0599999. Mortgages in good standing - Commercial mortgages-all other									
							39,877,105	11,581,969	117,262,366
0899999. Total Mortgages in good standing									
							40,569,188	12,140,393	119,026,374
1699999. Total - Restructured Mortgages									
2499999. Total - Mortgages with overdue interest over 90 days									
3299999. Total - Mortgages in the process of foreclosure									
3399999 - Totals									
							40,569,188	12,140,393	119,026,374

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment						14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	City	State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value					
BHM01VSS7	WASHINGTON	DC		02/01/2018	09/01/2021	211,423							211,423	211,423			
0199999. Mortgages closed by repayment																	
BHM01LDV8	BALTIMORE	MD		11/15/2017	09/01/2021	77,665							77,665	77,665			
BHM03Z7L4	MULTI-CITY	US		08/21/2008	09/01/2021	106,199							106,199	106,199			
BHMOJEH2	MULTI-CITY	US		05/10/2019	09/01/2021	9,686		(229)			(229)		9,456	9,456			
BHMOJEH22	MULTI-CITY	US		04/14/2011	09/01/2021	47,138							47,138	47,138			
BHMOJEP2	SANTA BARBARA	CA		04/14/2011	09/01/2021	21,844							21,844	21,844			
BHMOL66Z8	MULTI-CITY	US		05/10/2019	09/01/2021	34,107					9		34,117	34,117			
BHMOLC8T7	SAN BRUNO	CA		01/08/2020	09/01/2021	134,557							134,557	134,557			
BHMOLK686	ENCINITAS	CA		02/16/2012	09/01/2021	61,089							61,089	61,089			
BHMOLZH16	CARLSBAD	CA		02/01/2018	09/01/2021	184,074							184,074	184,074			
BHMOM2AN8	CHICAGO	IL		04/24/2012	09/01/2021	50,771							50,771	50,771			
BHMOM2C06	EVANSTON	IL		04/05/2012	09/01/2021	62,439							62,439	62,439			
BHMOM3UB0	BELLEVUE	WA		07/17/2012	09/01/2021	255,570							255,570	255,570			
BHM10N625	IRVINE	CA		02/01/2018	09/01/2021	293,983							293,983	293,983			
BHM127TJ6	NEW YORK	NY		05/10/2019	09/01/2021	11,084		(304)			(304)		10,780	10,780			
BHM190WN1	SAN DIEGO	CA		02/01/2018	09/01/2021	186,425							186,425	186,425			
BHM1KA3P3	CHARLOTTE	NC		02/01/2018	09/01/2021	167,753							167,753	167,753			
BHM1R1K02	FORT WORTH	TX		02/01/2018	09/01/2021	166,832							166,832	166,832			
BHM1T12H3	COLUMBUS	OH		12/19/2017	09/01/2021	9,059							9,059	9,059			
BHM1TLVF8	ARLINGTON	TX		06/28/2018	09/01/2021	56,096							56,096	56,096			
BHM1UHQB3	HOUSTON	TX		04/27/2018	09/01/2021	20,526							20,526	20,526			
BHM1VMB76	BALTIMORE	MD		08/29/2018	09/01/2021	48,186							48,186	48,186			

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
BHM1W4Z95	MULI-CITY	US		08/15/2018	09/01/2021	279,411						279,411	279,411			
BHM21LBR5	MADISON	WI		02/11/2020	09/01/2021	52,500						52,500	52,500			
0299999. Mortgages with partial repayments						2,336,995		(524)			(524)	2,336,471	2,336,471			
BHM01VSS7	WASHINGTON	DC		02/01/2018	09/13/2021	21,451,284						21,451,284	21,451,284			
BHMOKTYF2	NEWPORT BEACH	CA		02/01/2018	07/01/2021	13,143,691						13,143,691	13,143,691			
BHMOKTYG0	IRVINE	CA		10/26/2011	07/01/2021	1,612,723						1,612,723	1,612,723			
BHM21C6E0	MIAMI	FL		08/14/2019	07/15/2021	8,800,236		25,973			25,973	8,826,209	8,826,209			
0399999. Mortgages disposed						45,007,934		25,973			25,973	45,033,907	45,033,907			
0599999 - Totals						47,556,353		25,449			25,449	47,581,801	47,581,801			

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
BHM025-L7-6	AEA INVESTORS 2006 FUND LP	NEW YORK	NY	CAPITAL CALL		08/24/2021	3		6,382		1,321,500	1.636
BHM21P-3U-8	ARLINGTON CAPITAL PARTNERS V LP	CHEVY CHASE	MD	CAPITAL CALL		07/08/2021	3		767,396		5,601,154	1.222
BHM15W-06-0	BLACKSTONE STRATEGIC CAPITAL HOLDI	NEW YORK	NY	CAPITAL CALL		07/01/2021	13		914,371		7,845,000	0.827
BHM298-JB-3	CAROUSEL CAPITAL PARTNERS VI LP	CHARLOTTE	NC	CAPITAL CALL		07/20/2021			21,529		4,447,892	0.643
BHM27R-3Z-7	CENTURY DOXA CO-INVEST L.P.	BOSTON	MA	CAPITAL CALL		09/28/2021			653,898			2.701
BHM2AU-AF-2	CIVC PARTNERS FUND VI LP	CHICAGO	IL	DIRECT WITH ISSUER		07/27/2021		171,299			2,828,701	0.750
BHM22Z-RJ-4	CORTEC GROUP FUND VII LP	NEW YORK	NY	CAPITAL CALL		07/12/2021	3		87,245		7,169,496	0.800
BHM273-T4-1	DOVER STREET X LP	BOSTON	MA	CAPITAL CALL		09/24/2021	3		1,120,000		10,080,000	0.233
BHM26R-7Z-5	GRAYCLIFF PRIVATE EQUITY PARTNERS	NEW YORK	NY	CAPITAL CALL		09/28/2021	3		468,271		7,974,924	2.743
BHMOKJ-87-1	GRIDIRON CAPITAL FUND III LP	NEW CANAAN	CT	CAPITAL CALL		09/28/2021	3		17,833		2,778,199	1.982
BHM1CV-9A-3	GRIDIRON CAPITAL FUND III LP	NEW CANAAN	CT	CAPITAL CALL		09/28/2021	3		10,593		9,368,191	2.555
BHM252-W4-1	GRIDIRON CAPITAL FUND IV LP	NEW CANAAN	CT	CAPITAL CALL		07/14/2021			1,997,052		3,298,790	0.974
BHM1EV-P2-1	GRYPHON CO-INVEST FUND IV LP	SAN FRANCISCO	CA	CAPITAL CALL		09/30/2021	3		116,292		403,083	7.537
BHM27T-0L-7	GRYPHON HERITAGE PARTNERS LP	LOS ANGELES	CA	CAPITAL CALL		09/29/2021	3		16,977		2,525,043	1.193
BHM1EV-P1-3	GRYPHON PARTNERS IV LP	SAN FRANCISCO	CA	CAPITAL CALL		09/30/2021	3		869,913		2,539,217	2.271
BHM27W-84-0	GRYPHON PARTNERS VI LP	LOS ANGELES	CA	CAPITAL CALL		09/27/2021	3		721,764		3,146,005	1.264
BHM2BE-9K-8	LEEDS EQUITY PARTNERS VII LP	NEW YORK	NY	DIRECT WITH ISSUER		09/08/2021		542,597			9,857,403	1.040
BHM0FS-8H-5	LINCOLNSHIRE EQUITY FUND IV LP	NEW YORK	NY	CAPITAL CALL		07/01/2021	3		15,789		16,481	0.991
BHM205-77-0	OAKTREE PORTS AMERICA FUND LP	LOS ANGELES	CA	CAPITAL CALL		09/30/2021	3		12,005		258,642	1.064
BHMOME-7Y-2	PARTHENON INVESTORS IV LP	BOSTON	MA	CAPITAL CALL		09/03/2021	3		3,750			1.226
BHM252-3H-4	PARTHENON INVESTORS VI LP	SAN FRANCISCO	CA	CAPITAL CALL		07/21/2021			169,345		8,726,994	0.888
BHM22R-5B-3	REVELSTOKE CAP PARTNERS FUND III	DENVER	CO	CAPITAL CALL		08/03/2021	3		1,207,345		3,501,682	1.407
BHM22R-5C-1	UPFRONT GROWTH III LP	LOS ANGELES	CA	CAPITAL CALL		08/05/2021	1		127,387		3,152,768	3.791
BHMOME-7Z-9	UPFRONT IV L.P.	LOS ANGELES	CA	CAPITAL CALL		07/09/2021	3		58,969		9,640,441	5.404
BHM2BE-9L-6	UPFRONT VII LP	SANTA MONICA	CA	VARIOUS		09/16/2021		196,724	150,905		4,652,371	1.000
BHM1DU-0C-9	VIG PARTNERS III LP	SAN FRANCISCO	CA	CAPITAL CALL		07/20/2021	3		76,206		1,754,220	4.212
BHM22J-9D-3	WIND POINT PARTNERS IX-A LP	CHICAGO	IL	CAPITAL CALL		07/22/2021	3		454,298		6,530,696	1.042
1999999	Joint Venture Interests - Common Stock - Unaffiliated							910,620	10,065,515		119,418,893	XXX
BHM20C-LC-8	KKR RECOF FEEDER III L.P.	NEW YORK	NY	CAPITAL CALL		09/10/2021			300,187		10,666,000	12.903
4699999	Any Other Class of Assets - Unaffiliated								300,187		10,666,000	XXX
4899999	Total - Unaffiliated							910,620	10,365,702		130,084,893	XXX
4999999	Total - Affiliated											XXX
5099999	Totals							910,620	10,365,702		130,084,893	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income
		3 City	4 State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	11 Current Year's Other Than Temporary Impair- ment Recogn- ized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
BHM0JP-76-2	AEA INVESTORS SBF III LP	NEW YORK	NY	DIRECT WITH ISSUER	03/29/2018	09/30/2021								326,708	326,708				

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
BHM15W-66-0	BLACKSTONE STRATEGIC CAPITAL HOLDI	NEW YORK	NY	VARIOUS	03/01/2018	09/30/2021	215,552	5,632				5,632		221,184					40,120
BHM1AM-CX-1	DIW EQUITY INVESTORS LP	ST LOUIS	MO	CAPITAL DISTRIBUTION	03/29/2018	09/24/2021	85,386	827,286				827,286		912,672					(622,501)
BHM1CV-9A-3	GRIDIRON CAPITAL FUND III LP	NEW CANAAN	CT	CAPITAL DISTRIBUTION	03/29/2018	08/04/2021	30,856	(21,631)				(21,631)		9,225					4,502
BHM252-W4-1	GRIDIRON CAPITAL FUND IV LP	NEW CANAAN	CT	CAPITAL DISTRIBUTION	05/06/2020	07/14/2021	283,020	(17,638)				(17,638)		265,382					
BHM27T-QL-7	GRYPHON HERITAGE PARTNERS LP	LOS ANGELES	CA	CAPITAL DISTRIBUTION	12/15/2020	09/29/2021	41,387							41,387					110
BHM1AE-FF-5	GSO CREDIT ALPHA FUND LP	NEW YORK	NY	CAPITAL DISTRIBUTION	02/01/2018	07/08/2021	1,679,835	128,764				128,764		1,808,599					(631)
BHMOMG-1V-9	HEARTWOOD PARTNERS II LP	GREENWICH	CT	CAPITAL DISTRIBUTION	03/29/2018	08/10/2021	303,652	518				518		304,170					626,159
BHM1AK-95-0	LEXINGTON CAPITAL PARTNERS VIII LP	NEW YORK	NY	CAPITAL DISTRIBUTION	03/29/2018	07/29/2021	152,907	(2,696)				(2,696)		150,212					165,979
BHMOLU-T1-5	MSOUTH EQUITY PARTNERS II LP	WILMINGTON	DE	CAPITAL DISTRIBUTION	03/29/2018	09/28/2021	1,195,228	973,415				973,415		2,168,643					60,424
BHM205-77-0	OAKTREE PORTS AMERICA FUND LP	LOS ANGELES	CA	CAPITAL DISTRIBUTION	05/14/2019	09/30/2021	5,922	81				81		6,003					
BHM22R-5B-3	REVELSTOKE CAP PARTNERS FUND II	DENVER	CO	CAPITAL DISTRIBUTION	11/04/2019	08/03/2021	5,507	(1,148)				(1,148)		4,359					27
BHMOMD-LK-8	SUMMER STREET CAPITAL III LP	BUFFALO	NY	CAPITAL DISTRIBUTION	03/29/2018	08/06/2021	896,238	19,824				19,824		916,061					1,414
BHM03B-4L-0	UPFRONT III LP	LOS ANGELES	CA	CAPITAL DISTRIBUTION	12/29/2010	08/13/2021	4,513,700	(1,247,743)				(1,247,743)		3,265,956					(796,034)
BHMOME-7Z-9	UPFRONT IV L.P.	LOS ANGELES	CA	CAPITAL DISTRIBUTION	02/01/2018	08/13/2021	10,133,119	(6,005,805)				(6,005,805)		4,127,314					(702,861)
BHM22J-9D-3	WIND POINT PARTNERS IX-A LP	CHICAGO	IL	CAPITAL DISTRIBUTION	02/26/2020	09/10/2021	16,788	2,296				2,296		19,084					
1999999	Joint Venture Interests - Common Stock - Unaffiliated						19,559,097	(5,338,846)				(5,338,846)		14,546,959					(1,223,493)
BHM20C-LC-8	KKR RECO FEEDER II L.P.	NEW YORK	NY	CAPITAL DISTRIBUTION	04/20/2020	09/10/2021	268,883	22,720				22,720		291,603					15,089
4699999	Any Other Class of Assets - Unaffiliated						268,883	22,720				22,720		291,603					15,089
4899999	Total - Unaffiliated						19,827,981	(5,316,127)				(5,316,127)		14,838,562					(1,208,405)
4999999	Total - Affiliated																		
5099999	Totals						19,827,981	(5,316,127)				(5,316,127)		14,838,562					(1,208,405)

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
912810-SZ-2	TREASURY BOND		.09/30/2021	Various		66,349,615	66,000,000	126,821	1.A
91282C-BU-4	TREASURY NOTE		.07/12/2021	OUTSIDE MANAGED ACCT		7,492,969	7,500,000	2,664	1.A
91282C-CB-5	TREASURY NOTE		.07/06/2021	CITIGROUP GLOBAL MARKETS, INC		3,070,898	3,000,000	7,286	1.A
91282C-CK-5	TREASURY NOTE		.07/13/2021	CITIGROUP GLOBAL MARKETS, INC		21,946,719	22,000,000	1,046	1.A
0599999. Subtotal - Bonds - U.S. Governments						98,860,201	98,500,000	137,817	XXX
21987D-AE-4	CORPORACION FINANCIERA DE DESARROL	D.	.09/21/2021	OUTSIDE MANAGED ACCT		601,200	600,000	7,000	2.B FE
30216J-AA-3	EXPORT-IMPORT BANK OF INDIA	D.	.09/21/2021	OUTSIDE MANAGED ACCT		611,211	570,000	2,565	2.C FE
71568P-AC-3	PERUSAHAAN L ISTRIK NEGARA	D.	.09/21/2021	OUTSIDE MANAGED ACCT		350,800	320,000	4,693	2.B FE
1099999. Subtotal - Bonds - All Other Governments						1,563,211	1,490,000	14,258	XXX
3137H1-YY-6	FHMS K130 IS		.08/05/2021	WELLS FARGO ADVISORS, LLC		504,166		1,957	1.A
BHM2BR-ZL-8	GOLDEN ST TOBACCO SEC CORP		.09/30/2021	JEFFERIES & CO. INC.		9,197,850	9,160,000		1.D FE
3199999. Subtotal - Bonds - U.S. Special Revenues						9,702,016	9,160,000	1,957	XXX
68245X-AH-2	1011778 BC UNLIMITED LIABILITY CO	A.	.09/02/2021	BANC OF AMERICA SECURITIES LLC		218,225	215,000	3,980	3.B FE
14180L-AA-4	AIR TRANSPORT SERVICES GROUP INC		.08/18/2021	FLOW TRANSFERS US INSTITUTIONAL TRA		16,509	16,000	40	3.C FE
01741R-AL-6	ALLEGHENY TECHNOLOGIES INCORPORATE		.09/20/2021	Various		30,115	30,000	25	4.C FE
05401A-AR-2	AVOLON HOLDINGS FUNDING LTD	D.	.08/18/2021	Various		395,429	423,662		2.C FE
06675F-AY-3	BANQUE FEDERATIVE DU CREDIT MUTUEL	D.	.09/28/2021	OUTSIDE MANAGED ACCT		4,250,000	4,250,000		1.D FE
21871F-AN-8	BCORE 19-CORE		.07/08/2021	BANC OF AMERICA SECURITIES LLC		515,012	517,600	766	1.D FM
103557-AC-8	BOYNE USA INC		.09/21/2021	JANE STREET EXECUTION SERVICES LLC		12,444	12,000	233	4.B FE
05578A-AP-3	BPCE SA	D.	.07/26/2021	OUTSIDE MANAGED ACCT		2,980,410	3,000,000	667	1.E FE
05583J-AH-5	BPCE SA	D.	.09/10/2021	OUTSIDE MANAGED ACCT		1,611,248	1,600,000	11,601	2.A FE
11135F-BQ-3	BROADCOM INC		.09/30/2021	CONVERSION		109,284	126,000		2.C FE
12434C-AQ-7	BX 21-SDMF		.09/17/2021	MORGAN STANLEY & CO. LLC		4,187,366	4,200,000		3.C FE
05609V-AN-5	BX 21-VOLT		.09/15/2021	CITIGROUP GLOBAL MARKETS, INC		5,000,000	5,000,000		1.G FE
05609V-AQ-8	BX 21-VOLT		.09/15/2021	CITIGROUP GLOBAL MARKETS, INC		2,100,000	2,100,000		2.C FE
13033D-AH-8	CAHFA 21-2 IS	C.	.09/28/2021	CITIGROUP GLOBAL MARKETS, INC		257,312		6,561	1.B FE
1248EP-CD-3	CCO HOLDINGS LLC/CCO HOLDINGS CAPI		.09/02/2021	GOLDMAN SACHS & CO LLC		672,580	243,000	192	3.B FE
1248EP-CN-1	CCO HOLDINGS LLC/CCO HOLDINGS CAPI		.08/02/2021	Various		226,249	216,000	2,511	3.B FE
15135U-AX-7	CENOVUS ENERGY INC	A.	.09/09/2021	JP MORGAN SECURITIES LLC		3,177,088	3,200,000		2.C FE
16411R-AK-5	CHENIERE ENERGY INC		.07/13/2021	EXCHANGE		479,816	466,000	5,268	3.C FE
12553S-AG-9	CIFC 21-6A - ABS		.09/22/2021	CITIGROUP GLOBAL MARKETS, INC		3,200,000	3,200,000		1.C FE
185899-AL-5	CLEVELAND-CLIFFS INC		.09/23/2021	Various		117,135	110,000	210	3.C FE
12654A-AA-9	CNX MIDSTREAM PARTNERS LP		.09/15/2021	WELLS FARGO ADVISORS, LLC		13,000	13,000		4.A FE
19260Q-AC-1	COINBASE GLOBAL INC		.09/14/2021	GOLDMAN SACHS & CO LLC		5,000	5,000		3.A FE
19260Q-AD-9	COINBASE GLOBAL INC		.09/14/2021	GOLDMAN SACHS & CO LLC		5,000	5,000		3.A FE
20030N-DT-5	COMCAST CORPORATION		.08/19/2021	CONVERSION		711,009	1,000,400		1.G FE
20030N-DV-0	COMCAST CORPORATION		.08/19/2021	Various		3,053,717	4,439,384		1.G FE
212015-AT-8	CONTINENTAL RESOURCES INC		.08/16/2021	Various		2,703,090	2,262,000	11,652	3.A FE
126307-BB-2	CSC HOLDINGS LLC		.09/02/2021	DEUTSCHE BANK SECURITIES INC		349,305	348,000	3,828	3.C FE
25470X-BD-6	DISH DBS CORP		.09/03/2021	CONVERSION		49,000	49,000	691	4.C FE
24381V-AD-2	DRMT 21-3		.09/24/2021	CREDIT SUISSE SECURITIES (USA) LLC		2,910,387	2,910,453	5,471	2.B FE
23049M-AA-5	DT MIDSTREAM INC		.09/27/2021	Various		320,589	315,000	3,240	3.A FE
14308C-AA-1	FLY LEASING LTD	D.	.08/02/2021	EXCHANGE		189,185	200,000		4.C FE
364760-AP-3	GAP INC		.09/13/2021	CITIGROUP GLOBAL MARKETS, INC		23,000	23,000		3.C FE
378272-AN-8	GLENCORE FUNDING LLC		.09/07/2021	BARCLAYS CAPITAL INC		5,982,294	5,400,000	93,701	2.A FE
382550-BL-4	GOODYEAR TIRE & RUBBER COMPANY (TH		.09/30/2021	Various		459,204	434,000	6,546	3.C FE
38869A-AA-5	GRAPHIC PACKAGING INTERNATIONAL CO		.08/31/2021	BARCLAYS CAPITAL INC		217,000	200,000	1,240	3.B FE
428102-AE-7	HESS MIDSTREAM OPERATIONS LP		.08/02/2021	GOLDMAN SACHS & CO LLC		54,000	54,000		3.A FE
457030-AK-0	INGLES MARKETS INCORPORATED		.07/06/2021	BARCLAYS CAPITAL INC		40,100	40,000	93	3.B FE
48344F-AA-8	KALLPA GENERACION SA	D.	.09/21/2021	OUTSIDE MANAGED ACCT		649,500	600,000	9,669	2.C FE
482548-AA-8	KKR 20-11-RE - ABS		.09/10/2021	CAPITAL CALL		544,689	544,689		2.C PL
527298-BT-9	LEVEL 3 FINANCING INC		.08/18/2021	CITIGROUP GLOBAL MARKETS, INC		412,263	430,000	1,568	3.B FE
50203T-AA-4	LFS TOPCO LLC		.09/14/2021	GOLDMAN SACHS & CO LLC		15,000	15,000		4.B FE
581557-BR-5	MCKESSON CORP		.08/09/2021	OUTSIDE MANAGED ACCT		149,321	150,000		2.A FE
606822-BY-9	MITSUBISHI UFJ FINANCIAL GROUP INC	D.	.07/26/2021	OUTSIDE MANAGED ACCT		5,297,180	5,280,000	684	1.G FE
55354G-AH-3	MSCI INC		.08/04/2021	FLOW TRANSFERS US INSTITUTIONAL TRA		85,400	80,000	720	3.A FE

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
55354G-AQ-3	MSCI INC		.08/03/2021	MORGAN STANLEY & CO. LLC		20,000	20,000		3.A FE
65559C-AE-1	NORDEA BANK ABP	D	.09/23/2021	OUTSIDE MANAGED ACCT		3,670,590	3,675,000		1.F FE
670001-AG-1	NOVELIS CORP		.07/26/2021	BANC OF AMERICA SECURITIES LLC		2,000	2,000		4.A FE
670001-AH-9	NOVELIS CORP		.08/24/2021	Various		43,123	43,000	.57	4.A FE
67400E-AS-3	OKCL 19-3A - ABS		.08/25/2021	WELLS FARGO ADVISORS, LLC		3,000,000	3,000,000		1.C FE
682691-AA-8	ONEMAIN FINANCE CORP		.07/26/2021	GOLDMAN SACHS & CO LLC		160,200	160,000	2,364	3.B FE
67118A-AQ-8	OPGT_21-PORT		.09/30/2021	MORGAN STANLEY & CO. LLC		3,328,594	3,336,970		3.C FE
67118A-AS-4	OPGT_21-PORT		.09/30/2021	MORGAN STANLEY & CO. LLC		4,987,592	5,000,000		4.C FE
69527A-AA-4	PACTIV EVERGREEN GROUP ISSUER INC	C	.09/21/2021	CREDIT SUISSE SECURITIES (USA) LLC		21,000	21,000		4.A FE
70018A-AJ-9	PAIA 19-2A - ABS	C	.09/22/2021	JP MORGAN SECURITIES LLC		8,700,000	8,700,000		1.A FE
70018A-AL-4	PAIA 19-2A - ABS	C	.09/22/2021	JP MORGAN SECURITIES LLC		10,000,000	10,000,000		1.C FE
70932M-AC-1	PENNYMAC FINANCIAL SERVICES INC		.09/15/2021	Various		55,256	55,000	.7	4.A FE
71376L-AE-0	PERFORMANCE FOOD GROUP INC		.07/12/2021	BMO CAPITAL MARKETS CORP		15,000	15,000		4.A FE
72147K-AG-3	PILGRIMS PRIDE CORP		.08/19/2021	BMO CAPITAL MARKETS CORP		76,000	76,000		3.A FE
737446-AQ-7	POST HOLDINGS INC		.09/20/2021	Various		78,305	77,000	1,326	4.B FE
69354N-AD-8	PRA GROUP INC		.09/17/2021	TRUIST SECURITIES, INC		235,000	235,000		3.A FE
75888H-AN-1	REG12 21-1A - ABS	C	.09/28/2021	BNP PARIBAS SECURITIES CORP		3,200,000	3,200,000		1.C FE
75884Y-AC-2	REG20 21-2A - ABS	C	.08/04/2021	BNP PARIBAS SECURITIES CORP		1,500,000	1,500,000		1.C FE
74965L-AA-9	RLJ LODGING TRUST LP		.08/25/2021	WELLS FARGO ADVISORS, LLC		231,438	230,000	1,677	3.C FE
74965L-AB-7	RLJ LODGING TRUST LP		.09/09/2021	WELLS FARGO ADVISORS, LLC		147,000	147,000		3.C FE
74840L-AB-8	ROCKET MORTGAGE LLC		.09/08/2021	BARCLAYS CAPITAL INC		116,050	110,000	882	3.A FE
81882J-AE-1	SGR 20-2		.08/24/2021	NOMURA SECURITIES INTERNATIONAL INC		2,168,222	2,124,000	6,264	3.B FE
82967N-BL-1	SIRIUS XM RADIO INC		.08/03/2021	Various		151,250	151,000		3.C FE
845467-AS-8	SOUTHWESTERN ENERGY COMPANY		.08/23/2021	Various		37,060	37,000		3.B FE
7846EL-AD-9	SPCM SA	D	.09/24/2021	GOLDMAN SACHS & CO LLC		201,000	200,000	139	3.A FE
82670Q-AE-9	SPEAK 21-9A - ABS	C	.07/19/2021	JP MORGAN SECURITIES LLC		3,000,000	3,000,000		1.C FE
L8749F-AC-6	STADIUM FINANCE COMPANY SARL		.07/30/2021	BANC OF AMERICA SECURITIES LLC		3,384,150	3,384,150		1.G PL
85573P-AD-4	STAR 21-4		.09/24/2021	CREDIT SUISSE SECURITIES (USA) LLC		1,395,039	1,395,065	2,430	2.B FE
89613T-AE-8	TAH 18-SFR1 - ABS		.08/05/2021	WELLS FARGO ADVISORS, LLC		2,923,379	2,750,000	2,789	2.A FE
88033G-DL-1	TENET HEALTHCARE CORPORATION		.08/23/2021	Various		30,450	30,000	285	4.A FE
87250F-AN-0	TICP 18-12A - ABS		.08/05/2021	CITIGROUP GLOBAL MARKETS, INC		8,600,000	8,600,000		1.C FE
89055F-AC-7	TOPBUILD CORP		.09/28/2021	BANC OF AMERICA SECURITIES LLC		38,000	38,000		3.B FE
897051-AC-2	TRONOX INC		.07/07/2021	CITIGROUP GLOBAL MARKETS, INC		44,770	44,000	644	4.C FE
902613-AH-1	UBS AG (LONDON BRANCH)	D	.08/03/2021	OUTSIDE MANAGED ACCT		470,000	470,000		1.G FE
90931C-AA-6	UNITED AIRLINES 2019-1 CLASS AA PA		.08/18/2021	ROBERT W. BAIRD & CO. INCORPORATED		885,254	810,563	15,203	1.E FE
90932L-AG-2	UNITED AIRLINES INC		.08/23/2021	Various		114,637	111,000	1,241	3.B FE
911365-BN-3	UNITED RENTALS (NORTH AMERICA) INC		.08/18/2021	GOLDMAN SACHS & CO LLC		76,683	74,000	288	3.B FE
95002K-BJ-1	WFMBS 20-1		.08/19/2021	JP MORGAN SECURITIES LLC		5,170,566	5,013,692	10,386	1.F FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						122,072,061	121,558,628	217,139	XXX
05254H-AA-2	AUSTRALIA AND NEW ZEALAND BANKING	D	.09/17/2021	CITIGROUP GLOBAL MARKETS, INC		2,160,539	1,828,000	32,087	2.B FE
05565A-HN-6	BNP PARIBAS SA	D	.09/23/2021	BNP PARIBAS SECURITIES CORP		7,638,558	6,956,000	169,613	2.C FE
456837-AR-4	ING GROEP NV	D	.09/16/2021	CREDIT SUISSE SECURITIES (USA) LLC		8,900,000	8,000,000	158,444	3.A FE
780097-BQ-3	NATWEST GROUP PLC	D	.09/20/2021	RBC CAPITAL MARKETS, LLC		1,218,171	1,079,000	14,746	3.A FE
90352J-AF-0	UBS GROUP AG	D	.09/17/2021	CREDIT SUISSE SECURITIES (USA) LLC		8,830,000	8,000,000	78,657	2.C FE
4899999. Subtotal - Bonds - Hybrid Securities						28,747,268	25,863,000	453,547	XXX
BHM1VQ-06-3	BAUSCH HEALTH COMPANIES INC	A	.09/30/2021	Various		(17,312)	(17,325)		3.B FE
BHM2BP-B6-1	CATALENT PHARMA SOLUTIONS INC		.09/30/2021	JP MORGAN SECURITIES LLC		18,000	18,000		3.A FE
40482F-AA-7	HV EIGHT LLC		.07/30/2021	NOMURA SECURITIES INTERNATIONAL INC		14,000,000	14,000,000		1.G Z
52729K-AP-1	LEVEL 3 PARENT LLC		.09/30/2021	BANC OF AMERICA SECURITIES LLC		445,500	450,000		3.A FE
58921P-AB-2	MILEAGE PLUS HOLDINGS LLC		.09/30/2021	GOLDMAN SACHS & CO LLC		372,563	350,000		2.C FE
BHM2BR-XQ-9	MOZART BORROWER LP		.09/30/2021	BANC OF AMERICA SECURITIES LLC		62,685	63,000		4.A FE
80875A-AP-6	SCIENTIFIC GAMES INTERNATIONAL INC		.09/30/2021	BANC OF AMERICA SECURITIES LLC		99,500	100,000		4.A FE
78466D-BF-0	SS&C TECHNOLOGIES HOLDINGS INC		.09/30/2021	CREDIT SUISSE SECURITIES (USA) LLC		213,388	215,000		3.B FE
92985-AA-4	WHITEHORSE LIQ PRNTS III TERM LOAN		.09/30/2021	Various					2.B Z
8299999. Subtotal - Bonds - Unaffiliated Bank Loans						15,194,278	15,178,675		XXX

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
8399997. Total - Bonds - Part 3						276,139,034	271,750,303	824,719	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						276,139,034	271,750,303	824,719	XXX
8999997. Total - Preferred Stocks - Part 3							XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX		XXX
64110L-10-6	NETFLIX INC		.08/03/2021	HIMCO OPERATIONAL TRANSACTION	1,083,000	559,950			
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						559,950	XXX		XXX
298706-84-7	American Funds EuroPacific Growth R4 Fund		.07/01/2021	DIRECT WITH ISSUER	0.017	.1			
354713-55-4	Franklin Strategic Income R Fund		.07/01/2021	DIRECT WITH ISSUER	2.176	.21			
416649-28-3	Hartford Dividend & Growth R4 Fund		.07/01/2021	DIRECT WITH ISSUER	32.810	710			
416649-34-1	Hartford High Yield R4 Fund		.07/01/2021	DIRECT WITH ISSUER	4.438	34			
416649-25-9	Hartford Total Return Bond R4 Fund		.07/01/2021	DIRECT WITH ISSUER	150.819	1,696			
55272P-25-7	MFS Total Return Bond R3 Fund		.07/01/2021	DIRECT WITH ISSUER	3.189	36			
552981-46-6	MFS Total Return R3 Fund		.07/01/2021	DIRECT WITH ISSUER	373.818	8,358			
55273H-64-3	MFS Value R3 Fund		.07/01/2021	DIRECT WITH ISSUER	0.194	10			
880208-84-8	Templeton Global Bond R Fund		.07/01/2021	DIRECT WITH ISSUER	10.005	83			
9499999. Subtotal - Common Stocks - Mutual Funds						10,959	XXX		XXX
9799997. Total - Common Stocks - Part 3						570,909	XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						570,909	XXX		XXX
9899999. Total - Preferred and Common Stocks						570,909	XXX		XXX
9999999 - Totals						276,709,943	XXX	824,719	XXX

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
25044-AA-1	DESERT SUNLIGHT FUNDING I-GTD		07/07/2021	SCHEDULED REDEMPTION		9,870	9,870	9,870	9,870						9,870				260	09/30/2036	1.A
36200Q-3L-6	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		358	358	369	381		(22)		(22)		358				16	02/01/2032	1.A
36200R-YQ-9	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		154	154	158	154		(10)		(10)		154				7	01/01/2032	1.A
36200U-WJ-0	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		65	65	66	67		(3)		(3)		65				3	09/01/2031	1.A
36200W-CB-5	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		624	624	688	677		(53)		(53)		624				29	01/01/2032	1.A
36200X-JF-7	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		180	180	202	209		(29)		(29)		180				9	12/01/2031	1.A
36200Y-KN-8	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		932	932	972	963		(31)		(31)		932				44	01/01/2032	1.A
36201A-UL-0	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		5,514	5,514	5,778	5,896		(382)		(382)		5,514				282	07/01/2032	1.A
36201C-6E-9	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		190	190	209	206		(16)		(16)		190				9	03/01/2032	1.A
36201C-PY-4	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		224	224	227	229		(5)		(5)		224				10	01/01/2032	1.A
36201F-Q6-7	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		653	653	672	685		(32)		(32)		653				33	05/01/2032	1.A
36201F-UH-8	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		943	943	970	994		(51)		(51)		943				44	04/01/2032	1.A
36201F-UQ-8	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,574	1,574	1,619	1,660		(87)		(87)		1,574				74	04/01/2032	1.A
36201F-UR-6	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		316	316	325	335		(19)		(19)		316				15	04/01/2032	1.A
36201F-Y6-9	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		492	492	499	504		(13)		(13)		492				23	02/01/2032	1.A
36201H-WX-7	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		2,692	2,692	2,761	2,757		(65)		(65)		2,692				126	06/01/2032	1.A
36201J-F6-1	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		58	58	60	62		(4)		(4)		58				3	05/01/2032	1.A
36201J-FD-6	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		24,102	24,102	25,160	26,965		(2,864)		(2,864)		24,102				1,254	04/01/2032	1.A
36201L-7K-4	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		527	527	553	579		(51)		(51)		527				27	08/01/2032	1.A
36201M-G8-9	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,058	1,058	1,087	1,125		(67)		(67)		1,058				53	06/01/2032	1.A
36201M-JJ-7	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		656	656	676	704		(48)		(48)		656				31	07/01/2032	1.A
36201M-LH-3	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		589	589	637	645		(56)		(56)		589				28	08/01/2032	1.A
36201T-AM-9	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		19,531	19,531	20,086	20,227		(696)		(696)		19,531				949	08/01/2032	1.A
36203L-CQ-3	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		234	234	239	237		(3)		(3)		234				12	09/01/2032	1.A
36209D-R8-9	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		16	16	16	16		(1)		(1)		16				1	09/01/2031	1.A
36209R-VG-5	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		156	156	157	159		(3)		(3)		156				9	08/01/2030	1.A
36209Y-X4-5	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		208	208	212	212		(5)		(5)		208				10	09/01/2031	1.A
3620A1-X7-8	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		40	40	41	41		(1)		(1)		40				2	06/01/2039	1.A
3620A8-LU-5	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		133	133	137	137		(4)		(4)		133				5	08/01/2039	1.A
3620A9-SH-5	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		3,074	3,074	3,173	3,154		(81)		(81)		3,074				110	09/01/2039	1.A
3620AC-3Z-5	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		6,484	6,484	6,694	6,656		(173)		(173)		6,484				233	09/01/2039	1.A
3620AC-4G-6	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		460	460	475	473		(13)		(13)		460				17	09/01/2039	1.A
36211C-2S-0	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		129	129	131	133		(3)		(3)		129				7	07/01/2029	1.A
36213D-3C-0	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		841	841	868	862		(21)		(21)		841				40	02/01/2032	1.A
36213E-AB-2	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,045	1,045	1,092	1,096		(51)		(51)		1,045				49	05/01/2032	1.A
36213E-SK-3	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		2,666	2,666	2,740	2,739		(73)		(73)		2,666				127	01/01/2032	1.A
36213E-YS-9	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		955	955	1,037	1,013		(58)		(58)		955				45	04/01/2032	1.A
36213G-AL-5	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,157	1,157	1,180	1,200		(43)		(43)		1,157				58	02/01/2032	1.A
36213G-TY-7	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		71	71	73	75		(4)		(4)		71				4	11/01/2031	1.A
36213J-V2-8	GNMA 30YR		08/01/2021	SCHEDULED REDEMPTION		3,494	3,494	3,719	3,801		(307)		(307)		3,494				147	04/01/2032	1.A
36213N-LL-8	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		262	262	266	269		(8)		(8)		262				12	12/01/2031	1.A
36213U-C9-9	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		20	20	21	22		(2)		(2)		20				1	11/01/2031	1.A
36213X-SB-1	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		4,132	4,132	4,423	4,297		(165)		(165)		4,132				191	04/01/2032	1.A
36213X-T5-3	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		58	58	60	61		(3)		(3)		58				3	05/01/2032	1.A
36213X-T6-1	GNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		820	820	843	862		(41)		(41)		820				42	05/01/2032	1.A
36225B-ND-6	GNMA 30YR PLATINUM		09/01/2021	SCHEDULED REDEMPTION		1,790	1,790	1,843	1,903		(113)		(113)		1,790				84	05/01/2031	1.A
36225B-PM-4	GNMA 30YR PLATINUM		09/01/2021	SCHEDULED REDEMPTION		14,376	14,376	15,049	15,049		(674)		(674)		14,376				728	09/01/2031	1.A
36179U-CA-8	GNMA2 30YR		09/01/2021	SCHEDULED REDEMPTION		43,753	43,753	43,671	43,669		84		84		43,753				1,103	09/01/2048	1.A
36179U-CB-6	GNMA2 30YR		09/01/2021	SCHEDULED REDEMPTION		183,455	183,455	187,181	187,206		(3,751)		(3,751)		183,455				5,285	09/01/2048	1.A
36202E-AL-3	GNMA2 30YR		09/01/2021	SCHEDULED REDEMPTION		19,268	19,268	19,811	19,794		(525)		(525)		19,268				845	09/01/2034	1.A
36202F-B4-7	GNMA2 30YR		09/01/2021	SCHEDULED REDEMPTION		1,545	1,545	1,653	1,664		(118)		(118)		1,545				56	10/01/2039	1.A
36202F-DB-9	GNMA2 30YR		09/01/2021	SCHEDULED REDEMPTION		6,113	6,113	6,272	6,242		(129)		(129)		6,113				197	12/01/2039	1.A
36202F-E6-9	GNMA2 30YR		09/01/2021	SCHEDULED REDEMPTION		5,030	5,030	5,087	5,082		(52)		(52)		5,030				162	03/01/2040	1.A
36202F-EH-5	GNMA2 30YR		09/01/2021	SCHEDULED REDEMPTION		87,455	87,455	88,135	88,063		(607)		(607)		87,455				2,839	02/01/2040	1.A

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
36202F-GW-0	G2MA2 30YR		09/01/2021	SCHEDULED REDEMPTION		9,824	9,824	10,062	10,044		(220)		(220)		9,824				318	06/01/2040	1.A
36202F-KN-5	G2MA2 30YR		09/01/2021	SCHEDULED REDEMPTION		207,447	207,447	219,667	219,041		(11,595)		(11,595)		207,447				6,709	09/01/2040	1.A
36202F-LP-9	G2MA2 30YR		09/01/2021	SCHEDULED REDEMPTION		4,001	4,001	4,219	4,210		(209)		(209)		4,001				129	10/01/2040	1.A
38380J-DW-5	GNR 17-168 IS		09/16/2021	PREPAYMENT PENALTY		36,904													36,904	12/01/2059	1.A
38380J-FE-3	GNR 17-169 IS		08/16/2021	PREPAYMENT PENALTY		32,259													32,259	01/01/2060	1.A
38380M-G5-4	GNR 19-14 IS		09/16/2021	PREPAYMENT PENALTY		119,361													119,361	11/01/2060	1.A
38380M-E9-8	GNR 19-8 IS		09/16/2021	PREPAYMENT PENALTY		72,427													72,427	11/01/2060	1.A
38381D-ET-3	GNR 21-88 IS		09/16/2021	PREPAYMENT PENALTY		335,783													335,783	09/01/2062	1.A
912810-SF-6	TREASURY BOND		07/06/2021	BARCLAYS CAPITAL INC		3,138,960	2,586,000	2,629,841	2,628,204		(509)		(509)		2,627,695		511,264	511,264	69,651	02/15/2049	1.A
912810-SX-7	TREASURY BOND		07/13/2021	Various		22,354,907	20,500,000	20,955,023			(1,247)		(1,247)		20,953,776		1,401,131	1,401,131	82,028	05/15/2051	1.A
912828-U2-4	TREASURY NOTE		08/05/2021	CITIGROUP GLOBAL MARKETS, INC		3,617,414	3,400,000	3,256,164	3,310,672		8,490		8,490		3,319,161		298,253	298,253	49,337	11/15/2026	1.A
912828-ZC-7	TREASURY NOTE		09/02/2021	JP MORGAN SECURITIES LLC		7,144,922	7,000,000	7,174,180	7,146,075		(23,699)		(23,699)		7,122,377		22,545	22,545	80,490	02/28/2025	1.A
912828-ZQ-6	TREASURY NOTE		09/02/2021	JP MORGAN SECURITIES LLC			10,000,000	9,969,531	9,971,309		2,039		2,039		9,973,349		(463,583)	(463,583)	50,951	05/15/2030	1.A
91282C-BU-4	TREASURY NOTE		07/26/2021	OUTSIDE MANAGED ACCT		7,492,454	7,500,000	7,492,969			110		110		7,493,079		(624)	(624)	2,916	03/31/2023	1.A
91282C-CK-5	TREASURY NOTE		09/30/2021	Various		21,965,059	22,000,000	21,946,719			5,797		5,797		21,952,516		12,543	12,543	6,928	06/30/2023	1.A
0599999 Subtotal - Bonds - U.S. Governments						76,502,030	73,667,814	74,127,969	23,761,647		(32,591)		(32,591)		74,123,767		1,781,529	1,781,529	961,939	XXX	XXX
445545-AH-9	HUNGARY (REPUBLIC OF)	D	07/15/2021	BARCLAYS CAPITAL INC		916,300	850,000	821,188	876,249		(6,546)		(6,546)		869,702		46,598	46,598	41,626	02/21/2023	2.B FE
445545-AL-0	HUNGARY (REPUBLIC OF)	D	07/15/2021	HSBC SECURITIES (USA) INC		299,596	266,000	298,705	293,780		(4,599)		(4,599)		289,180		10,415	10,415	11,676	03/25/2024	2.B FE
715638-BM-3	PERU (THE REPUBLIC OF)	D	08/18/2021	CITIGROUP GLOBAL MARKETS, INC		384,970	281,000	303,035	301,287		(204)		(204)		301,083		83,887	83,887	11,943	11/18/2050	2.A FE
75405U-AA-4	RAS LNG 3	D	09/30/2021	SCHEDULED REDEMPTION		10,975	10,975	11,743	11,303		(328)		(328)		10,975				641	09/30/2027	1.E FE
760942-BB-7	REPUBLICA ORIENT URUGUAY	D	09/30/2021	CITIGROUP GLOBAL MARKETS, INC		828,000	720,000	715,995	717,227		319		319		717,546		110,454	110,454	29,488	10/27/2027	2.C FE
1099999 Subtotal - Bonds - All Other Governments						2,439,841	2,127,975	2,250,665	2,199,845		(11,358)		(11,358)		2,188,487		251,354	251,354	95,373	XXX	XXX
31288F-6X-7	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		38	38	39	39		(1)		(1)		38				2	03/01/2033	1.A
31288R-WQ-3	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		48,290	48,290	50,938	51,308		(3,018)		(3,018)		48,290				2,053	10/01/2036	1.A
31292G-Y5-9	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		333	333	339	338		(6)		(6)		333				17	03/01/2029	1.A
31292H-4H-4	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		18,418	18,418	18,153	18,233		184		184		18,418				651	12/01/2033	1.A
31292H-SQ-8	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		464	464	480	480		(16)		(16)		464				20	11/01/2032	1.A
31296J-TJ-5	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		3,985	3,985	4,015	4,009		(24)		(24)		3,985				160	06/01/2033	1.A
31296M-2N-8	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		5,132	5,132	5,388	5,361		(230)		(230)		5,132				224	09/01/2033	1.A
31296P-TL-6	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		4,010	4,010	4,038	4,032		(23)		(23)		4,010				159	10/01/2033	1.A
31296S-AC-0	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		88	88	91	91		(3)		(3)		88				4	01/01/2034	1.A
31296U-EU-1	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		199	199	206	207		(8)		(8)		199				9	03/01/2034	1.A
31297A-3S-1	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		68,683	68,683	65,732	66,840		1,844		1,844		68,683				2,467	06/01/2034	1.A
31297A-3T-9	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		27,668	27,668	26,479	26,821		847		847		27,668				1,029	06/01/2034	1.A
31297A-5J-9	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		50,853	48,668	49,506	49,506		1,347		1,347		50,853				1,720	06/01/2034	1.A
31297A-5K-6	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		49,346	49,346	47,226	47,992		1,354		1,354		49,346				1,840	06/01/2034	1.A
31297B-AM-4	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		2,351	2,351	2,250	2,288		63		63		2,351				85	06/01/2034	1.A
31298F-JL-7	FGOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		82	82	82	81		(1)		(1)		82				4	01/01/2031	1.A
3128LO-YL-0	FGOLD 30YR ALT-A		09/01/2021	SCHEDULED REDEMPTION		64	64	66	66		(2)		(2)		64				3	11/01/2037	1.A
31283H-QX-6	FGOLD 30YR GIANT		09/01/2021	SCHEDULED REDEMPTION		340	340	351	352		(12)		(12)		340				15	03/01/2032	1.A
31283H-UA-1	FGOLD 30YR GIANT		09/01/2021	SCHEDULED REDEMPTION		424	424	438	439		(15)		(15)		424				18	12/01/2032	1.A
31283H-XH-3	FGOLD 30YR GIANT		09/01/2021	SCHEDULED REDEMPTION		651	651	673	674		(23)		(23)		651				29	06/01/2033	1.A
31283H-Y5-8	FGOLD 30YR GIANT		09/01/2021	SCHEDULED REDEMPTION		2,345	2,345	2,469	2,451		(106)		(106)		2,345				110	12/01/2033	1.A
3128M5-LF-5	FGOLD 30YR GIANT		09/01/2021	SCHEDULED REDEMPTION		10,674	10,674	11,650	12,033		(1,359)		(1,359)		10,674				499	12/01/2037	1.A
3128M7-BX-3	FGOLD 30YR GIANT		09/01/2021	SCHEDULED REDEMPTION		4,231	4,231	4,456	4,519		(287)		(287)		4,231				181	12/01/2038	1.A
3128JR-LE-4	FILMIC 1YR OMIT ARM		09/02/2021	SCHEDULED REDEMPTION		48,033	48,033	51,388	49,300		(2,733)		(2,733)		48,033				936	04/01/2034	1.A
3133A3-3Y-2	FILMIC 30YR UMBS		09/01/2021	SCHEDULED REDEMPTION		77,724	77,724	80,809	80,457		(2,733)		(2,733)		77,724				1,439	04/01/2050	1.A
3133A9-D8-5	FILMIC 30YR UMBS		09/01/2021	SCHEDULED REDEMPTION		14,311	14,311	14,827	14,805		(494)		(494)		14,311				207	08/01/2050	1.A

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
3132AC-RS-1	FHLMC 30YR UMBS MTRCOR		09/01/2021	SCHEDULED REDEMPTION		309,723	309,723	339,243	340,882		(31,159)		(31,159)		309,723				8,672	08/01/2048	1.A
3132WP-LD-7	FHLMC GOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		104,015	104,015	102,958	103,014		1,000		1,000		104,015				2,512	07/01/2047	1.A
3132XY-MY-2	FHLMC GOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		386,750	386,750	382,701	383,739		3,011		3,011		386,750				10,138	03/01/2048	1.A
3132Y1-UJ-5	FHLMC GOLD 30YR		09/01/2021	SCHEDULED REDEMPTION		633,407	633,407	657,357	652,858		(19,452)		(19,452)		633,407				20,568	08/01/2048	1.A
3132LA-K5-0	FHLMC GOLD 30YR CASH ISSUANCE		09/01/2021	SCHEDULED REDEMPTION		515,059	515,059	539,766	535,124		(20,065)		(20,065)		515,059				17,169	10/01/2048	1.A
31335B-BR-6	FHLMC GOLD 30YR GIANT		09/01/2021	SCHEDULED REDEMPTION		112,391	112,391	112,778	112,712		(321)		(321)		112,391				2,428	01/01/2047	1.A
3133TH-A5-6	FHLMC 2104		09/01/2021	SCHEDULED REDEMPTION		44,948	44,948	45,899	45,761		(813)		(813)		44,948				1,973	12/01/2028	1.A
3137AJ-MF-8	FHMS_K016		09/01/2021	SCHEDULED REDEMPTION		487,805	487,805	492,679	488,153		(348)		(348)		487,805				9,132	10/01/2021	1.A
3136ZJ-UN-3	FN 6/12 11TH COFI ARM		09/01/2021	SCHEDULED REDEMPTION		297	297	290	293		4		4		297				9	06/01/2028	1.A
3136A7-2G-6	FNA_12-M9 IS		08/25/2021	PREPAYMENT PENALTY		4,283													4,283	04/01/2022	1.A
3136AE-X6-9	FNA_13-M2		09/01/2021	Various		14,830	14,743	14,063	14,660		83		83		14,743				346	01/01/2023	1.A
31418M-VX-7	FNMA 15YR		09/01/2021	SCHEDULED REDEMPTION		1,498	1,498	1,597	1,545		(47)		(47)		1,498				54	02/01/2024	1.A
31418T-XF-9	FNMA 15YR		09/01/2021	SCHEDULED REDEMPTION		196	196	205	202		(6)		(6)		196				7	05/01/2025	1.A
31418U-2M-5	FNMA 15YR		09/01/2021	SCHEDULED REDEMPTION		1,730	1,730	1,811	1,777		(48)		(48)		1,730				56	06/01/2025	1.A
31419A-BJ-5	FNMA 15YR		09/01/2021	SCHEDULED REDEMPTION		34,807	34,807	37,233	36,045		(1,237)		(1,237)		34,807				1,254	06/01/2025	1.A
31419A-HL-4	FNMA 15YR		09/01/2021	SCHEDULED REDEMPTION		1,510	1,510	1,581	1,548		(38)		(38)		1,510				49	08/01/2025	1.A
31371J-L4-4	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		53	53	54	57		(4)		(4)		53				3	06/01/2030	1.A
31371J-XA-7	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		211	211	216	221		(10)		(10)		211				12	03/01/2031	1.A
31371K-7E-5	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		52,260	52,260	53,346	53,561		(1,301)		(1,301)		52,260				1,891	07/01/2033	1.A
31371K-HY-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		986	986	978	975		11		11		986				47	01/01/2032	1.A
31371L-CD-9	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		306	306	316	317		(10)		(10)		306				11	09/01/2033	1.A
31371L-DH-9	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		7,794	7,794	7,846	7,840		(46)		(46)		7,794				307	10/01/2033	1.A
31382S-GP-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		560	560	580	607		(47)		(47)		560				26	04/01/2029	1.A
31383P-2X-3	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		373	373	378	377		(4)		(4)		373				19	09/01/2029	1.A
31383R-FV-9	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		139	139	136	136		3		3		139				7	08/01/2029	1.A
31383W-X7-1	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		43	43	43	42		2		2		43				2	11/01/2029	1.A
31385J-GG-7	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		876	876	906	933		(58)		(58)		876				41	06/01/2032	1.A
31386E-C4-8	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		425	425	437	441		(16)		(16)		425				20	04/01/2031	1.A
31386H-MR-9	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		10	10	10	10						10				1	01/01/2031	1.A
31386M-ZB-9	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,078	1,078	1,097	1,101		(24)		(24)		1,078				52	10/01/2030	1.A
31386P-UJ-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		44	44	45	46		(2)		(2)		44				3	01/01/2031	1.A
31386R-KK-4	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		255	255	261	267		(12)		(12)		255				14	02/01/2031	1.A
31389C-Q8-5	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		3,502	3,502	3,528	3,552		(50)		(50)		3,502				149	12/01/2031	1.A
31390K-QM-8	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		496	496	509	525		(28)		(28)		496				25	06/01/2032	1.A
31390K-WQ-7	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,376	1,376	1,425	1,441		(65)		(65)		1,376				65	08/01/2032	1.A
31390P-GK-7	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		5,040	5,040	5,270	5,405		(365)		(365)		5,040				242	08/01/2032	1.A
31391U-J2-2	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		4,262	4,262	4,464	4,522		(259)		(259)		4,262				207	01/01/2033	1.A
31391W-SH-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		763	763	786	790		(26)		(26)		763				27	04/01/2033	1.A
31400J-PF-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		72	72	74	75		(3)		(3)		72				3	05/01/2033	1.A
31400J-SJ-9	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		159	159	163	164		(6)		(6)		159				6	02/01/2033	1.A
31400Q-TN-3	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		558	558	561	561		(3)		(3)		558				22	04/01/2033	1.A
31400R-NT-4	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		564	564	599	639		(75)		(75)		564				29	02/01/2033	1.A
31400T-B2-2	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		170	170	171	171		(1)		(1)		170				7	05/01/2033	1.A
31401B-NS-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		3,218	3,218	3,245	3,240		(22)		(22)		3,218				127	04/01/2033	1.A
31401N-4U-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		2,308	2,308	2,395	2,436		(129)		(129)		2,308				85	09/01/2033	1.A
31402C-PL-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		45,496	45,496	47,886	48,617		(3,122)		(3,122)		45,496				1,625	11/01/2033	1.A
31402C-U6-7	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		396	396	407	409		(14)		(14)		396				14	03/01/2034	1.A
31402E-AQ-1	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		179	179	184	186		(8)		(8)		179				7	07/01/2033	1.A
31402K-CE-2	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		984	984	1,014	1,017		(33)		(33)		984				35	08/01/2033	1.A
31403F-JU-5	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		4,506	4,506	4,543	4,529		(23)		(23)		4,506				179	10/01/2033	1.A
31404M-Q2-6	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		3,224	3,224	3,160	3,170		54		54		3,224				132	06/01/2034	1.A
31405A-TY-9	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		978	978	958	962		15		15		978				39	06/01/2034	1.A
31405D-D4-6	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		105	105	103	103		2		2		105				4	07/01/2034	1.A
31408E-G5-5	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		578	578	560	559		19		19		578				23	01/01/2036	1.A

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
31410F-Z9-9	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		18,663	18,663	20,593	21,230		(2,567)		(2,567)		18,663				806	03/01/2037	1.A
31412N-SL-1	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		688	688	726	740		(52)		(52)		688				30	12/01/2038	1.A
31413U-TQ-2	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		13,350	13,350	14,071	14,155		(805)		(805)		13,350				595	12/01/2037	1.A
31416B-VH-8	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		2,167	2,167	2,232	2,249		(82)		(82)		2,167				80	12/01/2034	1.A
31418M-A2-8	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		2,599	2,599	2,710	2,736		(137)		(137)		2,599				103	08/01/2037	1.A
3138ES-AV-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		122,963	122,963	123,395	123,324		(361)		(361)		122,963				2,650	02/01/2047	1.A
3138W5-KA-5	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,451	1,451	1,455	1,454		(3)		(3)		1,451				30	03/01/2043	1.A
3138W6-GB-6	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,730	1,730	1,735	1,733		(3)		(3)		1,730				37	05/01/2043	1.A
3138WJ-AU-2	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		6,131	6,131	6,154	6,151		(19)		(19)		6,131				134	10/01/2046	1.A
3138WJ-UL-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		36,373	36,373	36,510	36,483		(110)		(110)		36,373				781	01/01/2047	1.A
3138WM-XK-2	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,928	1,928	1,933	1,931		(3)		(3)		1,928				41	03/01/2043	1.A
3138WP-G2-4	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		10,573	10,573	10,601	10,594		(20)		(20)		10,573				227	04/01/2043	1.A
3138WQ-A2-8	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,979	1,979	1,983	1,982		(3)		(3)		1,979				43	05/01/2043	1.A
3138WQ-AY-8	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		4,850	4,850	4,860	4,858		(8)		(8)		4,850				105	05/01/2043	1.A
3138WT-RV-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		2,045	2,045	2,049	2,048		(3)		(3)		2,045				44	06/01/2043	1.A
3138WT-US-3	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		2,465	2,465	2,472	2,470		(5)		(5)		2,465				52	04/01/2043	1.A
3138X2-RR-7	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		3,012	3,012	3,018	3,016		(4)		(4)		3,012				65	08/01/2043	1.A
3138X2-YC-2	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,946	1,946	1,950	1,949		(3)		(3)		1,946				42	07/01/2043	1.A
31402R-UN-7	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,319	1,319	1,375	1,394		(74)		(74)		1,319				52	02/01/2035	1.A
3140FV-VX-0	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		45,648	45,648	45,513	45,539		110		110		45,648				1,010	01/01/2047	1.A
3140FN-AS-3	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		582,585	582,585	599,334	597,477		(14,892)		(14,892)		582,585				17,000	07/01/2047	1.A
3140GQ-6T-8	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		2,081,193	2,081,193	2,212,894	2,228,377		(147,184)		(147,184)		2,081,193				52,942	09/01/2047	1.A
3140GX-BT-7	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		227,362	227,362	233,810	232,333		(4,971)		(4,971)		227,362				5,957	11/01/2047	1.A
31410L-VC-3	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		690,207	690,207	694,197	693,580		(3,373)		(3,373)		690,207				13,804	01/01/2047	1.A
31417E-ZA-2	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,137	1,137	1,140	1,139		(2)		(2)		1,137				25	02/01/2043	1.A
31417F-VB-1	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		34,332	34,332	35,175	35,058		(725)		(725)		34,332				749	03/01/2043	1.A
31417G-TQ-9	FNMA 30YR		09/01/2021	SCHEDULED REDEMPTION		1,922	1,922	1,926	1,925		(3)		(3)		1,922				42	06/01/2043	1.A
31410G-NB-5	FNMA 30YR 10/20 INT FIRST		09/01/2021	SCHEDULED REDEMPTION		3,431	3,431	3,430	3,430		1		1		3,431				149	10/01/2037	1.A
3140K4-UB-4	FNMA 30YR UMBS		09/01/2021	SCHEDULED REDEMPTION		230,784	230,784	243,838	243,121		(12,337)		(12,337)		230,784				4,978	03/01/2050	1.A
3140KC-WV-0	FNMA 30YR UMBS		09/01/2021	SCHEDULED REDEMPTION		182,802	182,802	190,628	189,811		(7,009)		(7,009)		182,802				3,412	04/01/2050	1.A
3140KT-TW-5	FNMA 30YR UMBS		09/01/2021	SCHEDULED REDEMPTION		93,550	93,550	91,606	91,606		1,944		1,944		93,550				670	03/01/2051	1.A
3140KT-TY-1	FNMA 30YR UMBS		09/01/2021	SCHEDULED REDEMPTION		127,292	127,292	128,167	128,167		(875)		(875)		127,292				1,185	03/01/2051	1.A
3140KT-XT-7	FNMA 30YR UMBS		09/01/2021	SCHEDULED REDEMPTION		14,233	14,233	14,689	14,689		(456)		(456)		14,233				158	11/01/2050	1.A
3140L3-QQ-7	FNMA 30YR UMBS		09/01/2021	SCHEDULED REDEMPTION		12,378	12,378	12,826	12,826		(449)		(449)		12,378				137	02/01/2051	1.A
3140X4-7L-4	FNMA 30YR UMBS SUPER		09/01/2021	SCHEDULED REDEMPTION		483,992	483,992	524,073	524,073		(40,081)		(40,081)		483,992				9,257	09/01/2049	1.A
3140X7-S4-2	FNMA 30YR UMBS SUPER		09/01/2021	SCHEDULED REDEMPTION		517,126	517,126	547,749	545,798		(28,672)		(28,672)		517,126				9,481	09/01/2050	1.A
3140X9-SL-0	FNMA 30YR UMBS SUPER		09/01/2021	SCHEDULED REDEMPTION		161,094	161,094	172,371	172,371		(11,277)		(11,277)		161,094				3,125	01/01/2050	1.A
31359S-J3-5	FNMA 01-19		09/01/2021	SCHEDULED REDEMPTION		5,156	5,156	5,410	5,315		(158)		(158)		5,156				242	05/01/2031	1.A
31359S-JT-8	FNMA 01-5		09/01/2021	SCHEDULED REDEMPTION		2,205	2,205	2,231	2,223		(18)		(18)		2,205				113	03/01/2031	1.A
31392C-KP-8	FNMA 02-15		09/25/2021	SCHEDULED REDEMPTION		10,490	10,490	10,573	10,490						10,490				59	04/25/2032	1.A
31392F-P9-2	FNMA 02-82		09/25/2021	SCHEDULED REDEMPTION		7,309	7,309	7,311	7,309						7,309				32	12/25/2032	1.A
31394A-E2-8	FNMA 04-69		09/01/2021	SCHEDULED REDEMPTION		10,894	10,894	11,367	11,177		(283)		(283)		10,894				513	05/01/2033	1.A
31396X-QJ-6	FNMA 07-89		09/25/2021	SCHEDULED REDEMPTION		14,097	14,097	14,020	14,037		60		60		14,097				70	09/25/2037	1.A
31397L-TB-5	FNMA 08-49		09/01/2021	SCHEDULED REDEMPTION		15,863	15,863	16,640	16,629		(766)		(766)		15,863				585	04/01/2038	1.A
45200F-CE-7	ILLINOIS FIN AUTH-THEORY & COMPUTI		07/01/2021	CALL TRANSACTION		510,000	510,000	510,000	510,000						510,000				32,059	07/01/2033	1.C FE
752123-JH-3	RANCHO CUJAMONGA REDEV TAX ALLOC		09/01/2021	CALL TRANSACTION		595,000	595,000	595,000	595,000						595,000				37,259	09/01/2031	2.B FE
3199999	Subtotal - Bonds - U.S. Special Revenues					10,134,643	10,130,272	10,485,805	9,541,299		(353,491)		(353,491)		10,130,272				298,964	XXX	XXX
139859-AB-8	ABC INC		08/15/2021	MATURED		4,000,000	4,000,000	5,199,080	4,069,129		(69,129)		(69,129)		4,000,000				350,000	08/15/2021	2.A FE
04542B-LY-6	ABFC_05-WIF1		09/27/2021	SCHEDULED REDEMPTION		49,240	49,240	46,101	48,311		929		929		49,240				229	11/25/2034	1.A FM
004421-MG-5	ACE_05-HE2		09/27/2021	SCHEDULED REDEMPTION		65,680	65,680	56,136	61,987		3,693		3,693		65,680				549	04/25/2035	1.D FM
00119V-AC-3	AGL_20-6A - ABS	C	07/12/2021	CALL TRANSACTION		10,000,000	10,000,000	10,000,000	10,000,000						10,000,000				237,339	07/20/2031	1.C FE
008511-AE-7	AGL_20-7A - ABS		07/01/2021	Various				7,700,000	7,700,000										172,369	07/15/2031	1.C FE
00868P-AA-3	AHOLD LEASE SERIES 2001-A-2 PASS T - ABS		08/23/2021	SCHEDULED REDEMPTION		365,434	365,434	460,447	410,592		(45,158)		(45,158)		365,434				43,815	01/02/2025	2.B FE
009098-A*-5	AIR CARGO LOGISTICS FT WORTH TX C.		09/10/2021	SCHEDULED REDEMPTION		28,210	28,210	28,210	28,210						28,210				916	11/10/2039	1.F

E05.3

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
01185-AA-3	ALASKA VENTURES LLC		09/30/2021	SCHEDULED REDEMPTION JP MORGAN SECURITIES LLC		142,955	142,955	142,955	142,955						142,955				3,338	06/30/2033	2.C PL
019736-AE-7	ALLISON TRANSMISSION HOLDINGS INC		07/08/2021	WELLS FARGO ADVISORS, LLC		208,914	200,000	208,040	207,521		(879)		(879)		206,642		2,272	2,272	7,415	10/01/2027	3.B FE
00164V-AE-3	AMC NETWORKS INC		07/08/2021	WELLS FARGO ADVISORS, LLC		454,906	443,000	450,753	450,753		(1,046)		(1,046)		449,706		5,199	5,199	9,411	08/01/2025	3.C FE
03072S-YD-2	AMSI_04-R12		08/25/2021	SCHEDULED REDEMPTION		4,777	4,777	4,612	4,735		42		42		4,777					01/25/2035	1.D FM
03072S-QC-2	AMSI_04-R3		09/27/2021	SCHEDULED REDEMPTION		12,593	12,593	12,058	12,291		302		302		12,593				82	05/25/2034	1.D FM
03072S-RX-5	AMSI_04-R5		09/27/2021	SCHEDULED REDEMPTION		34,447	34,447	30,641	32,618		1,829		1,829		34,447				249	07/25/2034	1.D FM
03464N-AA-0	ADMT_18-3		09/01/2021	SCHEDULED REDEMPTION		208,271	208,271	208,269	208,270						208,271				5,476	09/01/2048	1.A FM
03464N-AB-8	ADMT_18-3		09/01/2021	SCHEDULED REDEMPTION		215,167	215,167	215,164	215,166						215,167				5,816	09/01/2048	1.A FM
037411-AM-7	APACHE CORPORATION		07/09/2021	Various WELLS FARGO ADVISORS, LLC		1,458,875	1,200,000	1,374,058	1,367,465		(1,336)		(1,336)		1,366,129		92,746	92,746	80,531	08/15/2047	3.A FE
03939C-AA-1	ARCH CAPITAL FINANCE LLC		09/30/2021	Various		1,375,430	1,225,000	1,225,000	1,225,000						1,225,000		150,430	150,430	39,444	12/15/2026	2.A FE
03966V-AA-5	ARCONIC ROLLED PRODUCTS CORP		07/28/2021	Various		356,428	333,000	353,813	353,813		(2,119)		(2,119)		351,694		4,734	4,734	9,225	05/15/2028	3.C FE
05401A-AB-7	AVOLON HOLDINGS FUNDING LTD	D	08/18/2021	EXCHANGE HIMCO OPERATIONAL TRANSACTION		222,485	222,000	222,993	222,618		(133)		(133)		222,485				9,576	10/01/2023	2.C FE
05401A-AR-2	AVOLON HOLDINGS FUNDING LTD	D	08/23/2021	RBC CAPITAL MARKETS, LLC		3,661	3,662	3,393						3,393		268	268			11/18/2027	2.C FE
05565Q-DN-5	BP CAPITAL MARKETS PLC	D	09/30/2021	Various		2,841,981	2,599,000	2,593,230	2,594,913		417		417		2,595,330		246,650	246,650	88,772	09/19/2027	1.F FE
05578A-AP-3	BPCE SA	D	08/04/2021	OUTSIDE MANAGED ACCT		2,984,910	3,000,000	2,980,410			83		83		2,980,493		4,417	4,417	1,250	01/20/2026	1.E FE
11135F-AQ-4	BROADCOM INC		09/30/2021	CONVERSION		139,443	126,000	140,882	140,400		(956)		(956)		139,443				4,575	11/15/2030	2.C FE
073879-NZ-7	BSABS_04-HE11		09/27/2021	SCHEDULED REDEMPTION CITIGROUP GLOBAL		99,100	99,100	88,735	97,094		2,006		2,006		99,100				1,226	12/25/2034	1.D FM
118230-AQ-4	BUCKEYE PARTNERS LP		07/09/2021	MARKETS, INC		361,186	350,000	348,688			74		74		348,762		12,424	12,424	8,525	12/01/2026	3.B FE
22535F-AC-8	CAALT_18-2A		09/15/2021	SCHEDULED REDEMPTION		200,000	200,000	199,967	199,990		10		10		200,000				5,957	09/15/2027	1.E FE
22534D-AE-0	CAALT_18-3		09/15/2021	SCHEDULED REDEMPTION BANC OF AMERICA		118,284	118,284	118,236	118,723		(439)		(439)		118,284				3,584	12/15/2027	1.E FE
131347-CK-0	CALPINE CORP		07/06/2021	SECURITIES LLC		484,335	470,000	463,598	464,954		37		37		464,991		19,344	19,344	14,874	06/01/2026	3.A FE
136375-CD-2	CANADIAN NATIONAL RAILWAY COMPANY	A	08/04/2021	OUTSIDE MANAGED ACCT		501,302	470,000	497,763	493,617		(3,516)		(3,516)		490,101		11,201	11,201	9,783	11/21/2024	1.F FE
12510H-AB-6	CAUTO_20-1A		09/15/2021	SCHEDULED REDEMPTION		61,649	61,649	61,639	61,640		10		10		61,649				1,383	02/15/2050	1.A FE
12510H-AD-2	CAUTO_20-1A		09/15/2021	SCHEDULED REDEMPTION		472	472	472	472						472				11	02/15/2050	1.E FE
12489W-MZ-4	CBASS_05-CB5		09/27/2021	SCHEDULED REDEMPTION		86,223	86,223	77,250	83,087		3,136		3,136		86,223				447	08/25/2035	1.D FM
125238-AA-9	CC TUGS LLC		09/30/2021	SCHEDULED REDEMPTION		146,459	146,459	146,459	146,459						146,459				7,030	09/30/2030	3.B PL
15135B-AM-3	CENTENE CORPORATION		08/15/2021	CALL TRANSACTION		45,774	44,000	44,000	44,000						44,000				4,139	08/15/2026	3.A FE
16411R-AJ-8	CHENIERE ENERGY INC		07/13/2021	EXCHANGE		479,816	466,000	480,680	480,680		(864)		(864)		479,816				17,422	10/15/2028	3.C FE
17307G-UV-8	QMLTI_05-0PT4		09/27/2021	SCHEDULED REDEMPTION WELLS FARGO ADVISORS, LLC		6,947	6,947	6,460	6,748		199		199		6,947				55	07/25/2035	1.D FM
12654A-AA-9	CNX MIDSTREAM PARTNERS LP		09/16/2021	LLC		13,114	13,000	13,000						13,000		114	114			04/15/2030	4.A FE
19260Q-AC-1	COINBASE GLOBAL INC		09/16/2021	GOLDMAN SACHS & CO LLC		4,913	5,000	5,000						5,000		(88)	(88)	1	10/01/2028	3.A FE	
19260Q-AD-9	COINBASE GLOBAL INC		09/16/2021	GOLDMAN SACHS & CO LLC		4,906	5,000	5,000						5,000		(94)	(94)	2	10/01/2031	3.A FE	
20030N-CN-9	COMCAST CORPORATION		08/19/2021	EXCHANGE		3,053,707	3,031,000	3,054,317	3,053,849		(142)		(142)		3,053,707				126,896	10/15/2058	1.G FE
20030N-DT-5	COMCAST CORPORATION		08/23/2021	Various HIMCO OPERATIONAL TRANSACTION		399	400	284						284		115	115			11/01/2056	1.G FE
20030N-DV-0	COMCAST CORPORATION		08/20/2021	TRANSACTION		384	384	259						259			125	125		11/01/2063	1.G FE
12635Q-BF-6	COMM_15-0R27		09/01/2021	SCHEDULED REDEMPTION		1	1	1	1					1						10/01/2048	1.A FM
12655C-AC-0	CPS_18-C		09/15/2021	SCHEDULED REDEMPTION		542,366	542,366	542,387	542,369		(3)		(3)		542,366				10,058	06/17/2024	1.A FE
12596U-AC-2	CPS_19-C		09/15/2021	SCHEDULED REDEMPTION		301,786	301,786	301,597	301,655		131		131		301,786				6,428	06/16/2025	1.F FE
12636L-AX-8	CSAIL_16-CS		09/01/2021	SCHEDULED REDEMPTION		136,456	136,456	137,820	137,224		(768)		(768)		136,456				3,570	11/01/2048	1.A FM
22541Q-EP-3	CSFB_03-C3 IS		07/01/2021	SCHEDULED REDEMPTION		187	187	187						187					2,213	05/01/2038	6. FE
126659-AA-9	CVS PASSTHROUGH TRUST - ABS		09/10/2021	SCHEDULED REDEMPTION		54,240	54,240	72,727	66,487		(12,247)		(12,247)		54,240				3,273	07/10/2031	2.B FE
12665U-AA-2	CVS PASSTHROUGH TRUST - ABS		09/10/2021	SCHEDULED REDEMPTION		64,525	64,525	64,626	64,525						64,525				2,192	01/10/2036	2.B FE
152314-NB-2	CXHE_05-B		09/27/2021	SCHEDULED REDEMPTION		89,868	89,868	82,987	88,830		1,038		1,038		89,868				465	03/25/2035	1.A FM
25278X-AQ-2	DIAMONDBACK ENERGY INC		09/10/2021	BARCLAYS CAPITAL INC		4,308,822	3,728,000	3,715,660						3,715,755		593,067	593,067		77,460	03/24/2051	2.C FE
25470X-BC-8	DISH DBS CORP		09/03/2021	CONVERSION		49,000	49,000	49,000						49,000					691	06/01/2029	4.C FE

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
269330-AA-4	E3 19-1 - ABS		09/20/2021	SCHEDULED REDEMPTION		144,510	144,510	144,506	144,506				4		144,510				4,118	09/20/2055	1.A FE
278300-AA-6	EATON 20-1A - ABS	C	08/13/2021	CALL TRANSACTION		5,000,000	5,000,000	5,000,000	5,000,000						5,000,000				97,845	10/15/2030	1.A FE
278300-AC-2	EATON 20-1A - ABS	C	08/13/2021	CALL TRANSACTION		4,200,000	4,200,000	4,200,000	4,200,000						4,200,000				102,140	10/15/2030	1.C FE
532457-BS-6	ELI LILLY AND COMPANY		09/22/2021	TENDER TRANSACTION		6,655,768	5,430,000	5,418,068	5,418,811			311	311		5,419,122		10,878	10,878	1,440,272	03/15/2039	1.F FE
28932M-AA-3	ELM ROAD GENERATING STA SUPER LLC		08/11/2021	SCHEDULED REDEMPTION		131,549	131,549	131,549	131,549						131,549				6,852	02/11/2030	1.F FE
P4001#-AA-8	EOLICA MESA LA PAZ S DE RL DE CV	D	09/20/2021	SCHEDULED REDEMPTION		15,020	15,020	15,020	15,020						15,020				674	12/20/2044	2.C PL
68275C-AC-2	FB_05-1		09/09/2021	SCHEDULED REDEMPTION		64,889	64,889	65,201	65,091			(202)	(202)		64,889				2,471	08/08/2035	1.A FM
32027N-LA-7	FFML_04-FF7		09/27/2021	SCHEDULED REDEMPTION		67,711	67,711	62,353	65,020			2,691	2,691		67,711				456	09/25/2034	1.A FM
32027N-TA-9	FFML_05-FFH1		09/27/2021	SCHEDULED REDEMPTION		146,141	146,141	138,834	144,037			2,104	2,104		146,141				855	06/25/2036	1.A FM
32027N-XD-8	FFML_05-FFH4		09/27/2021	SCHEDULED REDEMPTION		6,637	6,637	6,263	6,555			81	81		6,637				40	12/25/2035	1.D FM
320276-AB-4	FFML_06-FF9		09/27/2021	SCHEDULED REDEMPTION		33,957	33,957	31,548	32,322			1,634	1,634		33,957				89	06/25/2036	1.D FM
34407D-AC-3	FLY LEASING LTD	D	08/02/2021	EXCHANGE		192,061	200,000	191,000	191,000			1,061	1,061		192,061				9,371	10/15/2024	3.C FE
31659T-DV-4	FMI_C_05-2		09/27/2021	SCHEDULED REDEMPTION		14,247	14,247	10,797	12,874			1,373	1,373		14,247				88	12/25/2035	1.D FM
34964M-AA-2	FORTI_21-1A - ABS	C	09/20/2021	SCHEDULED REDEMPTION		307,721	307,721	307,704	307,704			.17	.17		307,721				2,672	03/20/2057	1.A FE
30280#-AA-4	FR-ENCLAVE LLC - ABS		07/07/2021	SCHEDULED REDEMPTION		40,816	40,816	40,816	40,816						40,816				1,589	09/30/2033	2.B
364760-AP-3	GAP INC		09/15/2021	MORGAN STANLEY & CO. LLC		23,259	23,000	23,000	23,000						23,000		259	259		10/01/2029	3.C FE
36168Q-AM-6	GFL ENVIRONMENTAL INC	A	07/26/2021	CITIGROUP GLOBAL MARKETS, INC		213,533	213,000	207,720	207,720			161	161		207,881		5,651	5,651	4,494	09/01/2028	3.C FE
38082J-AA-7	GLDN 16-2A - ABS		09/20/2021	SCHEDULED REDEMPTION		23,375	23,375	23,375	23,375						23,375				739	09/20/2047	1.A FE
378272-BD-9	GLENORE FUNDING LLC		09/07/2021	BARCLAYS CAPITAL INC		6,022,267	6,000,000	5,993,700	5,993,700			425	425		5,994,125		28,141	28,141	34,171	04/27/2026	2.A FE
38218G-AA-0	GOODS 18-1 - ABS	C	09/15/2021	SCHEDULED REDEMPTION		243,745	243,745	247,587	181,948			(3,843)	(3,843)		243,745				3,691	10/15/2053	1.A FE
38218D-AA-7	GOODS 19-1A - ABS		09/15/2021	SCHEDULED REDEMPTION		301,379	301,379	301,287	301,289			90	90		301,379				6,040	10/15/2054	1.C FE
C4111#-AJ-0	GRAYMONT WESTERN CANADA INC	A	08/21/2021	SCHEDULED REDEMPTION		275,000	275,000	275,000	275,000						275,000				9,790	08/21/2034	2.C PL
362341-GM-5	GSAA_05-9		09/27/2021	SCHEDULED REDEMPTION		79,369	79,369	62,503	74,554			4,815	4,815		79,369				498	08/25/2035	1.D FM
362334-LJ-1	GSAMP_06-HE2		09/27/2021	SCHEDULED REDEMPTION		78,645	78,645	68,913	74,539			4,106	4,106		78,645				326	03/25/2046	1.D FM
362334-EC-4	GSAMP_06-NC1		09/27/2021	SCHEDULED REDEMPTION		14,473	14,473	12,574	13,905			568	568		14,473				72	02/25/2036	1.A FM
437084-JU-1	HEAT 05-2		09/27/2021	SCHEDULED REDEMPTION		23,184	23,184	20,996	22,620			564	564		23,184				206	07/25/2035	1.A FM
42770R-AA-8	HERO 14-1A - ABS		09/20/2021	SCHEDULED REDEMPTION		73,858	73,858	76,997	76,671			(2,812)	(2,812)		73,858				3,508	09/20/2038	1.A FE
42770U-AA-1	HERO 15-2A - ABS		09/20/2021	SCHEDULED REDEMPTION		51,755	51,755	52,854	53,104			(1,350)	(1,350)		51,755				1,899	09/20/2040	1.A FE
42770A-AA-5	HERO 21-1A - ABS		09/20/2021	SCHEDULED REDEMPTION		318,764	318,764	318,905	318,905			(142)	(142)		318,764				2,914	09/20/2051	1.A FE
428102-AE-7	HESS MIDSTREAM OPERATIONS LP		08/26/2021	GOLDMAN SACHS & CO LLC		54,270	54,000	54,000	54,000						54,000		270	270	159	02/15/2030	3.A FE
43789V-AB-4	HOF 19-2		09/01/2021	SCHEDULED REDEMPTION		1,681,145	1,681,145	1,681,140	1,681,143			2	2		1,681,145				36,641	09/01/2059	1.A FM
A3158#-AG-4	HOFER FINANCIAL SERVICES GMBH	D	09/26/2021	MATURED		4,300,000	4,300,000	4,300,000	4,300,000						4,300,000				77,830	09/26/2021	1.G PL
44416#-AB-2	HUDSON TRANSMISSION PARTNERS LLC		08/31/2021	SCHEDULED REDEMPTION		34,185	34,185	33,715	33,790			395	395		34,185				1,133	05/31/2033	2.A PL
46185H-AE-2	IHSFR 18-SFR2 - ABS		08/17/2021	SCHEDULED REDEMPTION		1,296	1,296	1,279	1,296						1,296				14	06/17/2037	1.E FE
45660E-HU-9	INABS_05-C		09/27/2021	SCHEDULED REDEMPTION		248,132	248,132	217,116	239,821			8,311	8,311		248,132				1,501	10/25/2035	1.A FM
45688C-AB-3	INGEVITY CORP		09/28/2021	Various		322,288	322,288	322,296	209,847			(307)	(307)		321,953		335	335	11,269	11/01/2028	3.C FE
64588#-BJ-9	INTERMEDIATE CAPITAL GROUP PLC	D	09/29/2021	MATURED		5,000,000	5,000,000	5,000,000	5,000,000						5,000,000				209,500	09/29/2021	2.C
24422E-RE-1	JOHN DEERE CAPITAL CORP		07/12/2021	MATURED		8,885,000	8,885,000	8,875,493	8,884,398			602	602		8,885,000				346,515	07/12/2021	1.F FE
46590M-AQ-3	JPMCC 16-JP2		09/01/2021	SCHEDULED REDEMPTION		447	447	451	449			(2)	(2)		447				8	08/01/2049	1.A FM
46639G-AF-3	JPMIT 13-1		09/01/2021	SCHEDULED REDEMPTION		126,165	126,165	129,950	128,902			(2,737)	(2,737)		126,165				3,175	03/01/2043	1.A FM
46639G-AG-1	JPMIT 13-1		09/01/2021	SCHEDULED REDEMPTION		72,008	72,008	73,820	73,435			(1,426)	(1,426)		72,008				1,812	03/01/2043	1.A FM
46639G-AH-9	JPMIT 13-1		09/01/2021	SCHEDULED REDEMPTION		62,966	62,966	63,881	63,780			(814)	(814)		62,966				1,584	03/01/2044	1.A FM
46643D-BF-3	JPMIT 14-OAK4		09/01/2021	SCHEDULED REDEMPTION		70,776	70,776	73,165	72,862			(2,086)	(2,086)		70,776				2,041	09/01/2043	1.A FM
48254#-AA-8	KKR 20-11-RE - ABS		09/10/2021	CAPITAL DISTRIBUTION		97,201	97,201	97,201	97,201						97,201				4,366	03/15/2031	2.C PL
50188F-AE-5	LG&E AND KU ENERGY LLC		07/01/2021	CALL TRANSACTION		2,000,000	2,000,000	2,137,520	2,019,950			(19,950)	(19,950)		2,000,000				65,625	10/01/2021	2.A FE
61913P-AS-1	MHL_05-1		09/25/2021	SCHEDULED REDEMPTION		7,219	7,219	6,723	6,859			360	360		7,219				43	02/25/2035	1.D FM
606822-BY-9	MITSUBISHI UFJ FINANCIAL GROUP INC	D	08/04/2021	OUTSIDE MANAGED ACCT		567,325	560,000	560,000	560,000						560,000		7,325	7,325	359	07/20/2027	1.G FE
59022H-JD-5	MLIT_05-MCP1 IS		07/01/2021	SCHEDULED REDEMPTION				3,848												06/01/2043	6. FE
61761Q-AD-5	MSBAM 13-C8		07/01/2021	SCHEDULED REDEMPTION		24,259	24,259	24,502	24,310			(51)	(51)		24,259				557	12/01/2048	1.A FM
55354G-AQ-3	MSCI INC		08/05/2021			20,300	20,000	20,000							20,000		300	300		08/15/2033	3.A FE
P7077#-AH-7	NASSAU AIRPORT DEVELOPMENT CO	D	09/30/2021	SCHEDULED REDEMPTION		150,000	150,000	150,000	150,000						150,000				4,755	03/31/2035	3.C Z

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
78442F-EQ-7	NAVIENT CORP		07/08/2021	BARCLAYS CAPITAL INC		447,844	425,000	417,733	421,392				885		422,276		25,567	25,567	22,531	01/25/2023	3.C FE
63946B-AJ-9	NBCUNIVERSAL MEDIA LLC		08/19/2021	CONVERSION		711,009	779,000	699,799	709,911			1,098	1,098		711,009				37,939	01/15/2043	1.G FE
665859-AM-6	NORTHERN TRUST CORP		08/23/2021	MATURED		1,304,000	1,304,000	1,298,302	1,303,572			428	428		1,304,000				44,010	08/23/2021	1.F FE
670001-AG-1	NOVELIS CORP		07/27/2021	BANC OF AMERICA SECURITIES LLC		2,018	2,000	2,000	2,000					2,000			18	18		11/15/2026	4.A FE
64829L-AA-6	NRZT_16-4		09/01/2021	SCHEDULED REDEMPTION		52,999	52,999	52,581	52,617			382	382		52,999				1,433	11/01/2056	1.A FM
64829J-AA-1	NRZT_17-1A		09/01/2021	SCHEDULED REDEMPTION		164,579	164,579	166,506	166,183			(1,605)	(1,605)		164,579				4,761	02/01/2057	1.A FM
64830G-AB-2	NRZT_18-1		09/01/2021	SCHEDULED REDEMPTION		26,298	26,298	26,350	26,341			(43)	(43)		26,298				761	12/01/2057	1.A FM
64828C-AY-5	NRZT_18-2		09/01/2021	SCHEDULED REDEMPTION		41,655	41,655	42,032	41,949			(294)	(294)		41,655				1,279	02/01/2058	1.A FM
674599-DG-7	OCCIDENTAL PETROLEUM CORPORATION		07/28/2021	Various		1,043,948	2,000,000	933,543	988,731			25,314	25,314		1,014,045		29,903	29,903		10/10/2036	3.B FE
674599-DJ-1	OCCIDENTAL PETROLEUM CORPORATION		08/06/2021	CREDIT SUISSE SECURITIES (USA) LLC		1,160,000	1,000,000	1,208,057	1,199,924			(4,009)	(4,009)		1,195,915		(35,915)	(35,915)	55,972	03/15/2040	3.B FE
67097L-AA-4	OCF QLO LTD OCF_17-13A - ABS		09/14/2021	HIMCO OPERATIONAL TRANSACTION		7,050,000	7,050,000	7,037,310	7,043,789			1,360	1,360		7,045,149		4,851	4,851	95,716	07/15/2030	1.A FE
68389F-JY-1	OOMLT_05-5		09/27/2021	SCHEDULED REDEMPTION		141,864	141,864	123,688	136,872			4,992	4,992		141,864				721	12/25/2035	1.A FM
69376B-AA-6	PACEF_20-1A - ABS		09/20/2021	SCHEDULED REDEMPTION		418,633	418,633	422,083	422,072			(3,440)	(3,440)		418,633				11,613	09/20/2055	1.A FE
70018A-AA-8	PAIA_19-2A - ABS	C	09/22/2021	CALL TRANSACTION		8,700,000	8,700,000	8,700,000	8,700,000						8,700,000				106,920	10/15/2032	1.A FE
70018A-AC-4	PAIA_19-2A - ABS	C	09/22/2021	CALL TRANSACTION		10,000,000	10,000,000	10,000,000	10,000,000						10,000,000				164,605	10/15/2032	1.C FE
70014L-AA-8	PARK AEROSPACE HOLDINGS LTD	D	08/18/2021	EXCHANGE		53,345	53,000	54,526	53,556			(211)	(211)		53,345				2,806	08/15/2022	2.C FE
70014L-AB-6	PARK AEROSPACE HOLDINGS LTD	D	08/18/2021	CONVERSION		126,274	124,000	129,580	126,808			(534)	(534)		126,274				6,877	02/15/2024	2.C FE
71376L-AE-0	PERFORMANCE FOOD GROUP INC		07/19/2021	BIO CAPITAL MARKETS CORP		15,131	15,000	15,000						15,000			131	131		08/01/2029	4.A FE
72406Q-AA-6	PIPELINE FUNDING COMPANY LLC - ABS		07/15/2021	SCHEDULED REDEMPTION		24,871	24,871	29,171	28,336			(3,465)	(3,465)		24,871				1,865	01/15/2030	2.B FE
69701B-AA-0	PLMRS_20-2A - ABS		09/03/2021	CALL TRANSACTION		7,000,000	7,000,000	7,000,000	7,000,000						7,000,000				140,068	07/15/2031	1.A FE
69701B-AE-2	PLMRS_20-2A - ABS		09/03/2021	CALL TRANSACTION		9,000,000	9,000,000	9,000,000	9,000,000						9,000,000				222,613	07/15/2031	1.C FE
70069F-FL-6	PPSI_05-WCH1		09/27/2021	SCHEDULED REDEMPTION		151,940	151,940	151,465	151,740			200	200		151,940				1,525	01/25/2036	1.A FM
743315-AN-3	PROGRESSIVE CORP		08/23/2021	MATURED		2,500,000	2,500,000	2,560,875	2,505,438			(5,438)	(5,438)		2,500,000				93,750	08/23/2021	1.F FE
69700P-AA-0	PSTAT_18-5A - ABS		07/20/2021	SCHEDULED REDEMPTION		626,211	626,211	626,211	626,211						626,211				5,035	01/20/2027	1.A FE
75406W-AD-3	RASC_06-KSG		09/27/2021	SCHEDULED REDEMPTION		70,723	70,723	62,634	67,597			3,125	3,125		70,723				183	08/25/2036	1.A FM
75975B-AA-6	RENEW_21-1 - ABS		09/20/2021	SCHEDULED REDEMPTION		75,492	75,492	75,480				11	11		75,492				213	11/20/2056	1.A FE
74840L-AB-8	ROCKET MORTGAGE LLC		07/09/2021	MORGAN STANLEY & CO. LLC		317,181	300,000	311,569	309,841			(940)	(940)		308,902		8,279	8,279	15,663	01/15/2028	3.A FE
78016E-ZO-3	ROYAL BANK OF CANADA	A	08/04/2021	OUTSIDE MANAGED ACCT		2,110,245	2,100,000	2,095,737						226	2,095,963		14,282	14,282	6,860	04/27/2026	1.F FE
18038*-AA-4	SBM BALEIA AZUL SARL	D	09/15/2021	SCHEDULED REDEMPTION		194,400	194,400	193,464	194,070			330	330		194,400				8,019	09/15/2027	3.C
81745J-AA-6	SEMT_13-11		09/01/2021	SCHEDULED REDEMPTION		16,720	16,720	15,915	16,118			602	602		16,720				425	09/01/2043	1.A FM
81746N-CB-2	SEMT_16-3		09/01/2021	SCHEDULED REDEMPTION		4,605	4,605	4,715	4,695			(90)	(90)		4,605				122	11/01/2046	1.A FM
81746N-CC-0	SEMT_16-3		09/01/2021	SCHEDULED REDEMPTION		3,478	3,478	3,441	3,447			31	31		3,478				92	11/01/2046	1.A FM
83609G-AY-1	SNPT_15-2A - ABS	C	09/08/2021	CALL TRANSACTION		14,290,000	14,290,000	14,290,000	14,290,000						14,290,000				282,076	07/20/2032	1.C FE
84519H-AG-9	SOUTHWEST POWER POOL INC		09/30/2021	SCHEDULED REDEMPTION		75,000	75,000	75,000	75,000						75,000				1,219	09/30/2024	1.F
845467-AM-1	SOUTHWESTERN ENERGY COMPANY		09/14/2021	CALL TRANSACTION		126,750	120,000	124,200	122,819			(559)	(559)		122,260		(2,260)	(2,260)	15,325	04/01/2026	3.C FE
85172F-AM-1	SPRINGLEAF FINANCE CORP		09/23/2021	CITIGROUP GLOBAL MARKETS, INC		62,288	55,000	56,156	55,855			(135)	(135)		55,721		6,567	6,567	3,907	03/15/2025	3.B FE
85208N-AD-2	SPRINT SPECTRUM CO I / II / III / LLC		09/20/2021	SCHEDULED REDEMPTION		212,938	212,938	213,354	213,205			(267)	(267)		212,938				7,567	03/20/2025	2.A FE
853496-AC-1	STANDARD INDUSTRIES INC OF NEW JER		09/17/2021	JP MORGAN SECURITIES LLC		223,063	215,000	223,593				(1,873)	(1,873)		221,720		1,342	1,342	6,450	02/15/2027	3.C FE
86203H-AA-8	STONEHENGE CAPITAL FUND CONNECTICU		09/15/2021	SCHEDULED REDEMPTION		7,538	7,538	7,538	7,538						7,538				452	12/15/2025	1.G
84751P-ET-2	SURF_05-BC1		09/27/2021	SCHEDULED REDEMPTION		41,813	41,813	41,813	41,813						41,813				360	12/25/2035	1.A FM
87470L-AA-9	TALLGRASS ENERGY PARTNERS LP		09/17/2021	CALL TRANSACTION		956,980	944,000	962,440	953,438			(3,840)	(3,840)		949,598		(5,598)	(5,598)	65,188	09/15/2024	3.C FE
87612B-BE-1	TARGA RESOURCES PARTNERS LP		07/09/2021	BANC OF AMERICA SECURITIES LLC		209,020	200,000	197,279	197,819			163	163		197,982		11,038	11,038	10,213	02/01/2027	3.B FE
87612B-BJ-0	TARGA RESOURCES PARTNERS LP/TARGA		07/12/2021	Various		122,684	117,000	122,850	122,806			(1,051)	(1,051)		121,755		930	930	5,055	04/15/2026	3.B FE
88031V-AA-7	TENASKA GATEWAY PARTNERS LTD		09/30/2021	SCHEDULED REDEMPTION		96,600	96,600	82,022	92,638			3,962	3,962		96,600				2,923	12/30/2023	2.B FE
87250F-AA-8	TICP_18-12A - ABS		08/05/2021	CALL TRANSACTION		10,000,000	10,000,000	10,000,000	10,000,000						10,000,000				111,892	01/15/2031	1.A FE
89055F-AC-7	TOPBUILD CORP		09/29/2021	TRUIST SECURITIES, INC		38,428	38,000	38,000							38,000		428	428		02/15/2032	3.B FE

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
90139Q-AB-3	TWIN BROOK I - OFFSHORE - ABS		08/30/2021	CAPITAL DISTRIBUTION		993,275	993,275	993,275	993,275						993,275				29,528	04/25/2024	1.E PL	
90139P-AB-5	TWIN BROOK I - ONSHORE - ABS		08/30/2021	CAPITAL DISTRIBUTION		987,679	987,679	987,679	987,679						987,679				29,339	04/25/2024	1.E PL	
90139#-AA-9	TWIN BROOK II - OFFSHORE - ABS		09/21/2021	Various		3,957,830	3,957,830	3,947,936	3,948,574		1,458		1,458		3,950,031		7,799	7,799	121,987	06/09/2026	1.E Z	
90139*-AA-3	TWIN BROOK II - ONSHORE - ABS		09/21/2021	Various		4,491,358	4,491,358	4,480,130	4,480,854		1,602		1,602		4,482,455		8,903	8,903	138,157	06/09/2026	1.E PL	
902133-AU-1	TYCO ELECTRONICS GROUP SA	D	09/30/2021	JANE STREET EXECUTION SERVICES LLC		4,503,792	4,117,000	4,094,137	4,101,110		1,655		1,655		4,102,765		401,027	401,027	146,168	08/15/2027	1.G FE	
90931C-AA-6	UNITED AIRLINES 2019-1 CLASS AA PA		08/25/2021	SCHEDULED REDEMPTION		33,392	33,392	36,292			(2,900)		(2,900)		33,392			693	08/25/2031	1.E FE		
92840V-AA-0	VISTRA OPERATIONS COMPANY LLC		08/06/2021	SECURITIES LLC		210,120	204,000	203,475	203,597		37		37		203,635		6,485	6,485	10,566	09/01/2026	3.B FE	
92925C-BD-3	WAMU_05-AR19		09/25/2021	SCHEDULED REDEMPTION		6,297	6,297	5,542	5,616		682		682		6,297			42	12/25/2045	1.D FM		
929716#-AB-5	WASTE CONNECTIONS INC		09/20/2021	CALL TRANSACTION		3,104,632	3,000,000	3,000,000	3,000,000						3,000,000			170,861	06/01/2023	2.A FE		
9497EN-AE-3	WFHET_05-3		09/27/2021	SCHEDULED REDEMPTION		43,904	43,904	39,527	43,369		534		534		43,904			168	11/25/2035	1.D FM		
95002K-BJ-1	WFHBS_20-1		09/01/2021	SCHEDULED REDEMPTION		9,779	9,779	10,085			(306)		(306)		9,779			28	12/01/2049	1.F FE		
96042G-AJ-1	WILAKE_18-3		08/15/2021	SCHEDULED REDEMPTION		557,327	557,327	557,805	557,406		(79)		(79)		557,327			6,790	10/16/2029	1.A FE		
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					183,796,395	181,437,331	182,061,148	169,821,174		(112,751)		(112,751)		180,764,631		1,679,861	1,679,861	6,105,985	XXX	XXX	
BHM1VQ-06-3	BAUSCH HEALTH COMPANIES INC	A	08/03/2021	SINKING FUND TRANSACTION		43,013	43,013	42,798	40,356		26		26		42,829		184	184	794	06/02/2025	3.B FE	
BHM27W-U5-2	ENERGIZER HOLDINGS INC		07/01/2021	SINKING FUND TRANSACTION		1,035	1,035	1,029	1,029					1,030		5	5	13	12/22/2027	3.A FE		
15669G-AH-7	LUMEN TECHNOLOGIES INC		09/30/2021	SINKING FUND TRANSACTION		220	220	220	220					220				4	03/15/2027	3.A FE		
63937Y-AE-1	NAVISTAR INTERNATIONAL CORPORATION		07/01/2021	SINKING FUND TRANSACTION		1,181,838	1,181,838	1,176,728	1,176,965		588		588		1,177,553		4,286	4,286	22,094	11/02/2024	3.C FE	
76090L-AE-4	RESIDEO FUNDING INC		09/30/2021	SINKING FUND TRANSACTION		813	813	810						811		2	2	11	02/09/2028	3.B FE		
84857H-AW-0	SPIRIT AEROSYSTEMS INC		09/30/2021	SINKING FUND TRANSACTION		2,635	2,635	2,622	2,622		2		2		2,625		10	10	135	01/15/2025	3.C FE	
78466D-BF-0	SS&C TECHNOLOGIES HOLDINGS INC		08/31/2021	SINKING FUND TRANSACTION		356	356	355	355					355		1	1	4	04/16/2025	3.B FE		
BHM299-W4-2	UNITED AIRLINES INC		09/30/2021	SINKING FUND TRANSACTION		248	248	246						246		1	1	3	04/21/2028	3.B FE		
BHM21Y-1D-9	WELLS ENTERPRISES INC		09/30/2021	SINKING FUND TRANSACTION		527	527	525	526					526		1	1	12	03/29/2025	4.A FE		
92985*-AA-4	WHITEHORSE LIQ PRNTS III TERM LOAN		09/08/2021	SINKING FUND TRANSACTION		1,754,281	1,754,281	1,754,281						1,754,281				10,972	03/22/2025	2.B Z		
8299999	Subtotal - Bonds - Unaffiliated Bank Loans					2,984,965	2,984,965	2,979,614	1,222,072		617		617		2,980,474		4,491	4,491	34,043	XXX	XXX	
8399997	Total - Bonds - Part 4					275,857,874	270,348,358	271,905,201	206,546,038		(509,574)		(509,574)		270,187,632		3,717,234	3,717,234	7,496,304	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					275,857,874	270,348,358	271,905,201	206,546,038		(509,574)		(509,574)		270,187,632		3,717,234	3,717,234	7,496,304	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4						XXX													XXX	XXX	
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks						XXX													XXX	XXX	
84110L-10-6	NETFLIX INC		08/10/2021	BARCLAYS CAPITAL INC	1,083,000	559,134		559,950						559,950		(816)	(816)					
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					559,134	XXX	559,950							559,950		(816)	(816)		XXX	XXX	
9799997	Total - Common Stocks - Part 4					559,134	XXX	559,950							559,950		(816)	(816)		XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					559,134	XXX	559,950							559,950		(816)	(816)		XXX	XXX	
9899999	Total - Preferred and Common Stocks					559,134	XXX	559,950							559,950		(816)	(816)		XXX	XXX	
9999999	Totals					276,417,007	XXX	272,465,151	206,546,038		(509,574)		(509,574)		270,747,582		3,716,418	3,716,418	7,496,304	XXX	XXX	

E05.7

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23														
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)														
007999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX																			XXX	XXX		
014999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX																					XXX	XXX
S&P IDX CALL @ 4160 06/30/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK	7H6GLXDRUGOFU57RNE97	06/05/2020	06/30/2025	93,042,864	4,160.00	3,240,000			14,396,683		14,396,683	6,675,747							0002													
015999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants														XXX	14,396,683	6,675,747																		XXX	XXX	
S&P IDX PUT @ 1431.25 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	WELLS FARGO BANK	KB1H1DSPRFMYMCFXT09	10/19/2012	10/21/2022	300,963,512	1,431.25	30,380,002			452,381		452,381	(709,474)							0002													
S&P IDX PUT @ 1426.25 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA	B4TYDEB6GKMZ0031MB27	10/22/2012	10/21/2022	302,018,601	1,426.25	30,400,002			448,121		448,121	(698,509)							0002													
S&P IDX PUT @ 1410.77 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER	4PQUHNSJPFGNF3BB653	10/23/2012	10/21/2022	305,333,555	1,410.77	30,749,999			435,037		435,037	(664,282)							0002													
S&P IDX PUT @ 1411.60 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC	MP615ZYZBEU3UXPYFY54	10/25/2012	10/21/2022	305,150,441	1,411.60	29,899,861			435,734		435,734	(666,130)							0002													
S&P IDX PUT @ 1409.50 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC	MP615ZYZBEU3UXPYFY54	10/26/2012	10/21/2022	305,607,040	1,409.50	30,249,672			433,974		433,974	(661,470)							0002													
S&P IDX PUT @ 1425.10 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC	G5GSEF7VJP5170UK5573	11/01/2012	10/21/2022	302,260,082	1,425.10	29,999,780			447,140		447,140	(695,975)							0002													
S&P IDX PUT @ 1370.00 11/18/22	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER	4PQUHNSJPFGNF3BB653	11/14/2012	11/18/2022	314,415,960	1,370.00	30,799,704			440,184		440,184	(593,483)							0002													
S&P IDX PUT @ 1455.67 01/20/23	VAGLB Hedge - Macro Hedge	N/A	E	GOLDMAN SACHS INTL	W22LROIP21HZNB6K528	01/08/2013	01/20/2023	295,914,645	1,455.67	29,638,591			627,550		627,550	(844,130)							0002													
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK	7H6GLXDRUGOFU57RNE97	06/25/2018	06/20/2025	159,378,980	2,700.00	19,140,387			7,048,387		7,048,387	(3,694,773)							0002													
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC	MP615ZYZBEU3UXPYFY54	06/27/2018	06/20/2025	318,757,960	2,700.00	37,697,173			14,096,775		14,096,775	(7,397,917)							0002													
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC	MP615ZYZBEU3UXPYFY54	07/23/2018	06/20/2025	153,779,178	2,800.00	17,840,676			7,507,127		7,507,127	(3,900,755)							0002													
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC	MP615ZYZBEU3UXPYFY54	07/24/2018	06/20/2025	153,779,178	2,800.00	17,992,600			7,507,127		7,507,127	(3,898,576)							0002													
RTY IDX PUT @ 1670 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER	4PQUHNSJPFGNF3BB653	08/01/2018	06/20/2025	132,262,320	1,670.00	17,568,943			10,167,686		10,167,686	(4,134,496)							0002													
MXEA IDX PUT @ 1990 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK	7H6GLXDRUGOFU57RNE97	08/08/2018	06/20/2025	114,064,450	1,990.00	16,592,890			11,398,121		11,398,121	(4,759,978)							0002													
MXEA IDX PUT @ 1990 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC	G5GSEF7VJP5170UK5573	08/08/2018	06/20/2025	114,064,450	1,990.00	17,680,701			11,398,118		11,398,118	(4,744,375)							0002													
S&P IDX PUT @ 2800 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER	4PQUHNSJPFGNF3BB653	08/14/2018	06/16/2028	153,779,178	2,800.00	18,956,700			11,649,409		11,649,409	(4,585,333)							0002													
S&P IDX PUT @ 2900 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER	4PQUHNSJPFGNF3BB653	08/27/2018	06/20/2025	148,610,130	2,900.00	15,487,481			7,982,227		7,982,227	(4,355,364)							0002													
S&P IDX PUT @ 2900 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC	MP615ZYZBEU3UXPYFY54	09/05/2018	06/20/2025	148,610,130	2,900.00	17,746,096			7,982,189		7,982,189	(4,100,822)							0002													
S&P IDX PUT @ 2880 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	MORGAN STANLEY INTER	4PQUHNSJPFGNF3BB653	09/12/2018	06/16/2028	149,471,638	2,880.00	18,391,000			12,077,814		12,077,814	(4,738,261)							0002													
RTY IDX PUT @ 1700 - PREMIUM PAYABLE 09/15/23	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK	7H6GLXDRUGOFU57RNE97	09/25/2018	09/15/2023	129,617,074	1,700.00	13,455,036			6,851,631		6,851,631	(4,959,170)							0002													
S&P IDX PUT @ 2900 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT	E58DKGMJYYJLN8C3868	09/27/2018	09/19/2025	148,610,130	2,900.00	17,107,014			8,384,165		8,384,165	(4,126,734)							0002													

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGJYYJLNB83868	10/15/2018	09/19/2025		153,779,178	2,800.00		18,093,097		7,898,681	^	7,898,681	(3,923,843)							0002
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	10/31/2018	12/19/2025		159,378,980	2,700.00		17,930,464		7,787,335	^	7,787,335	(3,807,436)							0002
S&P IDX PUT @ 2650 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYF54	11/26/2018	12/19/2025		163,686,520	2,650.00		18,672,882		7,615,065	^	7,615,065	(3,750,370)							0002
S&P IDX PUT @ 3900 - PREMIUM PAYABLE 06/28/24	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	05/20/2021	06/28/2024		110,100,722	3,900.00		10,944,033		9,803,070	^	9,803,070	(1,140,963)							0002
NDX IDX PUT @ 11000 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/07/2021	06/30/2023		33,492,324	11,000.00		1,430,629		835,933	^	835,933	(594,695)							0002
NDX IDX PUT @ 12750 - PREMIUM PAYABLE 06/30/25	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/07/2021	06/30/2025		24,678,555	12,750.00		2,942,299		2,516,997	^	2,516,997	(425,302)							0002
NDX IDX PUT @ 12500 - PREMIUM PAYABLE 06/28/24	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/07/2021	06/28/2024		29,085,440	12,500.00		2,839,535		2,223,372	^	2,223,372	(616,164)							0002
NDX IDX PUT @ 11280 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/04/2021	06/30/2022		39,573,826	11,280.00		557,773		19,583	^	19,583	(538,190)							0002
S&P IDX PUT @ 3875 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/16/2021	06/30/2023		129,226,200	3,875.00		8,104,768		7,336,261	^	7,336,261	(768,507)							0002
S&P IDX PUT @ 3800 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27	06/15/2021	06/30/2022		155,071,440	3,800.00		2,944,072		675,634	^	675,634	(2,268,438)							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/21/24	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/21/2024		21,865,073	3,500.00		1,506,257		1,634,817	^	1,634,817	128,560							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/18/27	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/18/2027		11,660,511	3,500.00		1,298,774		1,348,036	^	1,348,036	49,262							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/19/26	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/19/2026		13,848,741	3,500.00		1,374,616		1,436,835	^	1,436,835	62,218							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/16/2028		11,660,511	3,500.00		1,427,471		1,474,108	^	1,474,108	46,637							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/20/2025		15,550,219	3,500.00		1,326,597		1,402,098	^	1,402,098	75,501							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/16/23	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/16/2023		21,865,073	3,500.00		1,099,927		1,187,436	^	1,187,436	87,510							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/17/22	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZIZ7FF32TWEFA76	07/14/2021	06/17/2022		21,865,073	3,500.00		580,760		552,246	^	552,246	(28,515)							0002
NDX IDX PUT @ 12500 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	07/15/2021	06/30/2026		21,153,047	12,500.00		2,408,388		2,314,299	^	2,314,299	(94,089)							0002
MXEA IDX PUT @ 2250 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGJYYJLNB83868	08/03/2021	06/30/2023		21,215,988	2,250.00		1,638,238		1,692,858	^	1,692,858	54,619							0002

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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MIXEA IDX PUT @ 2040 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868	08/03/2021	06/30/2022		24,911,676	2,040.00		287,020		85,198	^	85,198	(201,822)							0002
S&P IDX PUT @ 4000 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868	08/06/2021	06/30/2027		68,489,886	4,000.00		9,801,757		10,361,382	^	10,361,382	559,626							0002
S&P IDX PUT @ 4000 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868	08/05/2021	06/30/2026		80,120,244	4,000.00		10,510,523		10,962,140	^	10,962,140	451,617							0002
NDX IDX PUT @ 12500 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868	08/09/2021	06/30/2027		17,627,539	12,500.00		1,982,029		2,103,098	^	2,103,098	121,069							0002
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/20/24	VAGLB Hedge - Macro Hedge	N/A	E	GOLDMAN SACHS INTL W22LROIIP21HZNB6K528	09/21/2021	09/20/2024		38,767,860	2,600.00		1,316,239		1,291,918	^	1,291,918	(24,321)							0002
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/28	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/20/2021	09/15/2028		25,845,240	2,600.00		1,695,106		1,699,591	^	1,699,591	4,485							0002
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/23	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	09/21/2021	09/15/2023		38,767,860	2,600.00		886,456		904,558	^	904,558	18,102							0002
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	09/21/2021	09/19/2025		36,183,336	2,600.00		1,529,656		1,525,326	^	1,525,326	(4,331)							0002
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/16/22	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	09/21/2021	09/16/2022		38,767,860	2,600.00		414,749		410,230	^	410,230	(4,519)							0002
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/17/27	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/20/2021	09/17/2027		25,845,240	2,600.00		1,527,641		1,506,405	^	1,506,405	(21,237)							0002
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/18/26	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	09/20/2021	09/18/2026		31,014,288	2,600.00		1,573,083		1,567,553	^	1,567,553	(5,530)							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/18/27	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	09/22/2021	06/18/2027		27,107,349	3,500.00		3,093,363		3,133,796	^	3,133,796	40,433							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/19/26	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC G5GSEF7VJP5170UK5573	09/22/2021	06/19/2026		31,380,429	3,500.00		3,154,556		3,255,782	^	3,255,782	101,226							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/17/22	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	09/23/2021	06/17/2022		49,209,337	3,500.00		1,014,548		1,242,879	^	1,242,879	228,331							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/16/28	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE 02RNE81BXP4R0TD8PU41	09/22/2021	06/16/2028		27,107,349	3,500.00		3,398,632		3,426,875	^	3,426,875	28,243							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGOFU57RNE97	09/23/2021	06/20/2025		36,140,261	3,500.00		3,031,290		3,258,616	^	3,258,616	227,326							0002
S&P IDX PUT @ 3500 - PREMIUM PAYABLE 06/21/24	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE 02RNE81BXP4R0TD8PU41	09/23/2021	06/21/2024		49,209,337	3,500.00		3,387,827		3,679,303	^	3,679,303	291,477							0002
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 06/16/23	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868	09/23/2021	06/16/2023		49,209,337	3,500.00		2,339,519		2,672,431	^	2,672,431	332,912							0002
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/18/26	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/27/2021	09/18/2026		31,014,288	2,600.00		1,469,462		1,567,558	^	1,567,558	98,096							0002

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S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/17/27	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/27/2021	09/17/2027		25,845,240	2,600.00		1,416,389		1,506,409	^	1,506,409	90,020						0002	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/28	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/27/2021	09/15/2028		25,845,240	2,600.00		1,606,808		1,699,598	^	1,699,598	92,790						0002	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/23	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/29/2021	09/15/2023		38,767,860	2,600.00		873,151		904,558	^	904,558	31,407						0002	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/16/22	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC . G5GSEF7VJP5170UK5573	09/29/2021	09/16/2022		38,767,860	2,600.00		383,947		410,230	^	410,230	26,283						0002	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/25	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC . G5GSEF7VJP5170UK5573	09/28/2021	09/19/2025		36,183,336	2,600.00		1,512,659		1,525,326	^	1,525,326	12,667						0002	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/20/24	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK PLC MP615ZYZBEU3UXPYFY54	09/28/2021	09/20/2024		38,767,860	2,600.00		1,275,660		1,291,919	^	1,291,919	16,259						0002	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	09/30/2021	09/19/2025		31,388,112	2,600.00		1,278,634		1,307,423	^	1,307,423	28,789						0002	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/18/26	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	09/30/2021	09/18/2026		28,772,436	2,600.00		1,422,145		1,436,925	^	1,436,925	14,780						0002	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/17/27	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	09/29/2021	09/17/2027		25,845,240	2,600.00		1,470,028		1,506,407	^	1,506,407	36,379						0002	
S&P IDX PUT @ 2600 - PREMIUM PAYABLE 09/15/28	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	09/29/2021	09/15/2028		25,845,240	2,600.00		1,642,182		1,699,595	^	1,699,595	57,414						0002	
0169999999. Subtotal - Purchased Options - Hedging Other - Put Options										294,952,792	355,237,155		255,468,590	XXX	255,468,590	(79,734,241)				XXX	XXX		
0219999999. Subtotal - Purchased Options - Hedging Other										298,192,792	355,237,155		269,865,273	XXX	269,865,273	(73,058,494)				XXX	XXX		
0289999999. Subtotal - Purchased Options - Replications														XXX							XXX	XXX	
0359999999. Subtotal - Purchased Options - Income Generation														XXX							XXX	XXX	
0429999999. Subtotal - Purchased Options - Other														XXX							XXX	XXX	
0439999999. Total Purchased Options - Call Options and Warrants													3,240,000	XXX	14,396,683	6,675,747				XXX	XXX		
0449999999. Total Purchased Options - Put Options										294,952,792	355,237,155		255,468,590	XXX	255,468,590	(79,734,241)				XXX	XXX		
0459999999. Total Purchased Options - Caps														XXX							XXX	XXX	
0469999999. Total Purchased Options - Floors														XXX							XXX	XXX	
0479999999. Total Purchased Options - Collars														XXX							XXX	XXX	
0489999999. Total Purchased Options - Other														XXX							XXX	XXX	
0499999999. Total Purchased Options										298,192,792	355,237,155		269,865,273	XXX	269,865,273	(73,058,494)				XXX	XXX		
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX	
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX	
S&P IDX PUT @ 712.55 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC . G5GSEF7VJP5170UK5573	11/01/2012	10/21/2022		604,520,164	712.55	(19,119,860)			(9,146)		(9,146)	15,737						0002	
S&P IDX PUT @ 700.00 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	11/07/2012	10/21/2022		603,055,600	700.00	(18,760,000)			(7,904)		(7,904)	13,786						0002	
S&P IDX PUT @ 700.00 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	SOCIETE GENERALE ... C2RNE81BXP4R0TD8PU41	11/08/2012	10/21/2022		603,055,600	700.00	(18,862,200)			(7,904)		(7,904)	13,786						0002	
S&P IDX PUT @ 700.00 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E570DZVZ7FF32WFEA76	12/21/2012	10/21/2022		603,055,600	700.00	(17,788,400)			(7,904)		(7,904)	13,786						0002	
S&P IDX PUT @ 727.84 01/20/23	VAGLB Hedge - Macro Hedge	N/A	E	GOLDMAN SACHS INTL W22LR01P21HZNB6K528	01/08/2013	01/20/2023		591,829,289	727.84	(17,708,687)			(18,259)		(18,259)	24,125						0002	

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P IDX PUT @ 1350 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	06/25/2018	06/20/2025		159,378,980	1,350.00		(3,695,539)		(1,081,445)	^	(1,081,445)	633,237						0002	
S&P IDX PUT @ 1350 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	06/27/2018	06/20/2025		318,757,960	1,350.00		(7,150,560)		(2,162,891)	^	(2,162,891)	1,269,924						0002	
S&P IDX PUT @ 1400 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	07/23/2018	06/20/2025		153,779,178	1,400.00		(3,087,970)		(1,159,636)	^	(1,159,636)	744,671						0002	
S&P IDX PUT @ 1400 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	07/24/2018	06/20/2025		153,779,178	1,400.00		(3,205,746)		(1,159,636)	^	(1,159,636)	742,982						0002	
RTY IDX PUT @ 835 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	08/01/2018	06/20/2025		132,262,320	835.00		(3,202,525)		(1,126,231)	^	(1,126,231)	933,164						0002	
MXEA IDX PUT @ 995 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	08/08/2018	06/20/2025		114,064,450	995.00		(1,986,564)		(1,897,798)	^	(1,897,798)	510,256						0002	
MXEA IDX PUT @ 995 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	BARCLAYS BANK PLC	08/08/2018	06/20/2025		114,064,450	995.00		(3,123,368)		(1,897,796)	^	(1,897,796)	493,951						0002	
S&P IDX PUT @ 1400	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	08/14/2018	06/16/2028		153,779,178	1,400.00	(4,758,524)			(2,329,326)		(2,329,326)	908,066						0002	
S&P IDX PUT @ 1450	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	08/27/2018	06/20/2025		148,610,130	1,450.00	(2,760,000)			(1,239,352)		(1,239,352)	884,851						0002	
S&P IDX PUT @ 1450 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	09/05/2018	06/20/2025		148,610,130	1,450.00		(2,994,443)		(1,239,346)	^	(1,239,346)	841,900						0002	
S&P IDX PUT @ 1440	VAGLB Hedge - Macro	N/A	E	MORGAN STANLEY INTER	09/12/2018	06/16/2028		149,471,638	1,440.00	(4,448,887)			(2,427,695)		(2,427,695)	990,645						0002	
RTY IDX PUT @ 850 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	09/25/2018	09/15/2023		129,617,074	850.00		(1,709,375)		(469,518)	^	(469,518)	990,855						0002	
S&P IDX PUT @ 1450 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	09/27/2018	09/19/2025		148,610,130	1,450.00		(3,040,069)		(1,343,429)	^	(1,343,429)	912,853						0002	
S&P IDX PUT @ 1400 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	CREDIT SUISSE FB INT	10/15/2018	09/19/2025		153,779,178	1,400.00		(3,298,273)		(1,259,177)	^	(1,259,177)	809,271						0002	
S&P IDX PUT @ 1350 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	JP MORGAN CHASE BANK	10/31/2018	12/19/2025		159,378,980	1,350.00		(3,111,115)		(1,265,413)	^	(1,265,413)	779,521						0002	
S&P IDX PUT @ 1325 - PREMIUM PAYABLE	VAGLB Hedge - Macro	N/A	E	HSBC BANK PLC	11/26/2018	12/19/2025		163,686,520	1,325.00		(3,333,956)		(1,232,470)	^	(1,232,470)	724,191						0002	
0659999999. Subtotal - Written Options - Hedging Other - Put Options										(104,206,558)	(42,939,503)		(23,342,276)	XXX	(23,342,276)	13,251,558						XXX	XXX
0709999999. Subtotal - Written Options - Hedging Other										(104,206,558)	(42,939,503)		(23,342,276)	XXX	(23,342,276)	13,251,558						XXX	XXX
0799999999. Subtotal - Written Options - Replications														XXX								XXX	XXX
0849999999. Subtotal - Written Options - Income Generation														XXX								XXX	XXX
0919999999. Subtotal - Written Options - Other														XXX								XXX	XXX
0929999999. Total Written Options - Call Options and Warrants														XXX								XXX	XXX
0939999999. Total Written Options - Put Options										(104,206,558)	(42,939,503)		(23,342,276)	XXX	(23,342,276)	13,251,558						XXX	XXX
0949999999. Total Written Options - Caps														XXX								XXX	XXX
0959999999. Total Written Options - Floors														XXX								XXX	XXX
0969999999. Total Written Options - Collars														XXX								XXX	XXX
0979999999. Total Written Options - Other														XXX								XXX	XXX
0989999999. Total Written Options										(104,206,558)	(42,939,503)		(23,342,276)	XXX	(23,342,276)	13,251,558						XXX	XXX

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23					
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)					
CSWP: USD 3.05%(EUR 1.12%) 09/23/26	980745F*9 - WOODWARD INC	D PART 1	D	JP MORGAN CHASE BANK	09/14/2016	09/23/2026		2,248,800	USD 3.05%(EUR 1.12%)			31,827	(68,300)		(8,969)		129,200			25,101		100/100					
CSWP: USD 3.00%(GBP 2.31%) 02/20/25	G1591#AU6 - BRITVIC PLC	D PART 1	D	JP MORGAN CHASE BANK	11/01/2016	02/20/2025		2,448,000	USD 3.00%(GBP 2.31%)			7,058	(252,800)		(190,303)		37,200			22,551		100/100					
CSWP: USD 4.00%(EUR 1.84%) 12/07/27	B9550#AA9 - UMICORE SA	D PART 1	D	CITIBANK, N.A.	04/05/2017	12/07/2027		5,331,571	USD 4.00%(EUR 1.84%)			77,627	(463,179)		(295,755)		323,000			66,319		100/100					
CSWP: USD 3.56%(GBP 2.35%) 01/31/25	G8030*AJ9 - SENIOR PLC	D PART 1	D	CITIBANK, N.A.	12/01/2017	01/31/2025		4,054,054	USD 3.56%(GBP 2.35%)			35,082	2,100		193,890		55,800			37,044		100/100					
CSWP: AMORT USD 4.24%(EUR 2.22%) 07/30/49	L8749#AA0 - STADIUM FINANCE COMPANY SARL	D PART 1	D	JP MORGAN CHASE BANK	03/26/2019	07/30/2049		2,255,400	USD 4.24%(EUR 2.22%)			33,207	(61,800)		83,270		129,200			59,511		100/100					
CSWP: AMORT USD 4.37%(EUR 2.37%) 07/30/49	L8749#AB8 - STADIUM FINANCE COMPANY SARL	D PART 1	D	JP MORGAN CHASE BANK	03/26/2019	07/30/2049		4,510,800	USD 4.37%(EUR 2.37%)			65,294	(123,600)		132,076		258,400			119,023		100/100					
CSWP: AMORT USD 4.49%(EUR 2.50%) 07/30/49	L8749#AC6 - STADIUM FINANCE COMPANY SARL	D PART 1	D	JP MORGAN CHASE BANK	03/26/2019	07/30/2049		3,383,100	USD 4.49%(EUR 2.50%)			10,993	(92,700)		84,890		(92,700)			89,267		100/100					
1019999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108 - Foreign Exchange																	261,088	(1,060,279)	XXX	(901)		840,100			418,816	XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108																	261,088	(1,060,279)	XXX	(901)		840,100			418,816	XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																			XXX							XXX	XXX
SWP: 3ML(2.14%) 11/15/21	OFFSET	OFFSET	A	CITIBANK, N.A.	12/23/2011	11/15/2021		7,055,000	3ML(2.14%)			(104,025)	(17,363)		(17,363)		102,797			12,523		0001					
SWP: 1.56%(3ML) 11/15/21	OFFSET	OFFSET	A	BARCLAYS BANK PLC	07/19/2012	11/15/2021		7,055,000	1.56%(3ML)			73,163	12,337		12,337		(71,955)			12,523		0001					
SWP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME	04/09/2014	04/11/2024		30,000,000	3ML(2.81%)			(591,294)	(1,727,360)		(1,727,360)		783,812			238,661		0001					
SWP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME	04/09/2014	04/11/2024		2,000,000	3ML(2.81%)			(39,420)	(115,157)		(115,157)		52,254			15,911		0001					
SWP: 3ML(2.81%) 04/11/24	OFFSET	OFFSET	C	CME	04/09/2014	04/11/2024		9,000,000	3ML(2.81%)			(177,388)	(518,208)		(518,208)		235,144			71,598		0001					
SWP: 3ML(2.69%) 04/11/24	OFFSET	OFFSET	C	CME	05/07/2014	04/11/2024		24,000,000	3ML(2.69%)			(451,255)	(1,308,735)		(1,308,735)		605,149			190,929		0001					
SWP: 3ML(2.69%) 04/11/24	OFFSET	OFFSET	C	CME	05/07/2014	04/11/2024		1,000,000	3ML(2.69%)			(18,802)	(54,531)		(54,531)		25,215			7,955		0001					
SWP: 2.57%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	05/15/2014	04/11/2024		25,000,000	2.57%(3ML)			448,307	1,290,213		1,290,213		(608,493)			198,884		0001					
SWP: 2.57%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	05/15/2014	04/11/2024		1,000,000	2.57%(3ML)			17,932	51,609		51,609		(24,340)			7,955		0001					
SWP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	06/02/2014	04/11/2024		29,000,000	2.60%(3ML)			526,235	1,517,467		1,517,467		(712,084)			230,705		0001					
SWP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	06/02/2014	04/11/2024		2,000,000	2.60%(3ML)			36,292	104,653		104,653		(49,109)			15,911		0001					
SWP: 2.60%(3ML) 04/11/24	OFFSET	OFFSET	C	CME	06/02/2014	04/11/2024		9,000,000	2.60%(3ML)			163,314	470,938		470,938		(220,992)			71,598		0001					
1119999999. Subtotal - Swaps - Hedging Other - Interest Rate																	(116,941)	(294,137)	XXX	(294,137)		117,398			1,075,153	XXX	XXX
TRSWP: SPX FORWARD 06/30/25	YAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK	04/28/2021	06/30/2025		93,042,864	0.00%(SPX)				(2,980,733)		(2,980,733)		(2,980,733)			900,966		0002					
1149999999. Subtotal - Swaps - Hedging Other - Total Return																		(2,980,733)	XXX	(2,980,733)		(2,980,733)			900,966	XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other																	(116,941)	(3,274,870)	XXX	(3,274,870)		(2,863,335)			1,976,119	XXX	XXX
SWP: 2.13%(3ML) 06/10/29	91283#DP5 - BOND WITH INTEREST RATE SWAP		B	CME	06/06/2019	06/10/2029		50,000,000	2.13%(3ML)			734,727			2,682,125					693,660							
SWP: 2.14%(3ML) 06/10/29	91283#DP5 - BOND WITH INTEREST RATE SWAP		B	CME	06/06/2019	06/10/2029		50,000,000	2.14%(3ML)			735,852			2,693,269					693,660							
SWP: 1.95%(3ML) 12/17/49	91278*BB9 - BOND WITH INTEREST RATE SWAP		B	CME	12/13/2019	12/17/2049		100,000,000	1.95%(3ML)			1,335,160			2,085,995					2,656,731							
1179999999. Subtotal - Swaps - Replication - Interest Rate																	2,805,739		XXX	7,461,389				4,044,051	XXX	XXX	
1229999999. Subtotal - Swaps - Replication																	2,805,739		XXX	7,461,389				4,044,051	XXX	XXX	

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23				
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)				
1289999999	Subtotal - Swaps - Income Generation														XXX								XXX	XXX		
1349999999	Subtotal - Swaps - Other														XXX									XXX	XXX	
1359999999	Total Swaps - Interest Rate												2,688,798	(294,137)	XXX	7,167,252	117,398					5,119,204	XXX	XXX		
1369999999	Total Swaps - Credit Default														XXX									XXX	XXX	
1379999999	Total Swaps - Foreign Exchange												261,088	(1,060,279)	XXX	(901)		840,100				418,816	XXX	XXX		
1389999999	Total Swaps - Total Return													(2,980,733)	XXX	(2,980,733)	(2,980,733)					900,966	XXX	XXX		
1399999999	Total Swaps - Other														XXX									XXX	XXX	
1409999999	Total Swaps												2,949,886	(4,335,149)	XXX	4,185,618	(2,863,335)	840,100			6,438,986	XXX	XXX			
1479999999	Subtotal - Forwards														XXX									XXX	XXX	
1509999999	Subtotal - SSAP No. 108 Adjustments														XXX										XXX	XXX
1689999999	Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												261,088	(1,060,279)	XXX	(901)		840,100				418,816	XXX	XXX		
1699999999	Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX										XXX	XXX
1709999999	Subtotal - Hedging Other										193,986,234	312,297,652	(116,941)	243,248,127	XXX	243,248,127	(62,670,271)					1,976,119	XXX	XXX		
1719999999	Subtotal - Replication												2,805,739		XXX	7,461,389					4,044,051	XXX	XXX			
1729999999	Subtotal - Income Generation														XXX										XXX	XXX
1739999999	Subtotal - Other														XXX										XXX	XXX
1749999999	Subtotal - Adjustments for SSAP No. 108 Derivatives														XXX										XXX	XXX
1759999999	Totals										193,986,234	312,297,652	2,949,886	242,187,848	XXX	250,708,615	(62,670,271)	840,100			6,438,986	XXX	XXX			

(a)

Code	Description of Hedged Risk(s)
A	INTEREST
B	CREDIT
C	DURATION
D	CURRENCY
E	EQUITY INDEX

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0001	This derivative is part of an offsetting relationship in which an open hedge was effectively terminated as a result of the Company entering into a new derivative with offsetting terms.
0002	This derivative is part of the Company's macro program, which hedges against the economic risk arising from guaranteed minimum death benefit (GMDB) and guaranteed minimum withdrawal benefit (GMWB) liabilities and contract revenues. For the nine months ended September 30, 2021, the hedge has been effective at achieving its objective.

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SCHEDULE DB - PART B - SECTION 1

Futures Contracts Open as of the Current Statement Date

1 Ticker Symbol	2 Number of Contracts	3 Notional Amount	4 Description	5 Description of Item(s) Hedged, Used for Income Generation or Replicated	6 Schedule/ Exhibit Identifier	7 Type(s) of Risk(s) (a)	8 Date of Maturity or Expiration	9 Exchange	10 Trade Date	11 Transaction Price	12 Reporting Date	13 Fair Value	14 Book/ Adjusted Carrying Value	Highly Effective Hedges			18 Cumulative Variation Margin for All Other Hedges	19 Change in Variation Margin Gain (Loss) Recognized in Current Year	20 Potential Exposure	21 Hedge Effectiveness at Inception and at Quarter-end (b)	22 Value of One (1) Point																		
														15 Cumulative Variation Margin	16 Deferred Variation Margin	17 Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item																							
NONE																																							
1759999999 - Totals																																						XXX	XXX

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
BARCLAYS CAPITAL INC	6,330,465	(6,330,465)	
Total Net Cash Deposits	6,330,465	(6,330,465)	

(a)

Code	Description of Hedged Item
NONE	

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

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STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
BANK OF AMERICA, NA	Treasury	912810-SF-6	TREASURY BOND	647,580	540,000	543,190	02/15/2049	
BANK OF AMERICA, NA	Treasury	912810-SN-9	TREASURY BOND	6,621,124	8,047,000	7,711,000	05/15/2050	
BANK OF AMERICA, NA	Treasury	912810-SZ-2	TREASURY BOND	800,722	813,000	830,027	08/15/2051	
BARCLAYS BANK PLC	Treasury	912810-RD-2	TREASURY BOND	400,701	303,000	296,731	11/15/2043	
BARCLAYS BANK PLC	Treasury	912810-RU-4	TREASURY BOND	889,746	761,000	725,078	11/15/2046	
BARCLAYS BANK PLC	Treasury	912810-SE-9	TREASURY BOND	380,850	296,000	317,786	11/15/2048	
BARCLAYS BANK PLC	Treasury	912810-SN-9	TREASURY BOND	146,460	178,000	170,568	05/15/2045	
BARCLAYS BANK PLC	Treasury	912810-SU-3	TREASURY BOND	369,967	387,000	352,224	02/15/2051	
BARCLAYS BANK PLC	Treasury	912810-SZ-2	TREASURY BOND	1,498,029	1,521,000	1,552,856	08/15/2051	
BARCLAYS BANK PLC	Treasury	912828-SF-8	TREASURY NOTE	342,329	339,000	339,065	02/15/2022	
BARCLAYS BANK PLC	Treasury	912828-ZC-7	TREASURY NOTE	745,932	733,000	745,583	02/28/2025	
BARCLAYS BANK PLC	Treasury	91282C-BL-4	TREASURY NOTE	427,783	441,000	418,564	02/15/2031	
CITIBANK, N.A.	Treasury	912810-SF-6	TREASURY BOND	324,869	270,900	272,500	02/15/2049	
CME	Treasury	912810-RD-2	TREASURY BOND	5,289,782	4,000,000	3,917,237	11/15/2043	I
CME	Treasury	912810-SN-9	TREASURY BOND	14,399,113	17,500,000	16,769,293	05/15/2050	I
CREDIT SUISSE FB INT	Treasury	91282C-CK-5	TREASURY NOTE	15,339,447	15,361,000	15,339,447	06/30/2023	
HSBC BANK PLC	Treasury	912810-SF-6	TREASURY BOND	3,421,379	2,853,000	2,869,854	02/15/2049	
HSBC BANK PLC	Treasury	912810-SN-9	TREASURY BOND	50,551,584	61,438,000	58,872,674	05/15/2050	
HSBC BANK PLC	Treasury	912810-SZ-2	TREASURY BOND	744,582	756,000	771,834	08/15/2051	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140FN-AS-3	FINMA 30YR	1,391,129	4,000,000	1,330,020	07/01/2047	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140G0-6T-8	FINMA 30YR	5,326,912	16,729,000	5,441,241	09/01/2047	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140KT-TW-5	FINMA 30YR UMBS	3,819,986	4,000,000	3,846,825	03/01/2051	
JP MORGAN CHASE BANK	Loan-backed and Structured	3140KT-TY-1	FINMA 30YR UMBS	4,602,879	4,692,000	4,609,300	03/01/2051	
JP MORGAN CHASE BANK	Loan-backed and Structured	31410L-VC-3	FINMA 30YR	4,558,087	6,430,464	4,248,870	01/01/2047	
JP MORGAN CHASE BANK	Treasury	912810-SN-9	TREASURY BOND	2,540,826	3,088,000	2,959,061	05/15/2050	
SOCIETE GENERALE	Loan-backed and Structured	31410L-VC-3	FINMA 30YR	224,314	316,458	209,097	01/01/2047	
0199999999 - Total				125,806,112	155,793,822	135,459,925	XXX	XXX

Collateral Pledged to Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
CME	Cash	LC27XYGSLJUHFXNVD88	Cash	8,180,758	8,180,758	XXX		V
DEUTSCHE BANK, AG	Cash	7LTIWFZY1CNSX8D621K86	Cash			XXX		
LOH	Cash	WAM6YERMS70XFZUOY219	Cash	2	2	XXX		V
MORGAN STANLEY INTL	Cash	4PQUHNSJPPGFNF3BB653	Cash	18,903,000	18,903,000	XXX		
MORGAN STANLEY INTL	Cash	4PQUHNSJPPGFNF3BB653	Cash	1,144,963	1,144,963	XXX		
WELLS FARGO BANK	Cash	KB1H1DSPRFMYMCLUXT09	Cash	356,000	356,000	XXX		
0299999999 - Total				28,584,723	28,584,723	XXX	XXX	XXX

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Wells Fargo Bank N.A. Minneapolis, MN		0.000				305,786		.XXX.
Bank of America New York, NY		0.000			529,189	510,264	550,250	.XXX.
Wells Fargo Bank N.A. Minneapolis, MN		0.000			870,324	699,692	426,069	.XXX.
JP Morgan Chase Bank GB London, GB		0.000			1,141,996	1,140,593	1,122,721	.XXX.
JP Morgan Chase Bank N.A. New York, NY		0.000					1,682,696	.XXX.
JP Morgan Chase Bank N.A. New York, NY		0.000			709,675	472,014	1,768,920	.XXX.
JP Morgan Chase Bank N.A. New York, NY		0.000				278,578	5,862,354	.XXX.
0199998. Deposits in ... 47 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX			1,051,004	962,894	924,412	.XXX.
0199999. Totals - Open Depositories	XXX	XXX			4,302,188	4,369,822	12,337,421	.XXX.
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						.XXX.
0299999. Totals - Suspended Depositories	XXX	XXX						.XXX.
0399999. Total Cash on Deposit	XXX	XXX			4,302,188	4,369,822	12,337,421	.XXX.
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				.XXX.
0599999. Total - Cash	XXX	XXX			4,302,188	4,369,822	12,337,421	.XXX.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
0599999	Total - U.S. Government Bonds							
	BRITISH COLUMBIA (PROVINCE OF)		08/04/2021	0.000	10/26/2021	4,999,716		639
0699999	Subtotal - Bonds - All Other Governments - Issuer Obligations					4,999,716		639
1099999	Total - All Other Government Bonds					4,999,716		639
1799999	Total - U.S. States, Territories and Possessions Bonds							
2499999	Total - U.S. Political Subdivisions Bonds							
3199999	Total - U.S. Special Revenues Bonds							
	ALBERTA (PROVINCE OF)		09/02/2021	0.000	10/05/2021	2,999,977		169
	AMPHENOL CORPORATION		09/30/2021	0.000	10/25/2021	2,999,740		11
	BAT INTL FINANCE PLC		09/20/2021	0.000	10/12/2021	2,999,835		165
	BARCLAYS BANK PLC NEW YORK BRANCH		09/20/2021	0.000	12/17/2021	2,999,231		111
	EATON CORPORATION		09/30/2021	0.000	10/04/2021	2,999,975		8
	FIDELITY NATL INFO SERV		09/16/2021	0.000	10/13/2021	2,999,800		250
	FLORIDA POWER AND LIGHT CO		09/02/2021	0.000	10/07/2021	2,999,960		193
	GLAXOSMITHKLINE FINANCE PLC		09/02/2021	0.000	10/28/2021	2,999,817		191
	HYUNDAI CAPITAL AMERICA		08/30/2021	0.000	11/01/2021	2,999,593		420
	ING US FUNDING LLC		08/18/2021	0.000	11/15/2021	2,999,625		367
	LLOYDS BANK PLC		07/06/2021	0.000	10/04/2021	4,999,960		1,147
	QUALCOMM INCORPORATED		08/10/2021	0.000	10/14/2021	2,999,890		431
	TOTAL CAPITAL CANADA LTD		08/03/2021	0.000	10/27/2021	4,999,668		731
	VW CREDIT INC		09/16/2021	0.000	10/05/2021	2,999,953		175
	VIRGINIA ELECTRIC AND POWER COMPAN		08/06/2021	0.000	10/25/2021	2,999,674		700
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					48,996,698		5,069
	HERACLES GB - 2021		09/30/2021	1.800	12/15/2021	3,032,712	1,668	
3399999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities					3,032,712	1,668	
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					52,029,409	1,668	5,069
4899999	Total - Hybrid Securities							
5599999	Total - Parent, Subsidiaries and Affiliates Bonds							
6099999	Subtotal - SVO Identified Funds							
6599999	Subtotal - Unaffiliated Bank Loans							
7699999	Total - Issuer Obligations					53,996,414		5,708
7799999	Total - Residential Mortgage-Backed Securities					3,032,712	1,668	
7899999	Total - Commercial Mortgage-Backed Securities							
7999999	Total - Other Loan-Backed and Structured Securities							
8099999	Total - SVO Identified Funds							
8199999	Total - Affiliated Bank Loans							
8299999	Total - Unaffiliated Bank Loans							
8399999	Total Bonds					57,029,126	1,668	5,708
4812A0-37-5	JPMORGAN 100% US TRS SEC CL CAP		09/30/2021	0.000		1,575		1
4812C2-23-9	JPMORGAN US TREASURY PLUS CL CAP		09/30/2021	0.000		992		360
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					2,567		362
481200-66-2	JPMORGAN US GOVT MMKT		09/30/2021	0.000		44,744,103		1,918
949917-39-7	Wells Fargo ADV HER MMKT INS		09/30/2021	0.000		4,417,459		
481200-67-0	JPM US GOVT MM - CP		09/30/2021	0.000		52,654,839		15,300
8699999	Subtotal - All Other Money Market Mutual Funds					101,816,401		17,218
9999999	Total Cash Equivalents					158,848,094	1,668	23,287